



# Review: efficiency of physical and chemical treatments on the inactivation of dairy bacteriophages

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Bacteriophages can cause great economic losses due to fermentation failure in dairy plants. Hence, physical and chemical treatments of raw material and/or equipment are mandatory to maintain phage levels as low as possible. Regarding thermal treatments used to kill pathogenic bacteria or achieve longer shelf-life of dairy products, neither low temperature long time nor high temperature short time pasteurization were able to inactivate most lactic acid bacteria (LAB) phages. Even though most phages did not survive 90°C for 2 min, there were some that resisted 90°C for more than 15 min (conditions suggested by the International Dairy Federation, for complete phage destruction). Among biocides tested, ethanol showed variable effectiveness in phage inactivation, since only phages infecting dairy cocci and *Lactobacillus helveticus* were reasonably inactivated by this alcohol, whereas isopropanol was in all cases highly ineffective. In turn, peracetic acid has consistently proved to be very fast and efficient to inactivate dairy phages, whereas efficiency of sodium hypochlorite was variable, even among different phages infecting the same LAB species. Both alkaline chloride foam and ethoxylated non-ylphenol with phosphoric acid were remarkably efficient, trait probably related to their highly alkaline or acidic pH values in solution, respectively. Photocatalysis using UV light and TiO<sub>2</sub> has been recently reported as a feasible option to industrially inactivate phages infecting diverse LAB species. Processes involving high pressure were barely used for phage inactivation, but until now most studied phages revealed high resistance to these treatments. To conclude, and given the great phage diversity found on dairies, it is always advisable to combine different anti-phage treatments (biocides, heat, high pressure, photocatalysis), rather than using them separately at extreme conditions.

**Keywords:** phage infection, dairy industry, heat treatments, biocides, high pressure, photocatalysis

## THE THREAT OF PHAGE INFECTIONS IN DAIRY INDUSTRY

Phage infection is the most prevalent cause of decreased starter activity in cheese and fermented milks manufacturing, resulting in fermentations in which acid production is markedly reduced or, in extreme cases, blocked (Neve, 1996). Raw milk is considered to be the principal source of phages, either as free virions or as prophages present in wild strains of lactic acid bacteria (LAB) and constitutes the primary phage entranceway to the industrial environment (Everson, 1991; Josephsen and Neve, 1998). Phages are difficult to eliminate because they rapidly disseminate in dairy plants (Neve et al., 1989). As phage infections lead to economic losses in dairy factories, the development of control measures becomes essential.

This review is aimed to revise the information regarding the diverse treatments applied in dairy industry for sanitization and microbial inactivation, and their efficiency on the inactivation of bacteriophages present in the dairy environment.

## TRADITIONAL TREATMENTS I: HEAT TREATMENTS

In dairy manufactures, raw milk is often subjected to a thermal treatment to eliminate the majority of microorganisms present, including spoilage and pathogens, thus assuring a good quality

and longer shelf-life of the final product. Many works have studied thermal resistance of lactic acid bacteriophages, especially focusing on temperatures traditionally used to sanitize the milk in the dairy industry (63, 72, and 90°C), and on conditions recommended by the International Dairy Federation (IDF; 90°C for 15 min) to guarantee complete phage inactivation (Svensson and Christiansson, 1991). For cheese production, low temperature long time (LTLT, 63°C for 30 min) or high temperature short time (HTST, 72°C for 15 s) pasteurization were traditionally applied. Ultra-high-temperature (UHT) processing, also called ultra-pasteurization (more than 135°C for 1–2 s), is a sterilization treatment as it produces spores destruction. However, this high heating can cause Maillard browning and negatively affect the taste and smell of dairy products. Milk used for yogurt production is generally treated at 80°C for 30 min or at 95°C for 10 min (Soukoulis et al., 2007). Regardless of the high efficiency of this heat treatment to inactivate microorganisms, it is not always effective against dairy bacteriophages.

Thermal treatments produce morphological changes on phage particles. The effects of such treatments were monitored by transmission electron microscopy on a heat-sensitive and on a heat-resistant *Lactococcus lactis* phage by Atamer et al. (2010). Release

of phage DNA from viral capsids, decomposition of phage into head and tail structures, and aggregation of phage tails were the most frequently seen phenomena, principally for the heat-sensitive phage studied. For the heat-resistant one, changes in phage morphology were less evident. These authors concluded that heat resistance is associated with both increased stability of viral DNA packaged in the capsids and enhanced stability of phage particles as a whole.

Certain parameters are calculated in order to evaluate phage susceptibility against heat treatments. One of the most used is  $T_{99}$ , defined as the time to achieve 99% inactivation of phage particles, which allows having a very good approximation of phage resistance against inactivation treatments. However, this parameter does not consider total phage destruction, but inactivation of the most sensitive 99% of phage population. Whether a heat treatment is applied to samples containing elevated initial phage loads, remaining viral particles can multiply and reach problematic levels again. With this regard, the minimum time necessary for complete phage inactivation (considering it when phage counts become undetectable in the treated samples) becomes another useful parameter. This time may be much longer than the corresponding  $T_{99}$  and, ideally, both parameters should be determined.

**Table 1** overviewed the susceptibility to 63, 72, and 90°C treatments (expressed as  $T_{99}$  values) of some bacteriophages from lactic acid/probiotic bacteria of great importance in fermentative dairy industry. Regardless the thermal treatment applied, a great diversity in heat susceptibility has been observed. Consequently, thermal resistance would depend more on the particular phage studied than on the species specificity. For instance, some bacteriophages specific of *L. lactis* and *Streptococcus thermophilus*

were rapidly inactivated at 63°C ( $T_{99}$  values from 2.7 to 12 min), whereas some other phages infecting these species revealed high resistance to the same or even harsher treatments (Binetti and Reinheimer, 2000; Suárez and Reinheimer, 2002). Low thermal resistance is not confined to phages infecting dairy cocci (*L. lactis* and *S. thermophilus*); a variety of *Lactobacillus* phages with an extremely heat sensitivity was also discovered. Among the latter, it is worth noticing phages hv (*Lactobacillus helveticus*), Cb1/204 (*Lactobacillus delbrueckii*), PL-1 and J-1 (*Lactobacillus casei* and *Lactobacillus paracasei*), which showed very low  $T_{99}$  values (from 2.1 to 3.1 min) in all suspension media tested (Quiberoni et al., 1999; Capra et al., 2004; Ebrecht et al., 2010). In spite of the high heat sensitivity to 63°C shown by some *Lactobacillus* phages, there were others capable to easily resist this treatment, as demonstrated by *Lactobacillus plantarum* phages investigated by Briggiler Marcó et al. (2009) ( $T_{99}$  values > 45 min).

As it can be deduced, increasing the temperature from 63 to 72°C improved the efficiency of phage inactivation. Still, numerous phages tested were able to resist this higher temperature. Good examples are phages 001 (*L. lactis*), 0BJ and CYM (*S. thermophilus*), CNRZ 832-B1 (*Lb. helveticus*), Ib<sub>3</sub> (*Lb. delbrueckii* subsp. *lactis*), ATCC 8014-B2 and FAGK1 (*Lb. plantarum*), showing high  $T_{99}$  values after incubation at 72°C. Sometimes, even if  $T_{99}$  values were not so elevated (e.g., 2.5 min for phage Ib<sub>3</sub>), the time to destroy the total viral population was much longer (>45 min). In these cases, inactivation curves might be fitted into two or even three independent linear components (Daoust et al., 1965). Attempts to explain this behavior suggested that a mixture of phage particles with different heat sensitivity might exist: a rapidly inactivated population, responsible for the low  $T_{99}$

**Table 1 | Susceptibility of dairy phages to heat treatments, using reconstituted skim milk as suspension media.**

Phage	Species	$T_{99}$ <sup>a</sup>			Reference	
		63°C	72°C	90°C		
MLC-A	<i>Lactobacillus casei</i> and <i>Lactobacillus paracasei</i>	>45	2.6	<2	Capra et al. (2006)	
MLC-A2		n.d.	n.d.	5	Capra et al. (2009a)	
J-1	<i>Streptococcus thermophilus</i>	3.1	<5	<5	Capra et al. (2004)	
0BJ		>45	12.0	<5	Binetti and Reinheimer (2000)	
021-5		30	1.5	<5		
Ib <sub>3</sub>	<i>Lactobacillus delbrueckii</i>	>45	2.9	<5	Quiberoni et al. (2003)	
YAB		45.0	<2	<5		
LL-H <sup>b</sup>	<i>Lactobacillus plantarum</i>	6.2	<5	<5		
FAGK1		>45	11.7	<5	Briggiler Marcó et al. (2009)	
ATCC 8014-B1		>45	7.2	<5		
CNRZ 832-B1 <sup>c</sup>		>45	21.1	<5	Quiberoni et al. (1999)	
hv <sup>d</sup>		2.2	<2	<5		
001		<i>Lactococcus lactis</i>	>45	20.0	<5	Suárez and Reinheimer (2002)
QF12			2.7	1.5	<5	

<sup>a</sup>Time (min) to achieve 99% inactivation of phage particles.

<sup>b</sup>Alatossava and Pythilä (1980)

<sup>c</sup>Séchaud et al. (1992)

<sup>d</sup>Kiuru and Tybeck (1955)

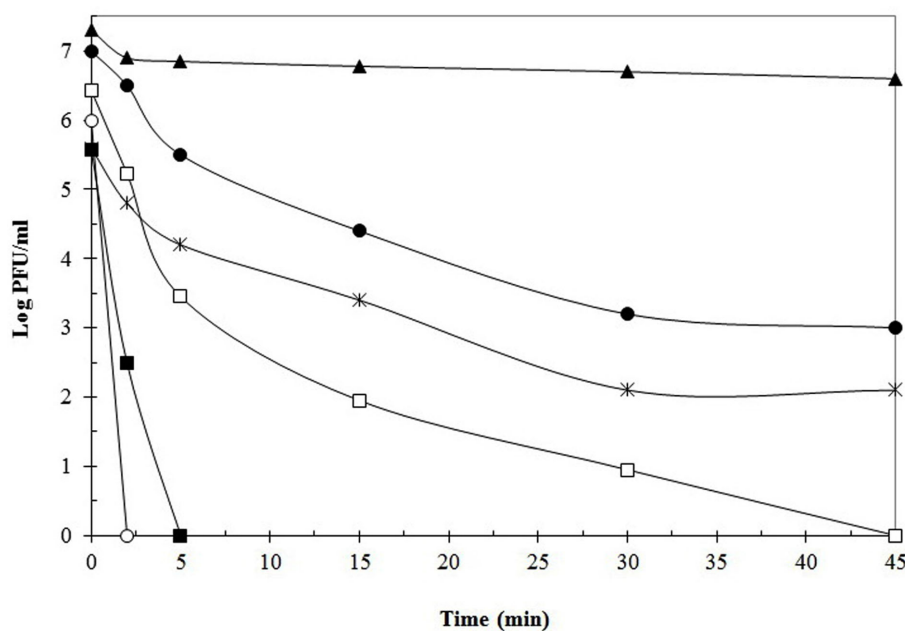
n.d., Not determined.

values obtained, and a heat-resistant one, explaining the long time required for total phage inactivation. Both populations would follow a first-order reaction, but with a decreased slope for the latter (Hiatt, 1964). It has been suggested that heat-resistant phages naturally occur in all types of dairy environments as a low proportion of the whole phage population (Atamer et al., 2009). This fact remarks the importance of consider both parameters when defining the heat sensitivity of a given phage. Similarly to phage Ib<sub>3</sub>, destruction of total viral populations for the above mentioned heat-resistant phages was generally not achieved at this temperature, since detectable viable phage particles were found even after 45 min of treatment, which is a much longer period than that normally applied in the dairy industry for milk sanitization (Quiberoni et al., 1999, 2003; Binetti and Reinheimer, 2000; Suárez and Reinheimer, 2002; Briggiler Marcó et al., 2009). Dissimilar susceptibility to short-time pasteurization conditions (72°C for 30 s) was found for two *Lactococcus* phages; whereas one of them was complete and rapidly inactivated (loss of 7 log orders), the other one was highly heat-resistant (reduction of 2 log orders) and remained viable even after 60 min of treatment (Müller-Merbach et al., 2005). **Figure 1** shows thermal inactivation kinetics at 72°C of some dairy bacteriophages, when reconstituted skim milk (RSM) was used as suspension media.

In most cases, temperatures higher than 72–75°C allowed efficient phage inactivation in a short time. Since milk destined to yogurt manufacture is usually treated at 82°C for 5 min, some authors have subjected *Lb. delbrueckii* phage particles to this temperature (Ebrecht et al., 2010), finding total phage destruction within 2 min of treatment. Similarly, Müller-Merbach et al. (2005) treated lactococcal phages P001 and P008 to 80°C, demonstrating

undetectable counts after only 1 min at this temperature. On the contrary, 40% of *L. lactis* phages tested were able to resist 80°C for 5 min (Atamer et al., 2009), whereas 70% of *Leuconostoc* phages particles were still detectable after 1 min at this temperature (Atamer et al., 2011). Until recently, heat treatment at 90°C for 5 min was sufficient for inactivation of phage particles (Binetti and Reinheimer, 2000; Suárez and Reinheimer, 2002; Capra et al., 2004; Briggiler Marcó et al., 2009). Despite this, in the last years some extraordinarily heat-resistant phages are being found in many research laboratories, such as phage Ib<sub>3</sub> (*Lb. delbrueckii*), whose complete inactivation required at least 15 min at 90°C (Quiberoni et al., 2003). In the same way, 30 min were necessary to destroy five *Lb. paracasei* phages at that temperature (Capra et al., 2009a). Moreover, some lactococcal phages were able to survive 5 min at 90°C (Buzrul et al., 2007), whereas Atamer et al. (2009) revealed that phage P1532 was still detectable even after heating at either 90°C for 20 min or 97°C for 5 min. The protocol used for microbiological detection of phages in dairy samples includes a heat treatment at 90°C for 15 min of control samples to be tested for non-phage inhibiting agents (Svensson and Christiansson, 1991). However, this standard protocol might not be appropriate for inactivating high heat-resistant phages (Atamer et al., 2009). Furthermore, the number of phages with exceptional thermo-resistance seems to be increasing every day, which led to the need of revising the methodology applied to industrial samples suspected of containing phages.

Thermal susceptibility of phages can differ according to the suspension media used. However, dissimilar results impeded an agreement about the most protective one. In some cases, milk



**FIGURE 1 | Thermal destruction kinetics of phages Ib<sub>3</sub> (□), LL-H (■) (*Lb. delbrueckii*), FAGK1 (▲), ATCC 8014-B1 (●) (*Lb. plantarum*), 021-5 (○), and 0BJ (\*) (*S. thermophilus*), at 72°C using**

**reconstituted skim milk as suspension media.** Data adapted from Binetti and Reinheimer (2000), Quiberoni et al. (2003), and Briggiler Marcó et al. (2009).

seemed to be protective to viral particles, as observed for those of *Lb. delbrueckii* tested by Quiberoni et al. (2003), in comparison to MRS broth and TMG buffer. Similar conclusions were obtained by other authors when testing either *Lactococcus* or *Leuconostoc* phages (Atamer et al., 2009, 2010, 2011). The reason for the protective effect of milk is still being investigated; however, it was postulated that certain components, especially casein, could be responsible for the increased thermal resistance of dairy phages. Unlike protein, fat was found to have no influence on phage inactivation (Atamer et al., 2010). Protective effect of milk, however, was not always verified. For example, Suárez and Reinheimer (2002) determined that phosphate buffer was the most protective medium in comparison with M17-broth and skim milk. Sometimes, it was not possible to establish a clear influence of the suspension media. Some *Lb. helveticus* phages, for example, resisted better in MRS broth, but others did it in TMG buffer (Quiberoni et al., 1999). Likewise, not conclusive influence was found by Capra et al. (2004) when analyzing *Lb. casei* and *Lb. paracasei* phages.

## TRADITIONAL TREATMENTS II: BIOCIDES

A biocide should fulfill several criteria to be usable in the food industry, for example possessing a fast antimicrobial activity, ease of application, low cost, lack of negative impact on the final product, and degradation into harmless final products (Nicholds and Wolf, 1945; Schröder, 1984). However, inactivation of bacteriophages was taken into consideration only recently as a criterion of biocides selection, which is reflected by an increasing number of studies directed to quantify their effectiveness in this sense. Many of these works were carried out in our laboratory and biocides tested were, until recently, almost exclusively ethyl alcohol (ethanol), isopropyl alcohol (isopropanol), sodium hypochlorite, and peracetic acid, all of which will be further discussed. **Table 2** summarizes sensitivity (expressed as  $T_{99}$ ) of phages of diverse bacterial species to ethanol and sodium hypochlorite used at different concentration.

Ethanol and, at much lesser extent, isopropanol, were tested on a broad spectrum of LAB phages, at concentrations oscillating between 10 and 100% (v/v), but the lower concentration did

**Table 2 | Time (min) necessary to reach 99% inactivation ( $T_{99}$ ) of phages infective for diverse species of lactic acid bacteria in presence of ethanol and sodium hypochlorite at different concentration.**

Phage	Species	Ethanol (% v/v)				Sodium hypochlorite (ppm)					Reference
		10	50	75	100	100	200	300	400	800	
021-4	<i>Streptococcus thermophilus</i>	>45	18	1.5	>45	<5	n.d.	n.d.	n.d.	n.d.	Binetti and Reinheimer (2000)
021-5		>45	45	3.5	5.3	<5	n.d.	n.d.	n.d.	n.d.	
OBJ		45	3.5	1.8	1.8	<5	n.d.	n.d.	n.d.	n.d.	
031-D		>45	2.1	2.1	3.5	<5	n.d.	n.d.	n.d.	n.d.	
CYM		>45	45	2.4	3.2	<5	n.d.	n.d.	n.d.	n.d.	
001	<i>Lactococcus lactis</i>	>45	>45	18.5	1.9	<5	n.d.	n.d.	n.d.	n.d.	Suárez and Reinheimer (2002)
046		>45	>45	>45	24.3	32.7	4	2.7	n.d.	n.d.	
QF12		>45	>45	4.9	3.6	<5	n.d.	n.d.	n.d.	n.d.	
QP4		>45	>45	1.3	3.8	8.5	3.1	n.d.	n.d.	n.d.	
BYM		>45	>45	8.4	2.5	13	3	1.9	1.7	n.d.	
YAB	<i>Lactobacillus delbrueckii</i>	>45	>45	7.6	1.8	8.7	6.5	2.8	2.2	n.d.	Quiberoni et al. (2003)
Ib <sub>3</sub>		>45	>45	>45	22.2	>45	>45	>45	>45	2.3	
LL-H		>45	>45	7.4	4.8	8.1	2.5	2.5	2	n.d.	
Cb1/204		>45	>45	>45	2	1.3	<1	n.d.	n.d.	n.d.	
Cb1/342		>45	>45	>45	24.9	1.9	1.4	<1	n.d.	n.d.	
CNRZ 832-B1	<i>Lactobacillus helveticus</i>	14.7	<2	<2	<2	<10	n.d.	n.d.	n.d.	n.d.	Quiberoni et al. (1999)
CNRZ 0241		>45	<2	<2	<2	<5	n.d.	n.d.	n.d.	n.d.	
hv	<i>Lactobacillus plantarum</i>	>45	7.2	2.2	7.2	<5	n.d.	n.d.	n.d.	n.d.	Briggiler Marcó et al. (2009)
ATCC 15807-B1		>45	2.2	<2	2.8	<10	n.d.	n.d.	n.d.	n.d.	
ATCC 8014-B1		>45	8.3	29	3.7	n.d.	>45	n.d.	2.5	1.8	
ATCC 8014-B2		>45	14.8	44.6	7.4	n.d.	>45	n.d.	4.3	1.8	
FAGK1		>45	11.4	41.6	5	n.d.	>45	n.d.	2.6	1.9	
FAGK2	>45	14.7	>45	7.3	n.d.	>45	n.d.	4.2	1.6		
PL-1	<i>Lactobacillus casei</i> and	>45	>45	9.7	10.3	n.d.	n.d.	n.d.	26.8	<5	Capra et al. (2004)
J-1	<i>Lactobacillus paracasei</i>	>45	>45	5.5	9	n.d.	n.d.	n.d.	20.6	<5	
MLC-A	<i>Lactobacillus casei</i>	>45	>45	1.8	>45	n.d.	27.8	n.d.	9.2	n.d.	Capra et al. (2006)
iLp84		>45	>45	15.5	>45	>45	n.d.	n.d.	13.1	<2	Mercanti et al. (2012)
iLp1308		>45	>45	9.6	>45	>45	n.d.	n.d.	<2	<2	

n.d. Not determined.

not produce in general any visible effect. Thus, only 50% (v/v) or higher concentrations will be considered for discussion from now on. With respect to isopropanol, it was tested on phages of *L. lactis* (Suárez and Reinheimer, 2002), *S. thermophilus* (Binetti and Reinheimer, 2000), *Lb. delbrueckii* (Quiberoni et al., 2003; Ebrecht et al., 2010), *Lb. casei* and *Lb. paracasei* (Capra et al., 2004, 2006), and *Lb. plantarum* (Briggiler Marcó et al., 2009). In all cases, inactivation was almost undetectable and required very long incubation periods (generally >45 min), with only a few exceptions (lactococcal phage 001, *Lb. delbrueckii* phage LL-H, and *S. thermophilus* phage 031-D) where a milder effect was observed. Consequently, the biocide efficiency of isopropanol will not be subsequently debated.

*Streptococcus thermophilus* phages CYM, 021-4, 021-5, 0BJ, and 031-D showed a moderate sensitivity to ethanol, as viral counts were 99% reduced ( $T_{99}$ ) after less than 5 min of incubation in 75% (v/v) ethanol (optimal concentration), and became undetectable after 15 min of contact with either 75 or 100% (v/v) concentrations. An exception was phage 021-4, which greatly resisted 100% (v/v; >45 min; **Table 2**), although being highly sensitive to 75% (v/v) ethanol (Binetti and Reinheimer, 2000). Lactococcal phages were, instead, more affected by pure ethanol than by 50 or 75% (v/v) dilutions, with the exception of phage QP4, which possesses a very low resistance to 75% (v/v) ethanol. As a group, *Lb. helveticus* phages were the most sensitive to ethanol treatments of all studied LAB phages. Collection phages CNRZ 832-B1 and CNRZ 0241 were inactivated by 50% (v/v) ethanol after 5 min of incubation. Curiously, the former was moderately affected by a concentration of as low as 10% (v/v) ethanol, being the only phage with a reported  $T_{99}$  lower than 45 min for such concentration (**Table 2**). Phages hv and ATCC 15807-B1, instead, were more sensitive to a higher concentration (75% v/v) (Quiberoni et al., 1999). *Lb. delbrueckii* phages, either of virulent (Quiberoni et al., 2003) or temperate (Ebrecht et al., 2010) nature, were most sensitive to 100% (v/v) ethanol, and showed dissimilar  $T_{99}$  values at this concentration, ranging from 22.2 min for phage Ib<sub>3</sub> (the most resistant) to 2 min or less for phages YAB and temperate phage Cb1/204 (**Table 2**). In turn, LL-H was the only *Lb. delbrueckii* phage completely inactivated after 30 min in 75% (v/v) ethanol (Quiberoni et al., 2003). Interestingly, temperate phages Cb1/204 and Cb1/342 presented lower  $T_{99}$  values than 3 out of the 4 virulent phages studied (**Table 2**). Concerning either virulent phages PL-1, J-1 (Capra et al., 2004), and MLC-A (Capra et al., 2006), or temperate phages iLp84 and iLp1308 (Mercanti et al., 2012), all infective for *Lb. casei* and *Lb. paracasei*, a similar pattern of inactivation was obtained after incubation with ethanol: a fast initial reduction of about two-log orders was followed by a plateau, yielding almost the same phage titers after 15 or 45 min of treatment. For all *Lb. casei* and *Lb. paracasei* phages, best inactivation was achieved at 75% (v/v). Finally, *Lb. plantarum* phages were only slightly inactivated with 100% (v/v; the best concentration) or 50% (v/v) ethanol, and almost not affected by 75% (v/v), which is optimal for many other LAB phages (Briggiler Marcó et al., 2009). Apart from this peculiarity, inactivation rates were similar between *Lb. casei* or *Lb. paracasei* and *Lb. plantarum* phages.

With respect to the mechanism of action of ethanol, a study conducted by Maillard et al. (1996a) showed capsid alterations on

F116, a phage infective for *Pseudomonas aeruginosa*; as expected, no DNA damage was evidenced. The antibacterial attribute of alcohols is mainly due to alterations on the lipid fraction of the plasmatic membrane. For this reason, naked phages are likely to be naturally more resistant than bacteria to ethanol challenging.

To sum up, it can be concluded that there are three levels of phage sensitivity to ethanol. In general, the most susceptible (though moderately) phages were those infecting dairy cocci: *L. lactis* and *S. thermophilus*. Among lactobacilli, *Lb. helveticus* were readily inactivated as well. In the middle are placed phages infecting *Lb. delbrueckii*, with dissimilar resistance showed by different phages. Finally, phages infecting *Lb. plantarum*, *Lb. casei* and *Lb. paracasei* were highly resistant to ethanol treatments. In general, the efficiency of phage inactivation accomplished by ethanol, though superior than that of isopropanol, is still not sufficient for industrial applications. Besides, the absence of an optimal ethanol concentration able to kill a broad range of dairy phages makes this compound unsuitable as an anti-phage strategy in the dairy industry.

Resistance to sodium hypochlorite of phages infective for diverse LAB genera resembles that exhibited against ethanol. Firstly, *Lb. helveticus*, *L. lactis*, and *S. thermophilus* phage particles were completely eliminated by a concentration of residual free chlorine as low as 100 ppm (Quiberoni et al., 1999; Binetti and Reinheimer, 2000; Suárez and Reinheimer, 2002); only *L. lactis* phages 046 and QP4 required a stronger inactivation treatment (300 ppm for 45 min and 200 ppm for 30 min, respectively; Suárez and Reinheimer, 2002). On the other hand, two temperate *Lb. delbrueckii* phages (Ebrecht et al., 2010) were readily killed as well. However, likewise for ethanol inactivation, there were other *Lb. delbrueckii* phages with a noticeably greater resistance (BYM, YAB, and LL-H), requiring between 5 and 45 min in the presence of 400 ppm of residual free chlorine (Quiberoni et al., 2003) for complete inactivation. Special attention deserves *Lb. delbrueckii* phage Ib<sub>3</sub>. Unexpectedly, it exhibited the highest phage resistance to sodium hypochlorite ever measured, requiring a minimal concentration of 1200 ppm of residual free chlorine for at least 45 min for complete inactivation; this fact has been attributed to a long persistence of the phage in industrial environments (Quiberoni et al., 2003). As regards of *Lb. plantarum* and *Lb. casei*, *Lb. paracasei* phages, all exhibited similar resistance to sodium hypochlorite. For four *Lb. plantarum* phages, exposures of 15 min (phage ATCC 8014-B2) and 30 min (phages ATCC 8014-B1, FAGK1, and FAGK2) to 800 ppm of residual free chlorine were necessary to completely inactivate phage suspensions (Briggiler Marcó et al., 2009). Similarly, Capra et al. (2004) reported a minimum incubation of 5 min at 800 ppm of residual free chlorine as necessary to completely inactivate *Lb. casei* and *Lb. paracasei* phage suspensions, whereas Mercanti et al. (2012) reported dissimilar results from one temperate *Lb. paracasei* to another: a concentration of 400 ppm was able to quickly eliminate iLp1308, but 30 min at 800 ppm were necessary for complete inactivation of iLp84, even though a  $T_{99} < 2$  min was calculated for the latter (**Table 2**). As 800 ppm of free chlorine is a concentration perceptively higher than that permitted in the dairy industry (Briggiler Marcó et al., 2009), chlorine could be usable only at laboratory scale for the inactivation of *Lb. plantarum*, *Lb. casei* and *Lb. paracasei* phages.



According to some studies, sodium hypochlorite would cause phage inactivation through aggregation of tail proteins (Maillard et al., 1998), in addition to several structural alterations to the bacteriophage capsid; which is likely to be related to the release of phage DNA into the external medium. Overall, sodium hypochlorite could be regarded as a good (but not excellent) choice for industrial phage inactivation. It was quite effective for most challenged phages, but there are some of them which resisted biocide concentrations much greater than those permitted in an industrial sanitization process.

Peracetic acid (also known as ethaneperoxoic acid or peroxyacetic acid) is commercially available in the form of a mixture containing acetic acid, hydrogen peroxide, peracetic acid, and water in a quaternary equilibrium (Gehr et al., 2003). With regard to its use in the dairy industry, it has been widely documented as the best practical agent for phage inactivation. Its major advantages include its ability to efficiently inactivate most microorganisms, its resistance to catalase and peroxidase (enzymes which break down hydrogen peroxide) and its degradation into environmentally friendly products (acetic acid and hydrogen peroxide). Peracetic acid maintains its activity over a wide temperature (0–40°C) and pH (3.0–7.5) ranges and in hard water, and is not affected by protein residues. These characteristics allow to safely use it in the food industry and even for cleaning-in-place (CIP) practices. Concerning the virucidal activity of peracetic acid, there are many works reporting a fast and effective inactivation of a broad spectrum of LAB phages, including phages infecting *S. thermophilus* (Binetti and Reinheimer, 2000), *L. lactis* (Suárez and Reinheimer, 2002), and several species of dairy lactobacilli, including *Lb. delbrueckii* (Quiberoni et al., 2003; Ebrecht et al., 2010), *Lb. helveticus* (Quiberoni et al., 1999), *Lb. plantarum* (Briggiler Marcó et al., 2009), *Lb. casei* and *Lb. paracasei* (Capra et al., 2004, 2006; Mercanti et al., 2012). In addition, this chemical proved to be effective for the inactivation of non-LAB phages, such as *P. aeruginosa* phage F116 (Maillard et al., 1993). In all cases, a treatment of 5 min (or 2 min in some cases) at 40°C was enough to drop phage counts to undetectable levels, even of phages highly resistant to other chemical or thermal treatments, such as *Lb. delbrueckii* phage Ib<sub>3</sub> (Ebrecht et al., 2010). Peracetic acid is normally used at a concentration of 0.15% (v/v) in water; the low pH of this aqueous solution (around 2) has been suggested to be responsible for phage inactivation (Mercanti et al., 2012). Schröder (1984) proposed 40°C as the most effective temperature to inactivate bacteria, yeasts, and fungi using peracetic acid. However, effectiveness for the inactivation of *Lb. plantarum* (Briggiler Marcó et al., 2009), *Lb. casei* and *Lb. paracasei* (Mercanti et al., 2012) phages was found to be similar at either 25 or 40°C, thus recommending the use of this temperature for industrial convenience. Peracetic acid exerts its action through the hydroxyl radical ( $\cdot\text{OH}$ ), which reacts with any oxidizable compound present in its neighborhood, damaging practically any kind of macromolecule associated with a microorganism and eventually leading to its death. Complete rupture of the nucleic acid is accomplished during bacteriophage inactivation, but whether it occurs inside the capsid or after the DNA is released due to a capsid structural damage remains unclear. Such alteration of the capsid structure was observed by SDS-polyacrylamide gel electrophoresis (Maillard et al., 1996a,b).

There are several new biocides that are being progressively used by the dairy industry. Among these, it is worth mentioning: (i) quaternary ammonium chloride, (ii) peracetic and peroctanoic acids with hydrogen peroxide (Vortexx; Ecolab, 2011), (iii) an alkaline chloride foam (Active CL), (iv) *p*-toluenesulfonchloroamide, sodium salt (Clorina F; Cibsa, 2011), and (v) ethoxylated nonylphenol with phosphoric acid. However, very scarce data about their efficiency on LAB phages inactivation are available so far, with reports only on *Lb. delbrueckii* (Ebrecht et al., 2010), *Lb. casei* and *Lb. paracasei* (Mercanti et al., 2012) phages. Phage-killing activity was rather variable among these chemicals. Both alkaline chloride foam or ethoxylated nonylphenol with phosphoric acid were exceptionally efficient, which could be attributable to their extreme pH values (>12 and <2, respectively); phages of species were not detected after 2 min of treatment (Ebrecht et al., 2010; Mercanti et al., 2012). Quaternary ammonium chloride proved to be a usable biocide as well, but its efficiency quickly diminished at concentrations slightly under the optimal recommended, especially for *Lb. delbrueckii* phages (Ebrecht et al., 2010). *Lb. casei* and *Lb. paracasei* phages were more sensitive to low concentrations of quaternary ammonium chloride, but also in this case its effectiveness differed among the two phages studied (Mercanti et al., 2012). On the contrary, *p*-toluenesulfonchloroamide showed no reduction on phage numbers, even when this compound was utilized without dilution and for longer incubation times, up to 2 h on *Lb. casei* and *Lb. paracasei* phages (Mercanti et al., 2012).

In turn, very different inactivation rates were observed between *Lb. delbrueckii*, *Lb. casei* and *Lb. paracasei* phages when using Vortexx. Whereas all the *Lb. delbrueckii* phages studied were thoroughly inactivated by 0.13% (v/v) Vortexx [with the exception of phage Ib<sub>3</sub>, which was only inactivated by a concentration of 0.26% (v/v); Ebrecht et al., 2010], this biocide failed to produce any evident reduction on *Lb. casei* and *Lb. paracasei* phage counts at a concentration of 0.26% (v/v) (Mercanti et al., 2012). Vortexx is publicized as a novel product with excellent sanitizing results at room temperature and delivering wide antimicrobial activity, including yeasts, mold, and bacteria, at much lower concentration than peracetic acid used alone (Ecolab, 2011). This lower peracetic acid concentration raises the pH of Vortexx (at the recommended concentration of use) to values between 3.1 and 3.4. Unfortunately, this higher pH appears to be critical for the inactivation of some phages, as both iLp84 and iLp1308 were quick and completely inactivated by 0.15% (v/v) peracetic acid, whilst 0.26% (v/v) Vortexx yielded no significant titer reductions after 20 min of incubation (Mercanti et al., 2012).

## INSIGHTS TO NEW INACTIVATION TREATMENTS

### PHOTOCATALYSIS

Raw milk is regarded as one of the main sources of phages, thus representing their most important way of entry to the industrial environment (Everson, 1991; Josephsen and Neve, 1998). Moreover, the capability of bacteriophages to remain in the air for long periods makes bioaerosols one of the most important dispersion routes of virions. Unfortunately, none of the currently available technologies is appropriate to inactivate bacteriophages suspended in the air of dairies, either in laboratory or in processing plants (Quiberoni et al., 1999, 2004, 2006).

The photocatalytic properties of TiO<sub>2</sub> have been the subject of much research, mainly for the photochemical pollutant oxidation. Several advantages of photocatalysis make this methodology an excellent alternative to the traditional chemical disinfection: (i) absence of residues, (ii) simultaneous treatment of diverse pollutant mixtures, (iii) broad range, and (iv) ease of operation. Besides, the low cost, high abundance and safety of TiO<sub>2</sub> make this compound the most frequently selected catalyst (Hoffmann et al., 1995; Fujishima et al., 2000; de Lasa et al., 2005). Semiconductor TiO<sub>2</sub> generates highly oxidizing species (O<sub>2</sub><sup>-</sup> and ·OH) when photoexcited by UV radiation, thus catalyzing various chemical reactions, including the decomposition of organic compounds and destruction of microorganisms (Horie et al., 1996).

Photocatalysis application has been mostly intended to destroy fungi, bacteria, and spores in the air (Kakita et al., 2000; Kashige et al., 2001; Koziumi and Taya, 2002; Kühn et al., 2003; Cho et al., 2005; Pal et al., 2007; Ditta et al., 2008; Zacarias et al., 2010) though its efficiency for the inactivation of viruses contained in bioaerosols has been scarcely explored. Furthermore, only a very few studies have been focused on the combined application of UV and TiO<sub>2</sub> in order to avoid phage dissemination in the environment. Sjogren and Sierka (1994) were able to reduce the titer of a ms2 phage (specific for *Escherichia coli*) suspension by a factor of 10 after 10 min of irradiation at 2 mW/m<sup>2</sup> in the presence of TiO<sub>2</sub> in a continuously stirred batch reactor. Regarding phages infecting LAB, Kakita et al. (2000) have reported the inactivation of phage PL-1 (*L. casei*) by using a ceramic preparation coated with a mixture of oxides including TiO<sub>2</sub> and AgO. The experiment was conducted in liquid medium and the suspended phages were subjected to irradiation with black-light (BL; 300–400 nm) in the presence of TiO<sub>2</sub> thin film. These authors demonstrated the conversion of the virions into inactivated ghost particles, having an empty head without DNA. Later, Kashige et al. (2001) investigated the PL-1 phage inactivation mechanism; the authors found that the genome DNA inside the phage capsids was fragmented, suggesting a previous damage to the capsid protein by active oxygen species generated in the TiO<sub>2</sub> film-settled aqueous medium under BL. The generation of both superoxide anions (O<sub>2</sub><sup>-</sup>) and hydroxyl radicals (·OH) in the aqueous medium was verified by chemical analysis, whereas electrophoresis confirmed a considerable phage genome DNA fragmentation.

More recently, TiO<sub>2</sub> photocatalysis was reported as a feasible methodology for the inactivation of phages infecting several LAB species, since this technology seemed to be very efficient to this purpose (Briggiler Marcó et al., 2009, 2011). To our knowledge, these studies are the first ones referring photocatalysis (UV radiation and TiO<sub>2</sub>) applied to phages in bioaerosols. The laboratory reactor used by Briggiler Marcó et al. (2009, 2011) consists of an UV-A radiation emitting system (wavelength range of 300–420 nm, maximum emission at about 350 nm), an irradiation chamber, and a support to hold the TiO<sub>2</sub>-coated borosilicate glass plates (Zacarias et al., 2010). The photocatalytic inactivation was applied on phage suspensions (10<sup>7</sup> plaque forming units (PFU)/ml) prepared in distilled water. Phage suspensions were taken in the TiO<sub>2</sub>-coated plates, further covered with a borosilicate glass to create a thickness similar to bioaerosol

drops, and finally irradiated in a chamber with UV light for a maximum period of 3 h. Very dissimilar behaviors were demonstrated by each bacteriophage under the same photocatalytic treatment. For instance, undetectable counts (<10 PFU/ml) were achieved for phage BYM after 45 min of exposure, but 60 min were necessary to attain similar results (<50 PFU/ml) for phages ATCC 8014-B1 and ATCC 8014-B2. Likewise, undetectable counts (<10 PFU/ml) of phages J-1 and QF9 particles were reached after 120 min of exposure. Contrarily, phages ATCC 15807-B1 and Ib<sub>3</sub> were able to resist up to 180 min of treatment, exhibiting viability losses of only 1.2 log orders. A similar performance was demonstrated by phage MLC-A, with a loss of viable particles of only 2.4 log orders after 120 min of exposure, (Briggiler Marcó et al., 2009, 2011). Regarding the photocatalytic inactivation efficiency ( $\eta_{\text{abs}}$ ), values calculated by the authors differed in more than two-log orders among all the phages tested (Table 3). The highest inactivation efficiency was experimented by the bacteriophage ATCC 8014-B2 ( $\eta_{\text{abs}} = 4.244 \times 10^{-11}$  PFU/photon), whereas the lowest was that calculated for the phage Cb1/342 ( $\eta_{\text{abs}} = 0.171 \times 10^{-12}$  PFU/photon). Besides, the authors observed that related phages, lytic for the same strain and morphologically similar, evidenced different behavior under the same conditions of photocatalytic inactivation. Attempting to explain these results, a dissimilar stability of viral proteins against the absorbed radiation energy was postulated. Considering the capsomers as a protective shield for viral DNA against the damage caused by the photocatalysis, thus different primary and conformational structure of capsid proteins would be relevant factors affecting the resulting inactivation kinetics (Briggiler Marcó et al., 2011). Articles focusing on the photocatalytic inactivation of microorganisms and or viruses hardly report their efficiency in terms of photons absorbed. In this sense, the only known study concerning TiO<sub>2</sub> photocatalytic inactivation expressed in terms of photons absorbed was applied on bacterial cells, specifically on *E. coli* suspensions (Marugán et al., 2008) and the kinetic analysis was reported. An apparent photonic efficiency in the order of 10<sup>-11</sup> CFU/photon was calculated by the authors for the maximum *E. coli* disinfection rate achieved during the experiments. Comparable inactivation orders (of approximately 10<sup>-11</sup> PFU/photon) were obtained by Briggiler Marcó et al. (2009) when analyzing inactivation efficiency of dairy bacteriophages, showing *Lb. plantarum* phage ATCC 8014-B2 the highest inactivation efficiency (Table 3).

Briggiler Marcó et al. (2009, 2011) demonstrated the efficiency of the photocatalysis as a new methodology to be applied in dairies, where LAB represent the target of bacteriophage attacks. In comparison to the UV-C and UV-C/TiO<sub>2</sub> units currently available in the market for the environmental air purification (Kover Synergy, 2010; Novapure, 2010), the UV-A radiation assayed by the authors has the advantage of safe use, thus allowing their application for long periods even in the presence of personnel. Additional research, particularly focused on the photocatalysis inactivation mechanism and the method efficiency, are indispensable for the design of equipment to be used in industrial environments, either in plants or in laboratories.

**Table 3 | Efficiency of photocatalytic inactivation of dairy bacteriophages (data adapted from Briggiler Marcó et al., 2011).**

Phage	Phage classification <sup>a</sup>	Sensitive strain <sup>b</sup>	$\eta_{abs}$ (PFU/photon)
CNRZ 832-B1	<i>Myoviridae</i>	<i>Lactobacillus helveticus</i> CNRZ 892	$1.161 \times 10^{-12}$
CNRZ 0241			$0.812 \times 10^{-12}$
ATCC 15807-B1	<i>Siphoviridae</i>	<i>Lb. helveticus</i> ATCC 15807	$0.212 \times 10^{-12}$
ATCC 8014-B1		<i>Lactobacillus plantarum</i> ATCC 8014	$2.125 \times 10^{-11}$
ATCC 8014-B2			$4.244 \times 10^{-11}$
FAGK1			$1.050 \times 10^{-11}$
FAGK2			$3.437 \times 10^{-12}$
Cb1/204		<i>Lactobacillus delbrueckii</i> subsp. <i>lactis</i> 204	$0.257 \times 10^{-12}$
Cb1/342	<i>Lb. delbrueckii</i> subsp. <i>bulgaricus</i> 342	$0.171 \times 10^{-12}$	
CHD	<i>Lactococcus lactis</i> Cl2	$1.135 \times 10^{-12}$	
QF9		$0.330 \times 10^{-12}$	
OBJ	<i>Streptococcus thermophilus</i> JB15	$0.362 \times 10^{-12}$	
MLC-A	<i>Lactobacillus paracasei</i> A	$0.709 \times 10^{-12}$	
J-1	<i>Lactobacillus casei</i> ATCC 27139	$2.273 \times 10^{-12}$	

<sup>a</sup>Classification of the International Committee on Taxonomy of Viruses (ICTV) as illustrated by Ackermann (2007).

<sup>b</sup>CNRZ, Centre National de la Recherche Zootechnique. ATCC, American Type Culture Collection, Manassas, VA, USA.

$\eta_{abs}$ , photocatalytic inactivation efficiency. PFU, plaque forming units.

### HIGH-PRESSURE TREATMENTS

Thermal treatments such as pasteurization and sterilization were traditionally used in food industry for assuring safety and longer shelf-life of food products. Although they are efficient and economical processes to inactivate microorganisms, they can cause undesirable protein denaturation, non-enzymatic browning, and loss of vitamins and volatile flavor compounds. Bearing in mind the idea of avoiding undesirable consequences of heating, in the last years considerable efforts were focused on the development of novel non-thermal preservation processes. Among them, high-pressure processing is one of the most promising, because it combines maximal retention of the chemical and physicochemical product properties with efficient germ reduction (Lado and Yousef, 2002; Diels and Michiels, 2006). The most studied and applied pressure-based processes are high hydrostatic pressure (HHP) and high-pressure homogenization (HPH). Although the apparent similarities between both technologies, they have some relevant differences that should be pointed out. First of all, they have very dissimilar exposure time; this parameter abruptly diminishes from a few minutes in HHP to a second or less in HPH (Wuytack et al., 2002). HPH is a high hydrodynamic process during which the fluid is forced to pass through a small orifice and then subjected to an ultra-rapid decompression. The sudden fall in the local pressure of the fluid at constant temperature lead to the nucleation and growth of vapor bubbles (or cavities) within the body of liquid, the collapse of which could transmit several localized forces to surfaces or particles, including the microbial cell (Middelberg, 1995). On the other hand, HHP systems consist of a pressure vessel, the pressure transmission fluid (usually water) and one or more pressurizing pumps. The packaged food is loaded into the vessel, the top is closed and the fluid is pumped into the vessel from the bottom. When the desired pressure is reached, pumping is stopped, valves are closed and the pressure is maintained without further need for energy input. The pressure is rapidly and uniformly transmitted

throughout the pressure fluid and the food. The high pressure is applied isostatically so that all parts of the food are subjected to the same pressure at exactly the same time. In the case of liquids, such as fruit juices, the whole vessel can be filled with the juice, which becomes itself the pressure transmission fluid (Patterson, 2005).

Homogenization was developed many years ago with the main purpose of enhancing the texture, taste, flavor, and shelf-life of food emulsions, particularly dairy products like milk and cream butter. As the consumers began to claim for more “natural” and fresh foods with improved stability, safety and extended shelf-life, a new generation homogenizers was consequently developed, giving as a result the origin of HPH (Diels and Michiels, 2006). Besides the food industry, HPH is frequently applied in the pharmaceutical, cosmetic, and chemical industries for the preparation or stabilization of emulsions and suspensions, or to yield viscosity changes in products (Floury et al., 2004). HPH-treated milk seemed to be very convenient to improve cheese quality and physicochemical properties of fermented milks as well (Burns et al., 2008). HPH could be also applied to cell disruption of yeasts and bacteria, thus causing a partial inactivation of the microbial population and allowing the release of intracellular components (Guerzoni et al., 1999). As a consequence, HPH has been regarded as an alternative to other processes commonly applied for the inactivation of pathogenic and spoiling microorganisms (heat, sterilization, and irradiation) (Diels et al., 2005; Diels and Michiels, 2006).

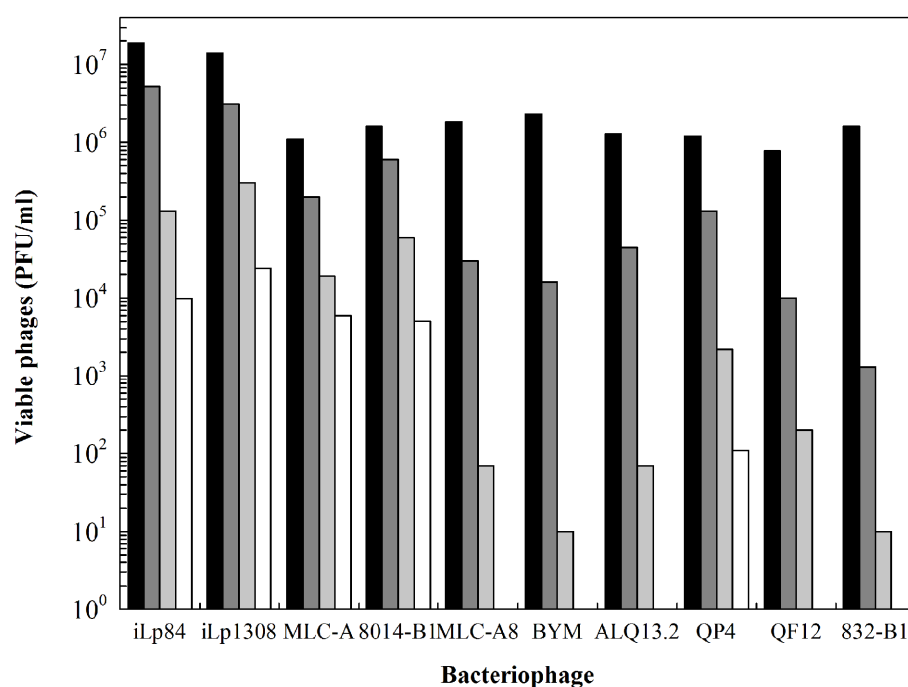
Viral inactivation by HPH treatments was not profoundly studied, especially when distinctively speaking of bacteriophages (Moroni et al., 2002; Diels and Michiels, 2006; Capra et al., 2009b; D’Souza et al., 2009). As it was previously mentioned, raw milk is regarded as the main source of phages entering to the dairies, some of them possessing a remarkable thermal resistance (Capra et al., 2004; Briggiler Marcó et al., 2009). HPH could be therefore used as an unconventional treatment



alternative to heat. Nevertheless, only a few reports are available in the bibliography. By using electron microscopy, Moroni et al. (2002) observed that HPH-treated lactococcal phages were possibly inactivated by the loss of genetic material from fragmented phage heads. Either total or partial loss of phage tails was also observed, rendering them incapable of adsorption to the bacterial cell wall. Results obtained by the authors demonstrated a statistically significant difference in sensitivity to HPH between the two morphology-types of phages: prolate-headed (phage c2) and isometric-headed (phages sk1 and ul36), being the prolate head less stable than the isometric one. More recently, phages infecting several species of lactic and probiotic bacteria were also studied. Amongst them, two temperate *Lb. paracasei* phages (iLp84 and iLp1308) showed a logarithmic reduction of viability along with the successive passes at 100 MPa when suspended in RSM (Mercanti et al., 2012). For these phages, falls of 4 and 4.5 log orders were observed, respectively, after five passes at 100 MPa (Figure 2). These results were similar to those previously obtained for phages MLC-A (specific for *Lb. paracasei*), ATCC 8014-B1 (*Lb. plantarum*), and CNRZ 832-B1 (*Lb. helveticus*) subjected to the same experimental conditions (Capra et al., 2009b), observing viability losses of 3.8, 3.75, and 2.0 log orders, respectively. In turn, phages MLC-A8 (*Lb. casei*), BYM (*Lb. delbrueckii*), ALQ13.2 (*S. thermophilus*), QP4 and QF12 (*L. lactis*) were not detected after only five passes at 100 MPa through the high-pressure homogenizer. It is interesting to emphasize that related phages MLC-A and MLC-A8, lytic for the same probiotic *Lb. paracasei* strain, showed remarkably different behavior after HPH treatment, being the former more resistant than the

latter. In addition, HPH treatments applied to different initial concentrations of the same phage rendered dissimilar inactivation efficiency. Contrarily to earlier reported results (Moroni et al., 2002; Capra et al., 2009b), working specifically with phage MLC-A, found that the higher the initial phage load, the greater the reduction achieved. On the other hand, bacteriophage inactivation rate showed to be proportional to both applied pressure and number of passes. Concerning the influence of suspension media (milk, whey permeate, buffer), results were very dependent on each phage. Although some authors (Moroni et al., 2002) reported diminished HPH effectiveness in milk and whey permeate, no clear protective effect for any of the assayed media was detected by Capra et al. (2009b).

Similarly to HPH, HHP has been proposed as an alternative to the thermal treatments applied in food preservation (Patterson, 2005). Advantages of HHP (preservation of the fresh taste and nutrient content, among others) in comparison to heat processes are comparable to those previously presented for HPH. Besides these advantages, effectiveness of HHP in inactivating different microbial species has been reported (Ananta and Knorr, 2009). Regarding bacteriophage inactivation, scarce data are available, although some authors have documented HHP inactivation of dairy phages. Specifically, *L. lactis* phages P001 and P008, suspended in enriched M17-broth, were treated at up to 600 MPa (Müller-Merbach et al., 2005). Both phages are commonly found in German dairy plants, being representative of c2 (prolate head) and 936 (isometric head) species, respectively. The isometric phage P008 was considerably more resistant, with a 5 log order reduction after 2 h at 600 MPa, whereas the same titer reduction was



**FIGURE 2 | Viability of dairy bacteriophages after multi-pass high-pressure homogenization treatments at 100 MPa in reconstituted skim milk.** Values correspond to viable phage particles

(PFU/ml) of (■) untreated samples, and after (■) one, (■) three, and (□) five passes. Data adapted from Capra et al. (2009b) and Mercanti et al. (2012).

obtained for prolate phage P001 during the pressure-build-up time. The greater inactivation observed for the prolate head-phage led the authors think about a correlation between phage morphology and stability, in agreement with the previous hypothesis of Moroni et al. (2002). According to the latter authors, the long form of the prolate head make it less stable than the isometric structure, thus turning prolate head-phage particle more sensitive to high-pressure treatments.

## CONCLUSION

Phage control measures should be focused on avoiding dissemination of phages, so as to maintain their titers under critical levels. Diverse chemical and physical treatments industrially employed for sanitization showed different rates of efficiency on phage inactivation. Considering thermal treatments, either LTLT or HTST pasteurization conditions would not assure a complete inactivation of phage particles, especially of those very thermo-resistant, and even 90°C were not enough to inactivate some dairy phages.

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