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CYLINDRICAL THIN-SHELL WORMHOLES AND ENERGY CONDITIONS

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We prove the impossibility of cylindrical thin-shell wormholes supported by matter satisfying the energy conditions everywhere, under reasonable assumptions about the asymptotic behavior of the –in general different–metrics at each side of the throat. Besides, we establish necessary conditions for the possibility of non-exotic thin-shell wormholes.

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A wormhole configuration connects two regions of spacetime by a throat, thus implying a nontrivial topology, and some consequent interesting features as, for example, the possibility of closed timelike curves.¹⁻⁴ In the case of wormholes with compact throats, this is defined as a minimal area surface,² where a flare-out condition is satisfied. The main objection against the actual existence of such wormholes is that, in the framework of General Relativity, they require the presence of exotic matter, i.e. matter violating the energy conditions.² For wormhole geometries with infinite throats, as cylindrical wormholes are, $^{5-10}$ the flare-out condition can be understood in two ways: The areal flare-out condition states that the area per unit length must increase with the radius^{9,10}; this leads to the impossibility of fulfilling the energy conditions globally.¹¹ However, in Ref. 11 it was also pointed that for cylindrical wormholes it may be more appropriate to consider the radial flare-out condition, which only demands that the length of a circumference increases with the radius (see also Ref. 12–15). Within this approach, wormhole configurations satisfying the energy conditions¹¹ were found (though negative results were obtained for flat and conical asymptotics). Besides, within the thin-shell class, for cylindrical wormholes it was shown that a positive energy density at the throat is possible.¹⁵

However, in Ref. 15 we were not able to find solutions completely satisfying the energy conditions; their existence was not discarded, but was left as an open question. Therefore, here we shall address the construction and matter characterization of cylindrical wormholes of the thin-shell class, working under the radial definition of the throat. We shall restrict our analysis to static configurations, and we shall focus on the normal or exotic character of the matter required. We shall prove the impossibility of cylindrical thin-shell wormholes supported by matter satisfying the energy conditions everywhere, under the following assumptions:

- (i) The asymptotic behavior of the geometries at each side are either flat, local cosmic string-like (i.e. conical) or of the generic Levi-Civita form; they are not necessarily of the same type at both sides.
- (ii) Apart from the required continuity of the line element and a weak condition on the first derivatives of the metric functions (see below), no assumption is made about the metric at the throat.
- (iii) Both metrics have continuous first derivatives outside the wormhole throat (the only shell is at the throat).

These conditions include a wide class of generally asymmetric wormholes; symmetric ones, which correspond to equal metrics everywhere at both sides of the throat, are of course included in our analysis as a particular case. As a corollary, we shall obtain necessary conditions that the throat and asymptotic behavior of the metrics must satisfy to allow for the existence of non-exotic configurations.

Let us start from two manifolds \mathcal{M}_1 and \mathcal{M}_2 with metrics of the most general static cylindrically symmetric form¹⁶

$$ds_{12}^2 = e^{2(K_{12} - U_{12})} (dt_{12}^2 - dr_{12}^2) - e^{-2U_{12}} W_{12}^2 d\varphi_{12}^2 - e^{2U_{12}} dz_{12}^2, \tag{1}$$

where K_{12} , U_{12} and W_{12} are functions of the radial coordinates r_{12} . For such metrics the Einstein equations relating the geometry with the energy–momentum tensor $\tilde{T}^{\nu}_{\mu} = 8\pi e^{2(K-U)}T^{\nu}_{\mu} = \text{diag}(-\tilde{\rho}, \tilde{p}_r, \tilde{p}_{\varphi}, \tilde{p}_z)$ take the form

$$\tilde{\rho}_{12} = -\frac{W_{12}''}{W_{12}} + K_{12}' \frac{W_{12}'}{W_{12}} - U_{12}'^2, \qquad (2)$$

$$\tilde{p}_{r12} = K_{12}' \frac{W_{12}'}{W_{12}} - U_{12}'^{2}, \tag{3}$$

$$\tilde{p}_{\varphi 12} = K_{12}^{\prime\prime} + U_{12}^{\prime 2},\tag{4}$$

$$\tilde{p}_{z12} = \frac{W_{12}''}{W_{12}} - 2U_{12}'\frac{W_{12}'}{W_{12}} + K_{12}'' - 2U_{12}'' + U_{12}'^{2}, \tag{5}$$

where primes denote radial derivatives. From these equations we obtain the quantities

$$\tilde{\rho}_{12} = -\frac{W_{12}''}{W_{12}} + K_{12}' \frac{W_{12}'}{W_{12}} - U_{12}'^2, \tag{6}$$

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$$\tilde{\rho}_{12} + \tilde{p}_{r12} = -\frac{W_{12}''}{W_{12}} + 2K_{12}'\frac{W_{12}'}{W_{12}} - 2U_{12}'^2, \tag{7}$$

$$\tilde{\rho}_{12} + \tilde{p}_{\varphi 12} = -\frac{W_{12}''}{W_{12}} + K_{12}' \frac{W_{12}'}{W_{12}} + K_{12}'', \tag{8}$$

$$\tilde{\rho}_{12} + \tilde{p}_{z12} = K'_{12} \frac{W'_{12}}{W_{12}} - 2U'_{12} \frac{W'_{12}}{W_{12}} + K''_{12} - 2U''_{12}, \tag{9}$$

which should all be positive or at least zero if no exotic matter exists. Now from the two manifolds \mathcal{M}_1 and \mathcal{M}_2 described by (1) we take the regions $r_{12} \ge a$ and paste them at the surface Σ given by $r_{12} = a$ to construct a new manifold \mathcal{M} . We assume that the metric functions and the coordinate choice guarantee the required continuity of the line element across Σ ; then the induced metric on Σ is unique. We also assume that the flare-out condition is satisfied at $r_{12} = a$, so that the geometry at each side opens up there. Then the manifold \mathcal{M} constitutes a wormhole with a matter shell at Σ , that is a thin-shell wormhole.

The geometry at both sides of this surface and the matter on it are related by the Lanczos equations $^{17-22}$

$$-[K_i^j] + [K]\delta_i^j = 8\pi S_i^j,$$
(10)

where K_i^j is the extrinsic curvature tensor defined by

$$K_{ij} = -n_{\gamma} \left(\frac{\partial^2 X^{\gamma}}{\partial \xi^i \partial \xi^j} + \Gamma^{\gamma}_{\alpha\beta} \frac{\partial X^{\alpha}}{\partial \xi^i} \frac{\partial X^{\beta}}{\partial \xi^j} \right) \Big|_{\Sigma}, \tag{11}$$

with n_{γ} the unit normal $(n^{\gamma}n_{\gamma} = 1)$ to Σ in \mathcal{M} . The coordinates of the 4-dimensional manifolds are labeled as $X^{\mu} = (t, r, \varphi, z)$, while the coordinates on the surface are $\xi^i = (\tau, \varphi, z)$; as usual, τ stands for the proper time measured by an observer at rest on Σ . The bracket $[K_i^j]$ denotes the jump $K_{i\,2}^j - K_{i\,1}^j$ across the surface Σ , $[K] = \delta_j^i [K_i^j]$ is the trace of $[K_i^j]$ and $S_i^j = \text{diag}(-\sigma, p_{\varphi}, p_z)$ is the surface stress–energy tensor, with σ the surface energy density and p_{φ} , p_z the surface pressures. For the metrics (1) these equations give the following expressions for the energy density and pressures on the shell:

$$\sigma = -\frac{e^{U_2 - K_2}}{8\pi} \frac{W_2'}{W_2} - \frac{e^{U_1 - K_1}}{8\pi} \frac{W_1'}{W_1},\tag{12}$$

$$p_{\varphi} = \frac{e^{U_2 - K_2}}{8\pi} K_2' + \frac{e^{U_1 - K_1}}{8\pi} K_1', \tag{13}$$

$$p_z = \frac{e^{U_2 - K_2}}{8\pi} \left(K_2' + \frac{W_2'}{W_2} - 2U_2' \right) + \frac{e^{U_1 - K_1}}{8\pi} \left(K_1' + \frac{W_1'}{W_1} - 2U_1' \right).$$
(14)

From this we obtain the following relations

$$\sigma = -\frac{e^{U_2 - K_2}}{8\pi} \frac{W_2'}{W_2} - \frac{e^{U_1 - K_1}}{8\pi} \frac{W_1'}{W_1},\tag{15}$$

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$$\sigma + p_{\varphi} = \frac{e^{U_2 - K_2}}{8\pi} \left(K_2' - \frac{W_2'}{W_2} \right) + \frac{e^{U_1 - K_1}}{8\pi} \left(K_1' - \frac{W_1'}{W_1} \right), \tag{16}$$

$$\sigma + p_z = \frac{e^{U_2 - K_2}}{8\pi} (K_2' - 2U_2') + \frac{e^{U_1 - K_1}}{8\pi} (K_1' - 2U_1').$$
(17)

In fact, the continuity of the metric across the throat would slightly simplify these expressions, but this is not relevant in the subsequent analysis.

As pointed above, the existence of a wormhole requires that the geometry opens up ("flare-out condition") at the throat, that is at r = a. The areal flare-out condition would impose

$$W_2 W_2' > 0 \quad W_1 W_1' > 0 \tag{18}$$

at r = a. This requirement automatically implies that the energy density is negative at the shell, and the energy conditions would be violated (see Ref. 11 for the same result without recalling singular sources). But the radial flare-out condition only implies

$$W_2'W_2e^{-2U_2} - U_2'W_2^2e^{-2U_2} > 0 \quad W_1'W_1e^{-2U_1} - U_1'W_1^2e^{-2U_1} > 0,$$
(19)

which is less restrictive. These conditions give

$$U_1' < \frac{W_1'}{W_1}$$
 and $U_2' < \frac{W_2'}{W_2}$. (20)

The condition $\sigma > 0$ ($\sigma = 0$ fulfils the energy conditions, but there would be no shell) requires that at least

$$\frac{W_1'}{W_1} < 0 \quad \text{or} \quad \frac{W_2'}{W_2} < 0.$$
(21)

On the other hand, the conditions $\sigma + p_i \ge 0$ on the shell impose that at least

$$K'_1 \ge \frac{W'_1}{W_1}$$
 or $K'_2 \ge \frac{W'_2}{W_2}$ (22)

and simultaneously

$$K_1' \ge 2U_1' \quad \text{or} \quad K_2' \ge 2U_2'.$$
 (23)

From these results, for a class of metrics with reasonably desirable asymptotics, we shall prove the impossibility of fulfilling the energy conditions everywhere outside the shell if $W'_1/W_1 < 0$ and $K'_1 \ge W'_1/W_1$, or if $W'_2/W_2 < 0$ and $K'_2 \ge W'_2/W_2$. This includes the case in which at both sides at the throat we have W'/W < 0, and also the case such that $K' - W'/W \ge 0$ at both sides. Of course, symmetric wormholes are included in this class too, as long as wormholes which are symmetric at the throat.

(i) Asymptotically flat geometries: In the case of an asymptotically flat behavior, we must have that when the radial coordinate goes to infinity it is e^{2U} ~ 1, e^{-2U} ~ 1, e^{2K} ~ 1 and W² ~ r². Then very far from the shell we should have W ~ r, which implies W' ~ 1.

- (ii) Asymptotically cosmic string behavior: This is the far behavior associated to a gauge or local cosmic string, and corresponds to a locally flat geometry with a deficit angle. This is given by $e^{2U} \sim 1$, $e^{-2U} \sim 1$, $e^{2K} \sim 1$ and $W^2 \sim \alpha^2 r^2$ with $\alpha^2 < 1$ ($\alpha^2 > 1$ would describe what is called a surplus angle). As in the preceding case, at infinity we have $W' \sim 1$.
- (iii) Asymptotically Levi-Civita metrics: In this quite interesting case we have that when we are very far from the shell the metric behaves as $e^{2U} \sim r^{2d}$, $e^{-2U} \sim r^{-2d}$, $e^{2(K-U)} \sim r^{2d(d-1)}$ and $W^2 \sim r^2$. Very far from the shell we have $K' - 2U' \sim d(d-2)/r$ and $K'' - 2U'' \sim -d(d-2)/r^2$. The Levi-Civita metric becomes invariant under boosts along the z-axis for d = 0 (which gives the flat or conical cases considered above) and d = 2. The length of a centered circumference increases with the radius only for d < 1; for d < 0 the length per unit of z coordinate decreases with r and vanishes for $r \to \infty$. Thus a reasonable assumption would be 0 < d < 1. Under this condition, the far behavior corresponds to K' - 2U' < 0 and K'' - 2U'' > 0. This will be of interest in the analysis presented in the Appendix; however, the hypothesis 0 < d < 1 is not central, because in any case the asymptotic behavior of W is such that W' > 0, and this constitutes the crucial point in our proof.

Suppose that at one side of the throat it is W'/W < 0 at r = a; then according to (20) to fulfill the radial flare-out condition we have U' < 0 and |U'| > |W'/W|. Then if $K' - W'/W \ge 0$ we have $K'W'/W \le |W'/W|^2 < |U'|^2 = U'^2$; hence it must be

$$K'\frac{W'}{W} - {U'}^2 < 0. (24)$$

Now, outside the shell the metrics and their derivatives are continuous. This means that the last inequality must be fulfilled by W and K', U', W' at $r = a^+$, that is in a region immediately beyond the wormhole throat, in the bulk where the energy and pressures are given by Eqs. (6)–(9). So let us assume that the energy conditions are fulfilled at $r = a^+$. From (6) this can be possible only if together with W'/W < 0the relation

$$-\frac{W''}{W} > 0 \tag{25}$$

is satisfied at $r = a^+$. But for all the metrics considered, we have the asymptotic behavior W'/W > 0. Therefore at some radius $a < r^* < \infty$ we necessarily must have W' = 0 together with W'' > 0. Then, as $U'^2 \ge 0$, the energy density is $\tilde{\rho}_{12} = -W''/W - U'^2 < 0$ at $r = r^*$ and the energy conditions are violated there. Note that this analysis does not require equal metrics at both sides of the throat, nor even that the metrics are of the same kind at infinity. One could have, for example, a cosmic string far behavior at one side, and a Levi-Civita far behavior at the other side; the steps followed to show that when at the throat there is a shell of normal matter then the energy conditions must be violated beyond it, still apply for different far behaviors. Though cylindrical wormholes supported by normal matter are possible,¹¹ any static cylindrical thin-shell wormhole geometry with the throat and asymptotic behaviors considered here requires exotic matter at some finite value of the radial coordinate. On the other hand, the negative results stated in Ref. 11 are then recovered for singular sources, and besides, for such matter distributions, are extended to more general asymptotic behaviors.

Now let us briefly discuss the complementary point of view. Because $\sigma > 0$ requires at least $W'_1/W_1 < 0$ or $W'_2/W_2 < 0$ at the throat, let us assume, without losing generality, that at r = a we have $W'_1/W_1 < 0$. Then, if we keep the restriction that W'_1 must be positive at infinity, to avoid exotic matter we should require at least that at the throat radius the metric also satisfies $K'_1 \leq W'_1/W_1$. Now, the condition $\sigma + p_{\varphi} \geq 0$ leads to the requirement $K'_2 \geq W'_2/W_2$ at the other side of the throat. But if we also keep the condition that asymptotically $W'_2 > 0$ the analysis above implies that we must admit $W'_2/W_2 > 0$ at r = a, and then $\sigma > 0$ forces there the relation $e^{U_2-K_2}|W_2'/W_2| \leq e^{U_1-K_1}|W_1'/W_1|$. Finally, the continuity of the metric (i.e. $e^{U_2}/|W_2| = e^{U_1}/|W_1|$ at r = a) simplifies the relation to $e^{-K_2}|W_2'| \leq e^{-K_1}|W_1'|$ at the throat. Of course, we have reached this point from the asymptotic behavior W' > 0, which is common to flat, cosmic string-like and Levi-Civita metrics. However, we could relax this condition, and this could be done keeping the desirable property of an ever increasing circumference length, by demanding that U' < W'/W < 0 asymptotically. Thus, an alternative starting point in the search of non-exotic configurations is to assume the latter form for the asymptotic behavior of the metric. In this sense, our analysis has left us with, at least, necessary relations that should hold between the asymptotic behaviors of the metrics at each side and their first derivatives at the throat so that non-exotic matter could support cylindrical thin-shell wormholes. In summary, in relation with the question posed in Ref. 15, here we have a no go result excluding a wide class of metrics as candidates, and we have obtained a guess of the kind of conditions which narrow the search for a positive answer.

Appendix

In the case of a Levi-Civita metric with 0 < d < 1 we can also carry out the following analysis: If in order to fulfill the energy conditions at the shell we assume that at the same side of the throat it is W'/W < 0 and K' - 2U' > 0 at r = a, then at this radius we have

$$K'\frac{W'}{W} - 2U'\frac{W'}{W} < 0.$$
 (A.1)

Besides, the continuity of the first derivatives of the metric implying K' - 2U' > 0at $r = a^+$, plus the requirement of $\tilde{\rho} + \tilde{p}_z \ge 0$ there, yields

$$K'' - 2U'' > 0 (A.2)$$

immediately beyond the throat. But as we have the asymptotic behavior K' - 2U' < 0, this means that at some radius $r^* > a$ it must be K' - 2U' = 0

together with K'' - 2U'' < 0, which gives $\tilde{\rho} + \tilde{p}_z < 0$; that is, at r^* the energy conditions are violated.

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