

REVIEW



Crystallography and the liquid crystal phase: a new approach to structural studies on a thermo-tropic smectic Schiff base

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ABSTRACT

In spite of the apparent contradiction between 'liquid crystals' (LC, materials exhibiting some degree of disorder) and 'crystallography' (a paradigmatic ordered kingdom), X-ray diffraction (XRD) studies make a substantial contribution to the field of LC. Focusing this review on smectic (Sm, lamellar) LC, we first describe how extremely careful XRD studies performed on mono-domain samples in the LC phase helped to elucidate the molecular structure of ordered Sm phases. Then, we describe selected examples in which single-crystal (SC) XRD on the solid-state phase of the mesogens provided information about their supra-molecular organization in the Sm phase. Finally, we present a different approach to this problem in the case of a thermo-tropic Schiff base (SB) which undergoes crystal \leftrightarrow LC \leftrightarrow isotropic liquid phase transformations. By combined SC and variable-temperature powder XRD, we show that the SB LC is a hexatic smectic B phase that derives from the crystal phase by relatively small topological changes promoted by the set-in of thermal rotational disorder around the long SB molecular axis.

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1. Introduction

Liquid crystals (LC) are a fascinating class of materials. The allure that they exert on scientists can be traced to their unique physical properties. The term 'liquid crystals' looks like an intrinsic contradiction; it was first coined in 1889 by Otto Lehmann [1] ('Flüssige Kristalle') to refer to materials exhibiting simultaneously some properties typical of liquids (like fluidity) and others characteristic of crystalline solids (like birefringence). The term LC is still in use to describe these materials, and actually it applies to a wide class of the more general kind called 'mesomorphic materials', 'mesogenic compounds' or 'materials exhibiting mesophases', that is, phases with partial positional and/or orientational order. LCs proved to be a well-suited 'testing-bench' for different theories on fluids [2–8]. In addition, the amazing textures observed by polarized optical microscopy (POM) provide an aesthetic pleasure to researchers in the field [9]. Most people nowadays are familiar with the acronym LC describing liquid crystals, thanks to their already widespread electro-optical applications (especially in displays) available in the market of technology products [10].

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47 The partial degree of orientation/positional order that characterizes LCs can be achieved
 48 either by heating a crystalline pure compound or by cooling isotropic liquids, that is, as
 49 a consequence of thermal effects (*thermo-tropic* mesophases). In addition, there exists a
 50 second class of LCs where the perturbing effect on the crystal order is due to solvent (usu-
 51 ally water), eventually coupled to thermal effects (*lyotropic* mesophases). Although dozens
 52 of different types of mesophases arising from mesogens with very different molecular
 53 shapes are known [11], the more archetypal ones are (i) columnar mesophases (Col) based
 54 on disc-like (discotic) mesogens, (ii) nematic mesophases (N) based on either discotic
 55 or rod-like (calamitic) mesogens, (iii) poorly ordered smectic A (SmA) and smectic C
 56 (SmC) mesophases based on calamitic mesogens, and (iv) relatively ordered hexatic smec-
 57 tic mesophases (SmB, SmF, SmI) based on calamitic mesogens. All these mesophases are
 58 schematically depicted in Figure 1. A relevant point to both basic aspects and applications
 59 lies in the relationship between the structure of the mesophases and the anisotropy of
 60 their physical properties, such as charge migration, energy transport or refraction index
 61 (which anisotropy yields the typical birefringence used for both LC phase identification
 62 and display applications). Unless specific treatments aimed to obtain samples oriented at
 63 a macroscopic scale are performed, the described organization extends over micron-sized
 64 domains; consequently, as their optical axis orientation varies at that scale length, LC are
 65 often seen as opaque fluids, the aspect they showed when discovered by Reinitzer [12].
 66 In turn, both the physical properties and their anisotropy are strongly dependent on the
 67 molecular structure. Most mesogens contain a central core (a relatively flat unit having high
 68 electron polarizability) and surrounding aliphatic chains connected to the core through
 69 linkers [13]. However, there exist nowadays hundreds of examples that depart from this
 70 archetypal molecular structure.

71 Nematic phases are the less ordered mesophases. They have also been called in the past
 72 ‘orientationally ordered liquids’: in an N mesophase, molecules are roughly parallel to one
 73

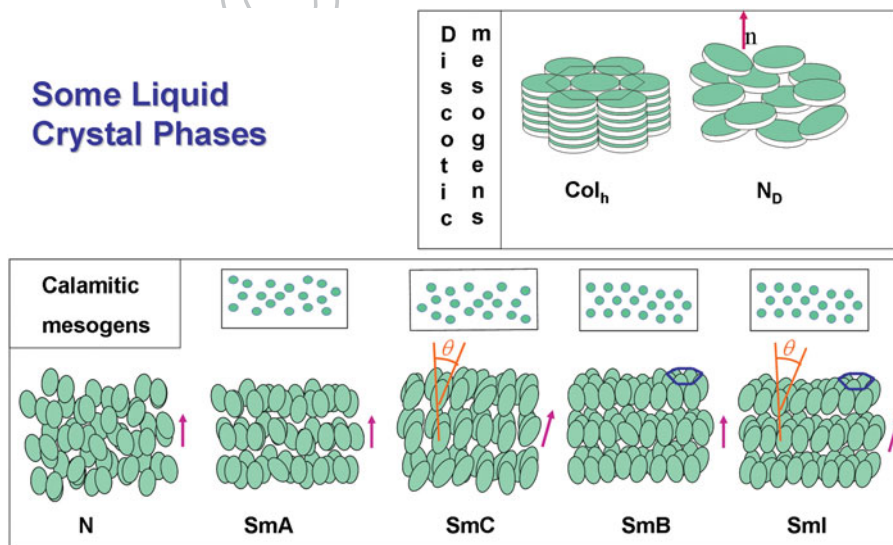


Figure 1. Schematic representation of the most common LC phases. Rectangles above smectic phases show an upper view of the positional distribution of molecules within each layer. The arrow indicates the director (\mathbf{n} vector) for N and Sm mesophases.

93 another, in such a way that a preferential direction (the ‘director’) can be defined, but the
94 positional order is similar to that of a liquid, **that is**, limited to the first neighbours. In the
95 cases where some positional order is also present in the form of a layered self-organization
96 of the molecules, these mesophases are called smectic. In SmA phases, the long molecular
97 axis of the mesogens **is** nearly parallel to a director, which in turn is normal to the layers.
98 In SmC phases, the director subtends an angle θ with the normal to the layers. There is no
99 other positional order in SmA and SmC mesophases and each layer behaves as a 2D liquid.
100 Hexatic smectic mesophases exhibit long-range **three-dimensional (3D)** orientation order
101 and, in addition to the mid-range positional order derived from the layered structure, they
102 also exhibit short-range positional hexagonal order within each layer. That means that a
103 local short-range hexagonal structure is found at a certain position of the layer; moving
104 away 15–60 nm within the same layer, the same kind of hexagonal order will be found, with
105 positions uncorrelated to those of the first region. If the long molecular axes are normal to
106 the layers, the LC phase is called SmB; if the molecules are tilted to the apex or to the sides
107 of the hexagonal mesh, the LC phases are, respectively, called SmI or SmF.

108 We shall recall here that the presence of an LC phase, as well as its identification, is
109 usually achieved through the combined use of three different techniques, namely POM, dif-
110 ferential scanning calorimetry (DSC) and variable-temperature powder X-ray diffraction
111 (Tvar-PXRD). POM involves the observation with a transmission microscope (typically,
112 of 200 \times magnifications) of samples positioned between crossed polarizers and at vary-
113 ing temperatures. The observation of a viscous and birefringent fluid strongly indicates
114 the presence of an LC phase. The nature of the LC phase (N, Sm, Col, etc.) determines the
115 kind of defects it can exhibit. These defects (which extend over distances much longer than
116 those characteristic of defects in crystals) are at the origin of the typical images (‘textures’)
117 observed for the different LC phases, and often they are diagnostic to identify the kind
118 of LC phase [9]. DSC provides accurate values for the melting and clearing temperatures
119 and associated enthalpy changes (ΔH). Sometimes, ΔH -values assist the assignment of
120 the LC phase (e.g. the enthalpy change expected for an ordered smectic to isotropic liquid
121 (IL) transition is higher than that expected for an N to IL transition). Finally, Tvar-PXRD
122 experiments help to confirm the nature of the different phases exhibited by the studied
123 compound, to validate the transition temperatures detected by POM and DSC, and also to
124 establish the structural parameters characteristic of the LC phase. Indeed, diffraction peaks
125 following a 1:2:3:4 ... progression in $\sin\theta$ -values are characteristic of 1D arrangements
126 typical of smectics; a 1: $\sqrt{3}$: $\sqrt{4}$: $\sqrt{7}$... progression is characteristic of hexagonal arrays like
127 those found in some columnar LC, **and so on**. These series of peaks, usually found in the
128 small to mid- θ angles region, afford the assignment of the LC phase and the assessment
129 of its structural parameters, namely inter-lamellar distances for smectics, inter-columnar
130 distances for columnar. The wide-angle scattering region usually conveys additional struc-
131 tural information, like lateral packing in smectics or inter-disc stacking in columnar LC
132 phases, which is often observed as a peak in the 3.5–6 Å range, in addition to a broad halo
133 at ca. 4.5 Å, characteristic of molten aliphatic chains.

134 Actually, crystallographic studies based on XRD might provide detailed information at a
135 molecular level about the way mesogens self-organize themselves in a given mesophase. We
136 will briefly present in what follows four different approaches to the use of XRD studies in
137 the field of Sm LC (columnar mesophases involve their own questions and approaches, and
138 therefore will not be dealt with here). First, we will briefly describe the kind of conclusions

139 that can be inferred from the simplest Tvar-PXRD experiments. Second, we will review the
140 way XRD studies on mono-domain samples in the Sm phase itself helped to understand the
141 nature of the ordered hexatic phases. Then, we will summarize some interesting examples
142 in which single-crystal (SC) XRD of calamitic mesogens in their solid-state phase provided
143 information about their supra-molecular organization in their LC phases. Finally, we will
144 present a different approach to this issue, namely by combined SCXRD and Tvar-PXRD
145 methods. This approach will be presented through a case study: the structural changes
146 taking place during a crystal-to-SmB transition of a Schiff base (SB) almost deprived of
147 aliphatic chains. In all cases, we will emphasize the molecular aspects of the LC phase orga-
148 nization, and the way crystallography helped to answer specific questions, rather than to
149 analyse in depth the subjacent physics.

150 151 **2. Powder X-ray diffraction patterns of calamitic mesophases: an overview**

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153 PXRD patterns of nematic mesophases usually exhibit a broad peak at small diffraction
154 angles, roughly corresponding to the mean inter-molecular longitudinal distance, and a
155 second broad peak at larger angles corresponding to the mean lateral distance. These
156 peaks, related to the atomic-pair correlation function $g(r)$, are similar to those found
157 for isotropic liquids; their broadness arises from the absence of positional order beyond
158 the first neighbours. For smectics, up to two or three relatively narrow peaks are seen at
159 small to mid-scattering angles for $\sin\theta$ -values in the 1:2:3 ratios, while a broader peak
160 is detected at larger angles (typically for $2\theta \approx 20^\circ$ for $\text{CuK}\alpha$). The last peak appears
161 broad for SmA and SmC phases, but much narrower for the hexatic SmB, SmF₁ and SmI
162 mesophases. The inter-lamellar distance d of Sm mesophases can be inferred from these
163 PXRD patterns, thus affording to model the supra-molecular organization of mesogens. In
164 fact, if d agrees with the molecular length l in a given conformation (e.g. aliphatic chains
165 fully extended), then an SmA phase could be envisaged [14] and this assignment can
166 be confirmed through POM observations. Sometimes $d \cong 2l$, in which cases a bi-layer
167 structure (arising, e.g. from head-to-head arrangements of polar mesogens) is suggested
168 [15]. Cases in which $l > d > 2l$ have often been interpreted in terms of partly interdig-
169 itated bi-layers [16], while the $d < l$ case could correspond to interdigitated layers each
170 one molecule thick [17,18] or, alternatively, to tilted SmC phases [19]. In the last case,
171 POM and/or examination of the chemical structure of the mesogen could assist the assign-
172 ment. In fact, the corresponding d/l ratio allows one to calculate the tilt angle (θ) of the
173 molecules with respect to the layers through the relation $\cos \theta = d/l$. Typical θ -values
174 lay in the 25–35° range [9]. For mesogens exhibiting multi-block molecular architectures,
175 Sm mesophases have been found as a result of micro-segregation [20] of the ‘incompati-
176 ble’ blocks [21] (the term ‘incompatible’, often misinterpreted, refers to different packing
177 requirements of the molecular blocks, which cannot be simultaneously achieved without
178 micro-segregation).

179 180 **3. XRD studies on mono-domain samples: assessment on the nature of the** 181 **ordered smectic mesophases**

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183 XRD patterns recorded on oriented samples (achieved by means of applied electric or
184 magnetic fields, surface treatments, etc.) are also useful for assessing the nature of the

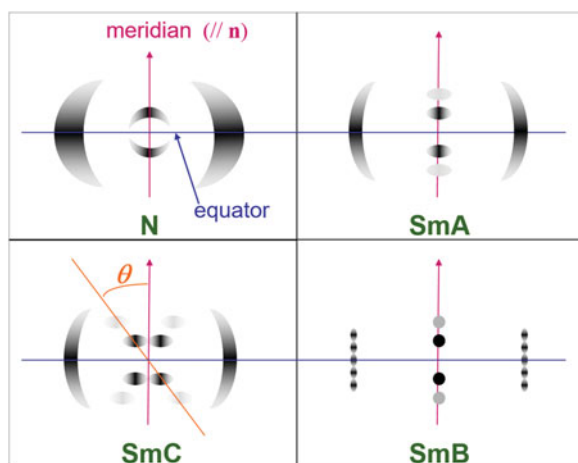


Figure 2. Schematic representation of XRD patterns from aligned samples of different LC phases.

mesophase and to determine some of its structural parameters, beyond the information gathered from un-oriented samples [22]. For nematic mesophases, the diffraction pattern shows two sets of diffuse spots with the shape of broad arcs at mutually perpendicular directions; one set having two symmetrical arcs at low scattering angles and along the meridian (the direction of alignment), the other set consisting in two symmetrical arcs located equatorially and at larger angles, as schematically shown in Figure 2. XRD patterns recorded on oriented samples of smectics usually exhibit one or two pairs of narrow spots on the meridian and a pair of broad arcs at the equator, which become narrower or even split for hexatic mesophases. For tilted Sm mesophases, arcs/spots on the meridian appear as pairs at both θ and $-\theta$ orientations with respect to the meridian.

Donnio and Finkelmann [23] reported an interesting elastomeric material mechanically aligned at the macroscopic scale. Upon mechanical tension, the sample became a quite well-ordered mono-domain, as observed from its 2D XRD pattern; concomitantly, its aspect changed from opaque to transparent.

XRD experiments performed on oriented samples provided valuable structural information to understand the nature of the more ordered Sm phases, a field that has been the subject of active debate [24–32]. Careful crystallographic experiments have been carried out to assess, for example, whether or not a 3D positional order was present in these phases, or the degree of correlation between smectic layers. Very useful information came from XRD experiments on mono-domain samples, obtained by either an applied magnetic field, SC heating, or free-standing films of controlled thickness in the range from 2 to 100 molecular layers. Attention has been paid to the high-angle scattering region of the X-ray diffraction pattern as this provides information about the lateral packing of the molecules within the layers, or positional order between layers. For example, Goodby and co-workers indexed the peaks found in a 2D diffraction pattern obtained from a magnetic field-aligned sample of an SB in its SmB phase under scattering vector Q perpendicular to the layers, namely the (100), (101), (102), (103), etc. reflexions of a hexagonal array of laterally and orderly packed molecules [27]. This indexing led them to interpret the spots on the meridian (for Q parallel to the layers normal) as the (020) and (040) reflexions and therefore

231 to suggest the presence of a bi-layer structure. Many other X-ray diffraction experiments
232 have been performed on specific mesogens [25–31,33–37]. Based on these studies, some
233 authors suggested that their ordered layered phases exhibited 3D positional order. How-
234 ever, based on different mesogens, other researchers reported that there is no evidence for
235 such positional correlation. This controversy has now been solved. It is currently accepted
236 that some compounds exhibit hexatic smectic mesophases (SmB, SmF, SmI) characterized
237 by long-range orientation bond order (i.e. molecules are essentially parallel to each other
238 not only inside each layer but also from layer to layer) and short-range positional hexag-
239 onal order inside each layer (the layers being essentially uncorrelated from one another)
240 while others exhibit crystalline layered phases like the crystal B, F or E phases (see [38] and
241 references therein).

242 243 244 **4. SC crystallography in the mesogen crystalline phase: specific pieces of** 245 **information about the supra-molecular organization in the Sm** 246 **mesophase**

247 As stated above, SCXRD studies on the crystalline phase of the mesogens can provide
248 additional information about the way these mesophases are organized in the LC state.
249 Interestingly, an early application of this approach was made by Crick in 1953 during
250 his landmark modelling with Watson of the molecular structure of deoxyribonucleic acid
251 (DNA) [39]. From unpublished X-ray diffraction data of Franklin and Gosling on fibres of
252 a sodium salt of nucleic acid from calf thymus (NaDNA), Crick and Watson learned that
253 there was a reversible A-NaDNA \leftrightarrow B-NaDNA transformation upon change of humidity
254 between the lower hydrated crystal A-form (obtained at 75% relative humidity) and the
255 higher hydrated B-form (92% r.h.) [40]. Clearly, these B-NaDNA fibres can now be classed
256 as a lyotropic nematic mesophase consisting in double-stranded DNA fragments oriented
257 parallel to each other and along the fibres but otherwise spatially uncorrelated from each
258 other. The above data also included the monoclinic cell constants and the space group C2
259 for the crystal A-form (derived from 2.5 Å resolution data). Crick inferred that the B-form
260 of DNA that he and Watson were modelling should have a molecular structure closely
261 related to the crystal A-NaDNA form. Furthermore, he assumed that the observed crystal-
262 lographic twofold axis symmetry survives the transition to the B-DNA form, *that is*, this
263 mesogen should show approximate dyadic symmetry. For their double-helical molecular
264 model of B-DNA, this implied that the helices *run in opposite directions* to each other, not
265 a minor constraint in their successful B-DNA modelling efforts. We shall present in the
266 next paragraphs some further representative examples of such an approach in the case of
267 thermo-tropic LC exhibiting Sm mesophases.

268 An interesting example involves a copper-5-alkanoyl-tropolone complex, which shows
269 an SmB phase in the 175–235°C range. Tvar-PXRD results [41] suggested a significant
270 interpenetration of aliphatic chains in this SmB phase, which is strongly supported by the
271 presence of such interdigitation in the crystalline phase [41]. SCXRD studies also helped
272 to understand specific structural features of the SmC mesophase of different compounds.
273 Indeed, Garay et al. found that a biphenyloic acid bearing an oxyethylenic chain exhib-
274 ited an SmC mesophase with $d = 30.8 \text{ \AA}$ [42]. The authors calculated l -values of 45.9 and
275 35.2 Å for all-*anti* and some-*gauche* conformations, respectively, and suggested that the
276

latter should be taken into account giving rise to a tilt angle of 28° rather than the 45° value calculated for the all-*anti* conformation. The structure of the mesogen in its crystalline phase, solved by SCXRD [43], confirmed the suggested *gauche* conformation with an end-to-end length of about 34 \AA and also revealed that the tilted lamellar structure is already present in the crystalline phase. In some cases, θ -values calculated for some SmC phases as described above were shorter than the optical tilt angle. Goodby and co-workers [44] ascribed this difference to the cores being more tilted than the aliphatic chains, in agreement with the Wulf steric model for the SmC phase [6]. This suggestion found crystallographic support in, for example, the crystalline structure of an Ni-dithiolate smectogen (see scheme of Figure 3(a)) [45], or those of the *p*-alkoxybenzoic acids [46].

Neve et al. [47] found that Cu(II)-pyridinium salts exhibit Sm mesophases, provided the alkyl chain bears at least 14 methylene units, while shorter chain derivatives are columnar LC, although the crystalline phase of all these compounds already exhibits a lamellar organization. The authors pointed out that this was at odds with the common behaviour of covalent thermo-tropics and suggested that ionic interactions could play an important role for those salts. Bruce et al. [48] found that some alkylsulfate derivatives of silver stilbazole complexes showed N and Sm phases, the first one being unexpected for ionic mesogens. The crystal structure of an octylsulfate (OS) derivative revealed that OS acts as a bridging ligand giving rise to binuclear units whose molecular shape can thus be seen as similar to those of calamitic mesogens with lateral chains, where Sm phases are destabilized in favour of an N phase.

The observed Sm phases became thus unexpected, but again they were explained with the help of SCXRD results. In fact, it turned out that the association of OS to the Ag(I) complex is rather weak, as revealed by the crystallographic O...Ag distances. Binuclear units were also found in the crystal structure of Zn(II) and Pd(II) alkoxydithiobenzoate complexes. Detailed EXAFS studies performed at variable temperature showed that they persist in the N and SmC phases of the Zn(II) complex, but completely disappear in the SmC phase of the Pd(II) analogue [49].

SCXRD studies also provided unexpected results which helped the interpretation of LC structural features. The undulated lamellar mesophase exhibited by some long-chain

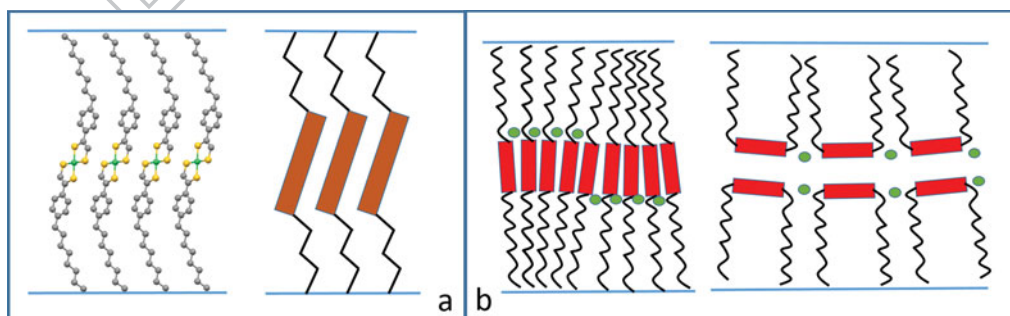


Figure 3. (a) Left: SmC-type layers found in the crystal structure of a Ni-complex [45]. Right: schematic representation of the Wulf's steric model for SmC phase. (b) Left: suggested model for the undulated lamellar phase of Cu(I) aza-macrocyclic complexes under the assumption of a calamitic molecular shape [50]. Right: model suggested for the undulated lamellar phase of analogous Ag(I) complexes, based on the U-shaped molecular structure found in the crystalline phase of the mesogens [51].

323 macro-cyclic Cu(I) complexes was thought to arise [50] from rod-like mesogens self-
324 organized in undulated mono-layers. An SCXRD study of the crystalline phase of an Ag(I)
325 homologue showed U-shaped molecules that prompted a reinterpretation of the structure
326 as a bi-layer arrangement of folded cations (see scheme of Figure 3(b)) [51].

327 Finally, another field in which SCXRD provided valuable structural information is that
328 of supra-molecular LC. In fact, SCXRD confirmed the supra-molecular nature of several
329 mesogens, as well as the non-covalent interactions responsible for such assemblies. These
330 interactions range from classical H-bonded carboxylic acids and coordination polymers
331 [52] to engineered supra-molecular entities based on H-bonding [53], π or quadrupolar
332 interactions [54], halogen bonds [55], and combinations of the above [56].

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5. An approach combining SCXRD and PXR: a case study based on an SB

336 As a contribution of SCXRD to the field of ordered Sm LC, we present here a differ-
337 ent approach through a case study, namely the structural changes taking place along the
338 crystal-to-SmB transition of an SB, followed by PXR in range of temperature, in which
339 SCXRD of the crystalline phase afforded a complete indexation of the PXR pattern, and
340 showed a pre-organization of the mesogens both in layers and in a pseudo-hexagonal array.

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5.1. Structural single crystal X-ray diffraction

344 The room temperature crystal and molecular structure of the Schiff base 1-(4-((4-
345 dimethylaminobenzylidene)-amino)phenyl) ethanone, hereafter called SB, was reported
346 in [57]. For convenience, we shall summarize here the main results. SB crystallizes in
347 the triclinic $P\bar{1}$ space group with $a = 9.9357(4)$ Å, $b = 17.1016(9)$ Å, $c = 18.1945(9)$ Å,
348 $\alpha = 78.347(4)^\circ$, $\beta = 77.169(4)^\circ$, $\gamma = 76.996(4)^\circ$ and $Z = 8$ molecules per unit cell. There
349 are four independent molecules per asymmetric unit, loosely bond to each other and ori-
350 ented along the crystal b -axis. These molecules mainly differ from one another in rotations
351 around the σ -bond of the azomethine N-atom with the phenyl ring, hence indicating their
352 conformational degree of freedom.

353 As shown in the ORTEP [58] plot of Figure 4, besides their mutual interaction through
354 van der Waals forces, the molecules are arranged in a pair of dimers, where they are linked
355 to each other by weak $\text{CH}_3 \dots \text{O}$ bonds.

356 Each set of dimers are arranged in a slightly tilted layered structure parallel to the crys-
357 tal (010) plane. These layers are partially interdigitated to each other in about half their
358 common width (see Figure 4). At the same time, and through unit cell b -translation, the
359 molecules are arranged into columns along the crystal b -axis. As shown in Figure 5, the
360 columns project onto the crystal a^*c^* -plane as a distorted hexagonal, centred wasp net-like,
361 arrangement.

362 The above crystallographic results suggest at once that upon increasing temperature,
363 when partial disorder sets in, the system could undergo, by small topological changes,
364 a crystal \rightarrow LC transformation to a smectic phase with a quasi-hexagonal structure.
365 This is sustained by thermal analysis and optical observations. In fact, DSC data show
366 (upon heating) that the SB undergoes two endothermic (first-order) phase transitions,
367 one from 149°C to 153°C ($\Delta H = 3 \text{ kJ mol}^{-1}$); the other one in the $175\text{--}177^\circ\text{C}$ range
368 ($\Delta H = 27 \text{ kJ mol}^{-1}$). POM observations characterize the first transition as a crystal

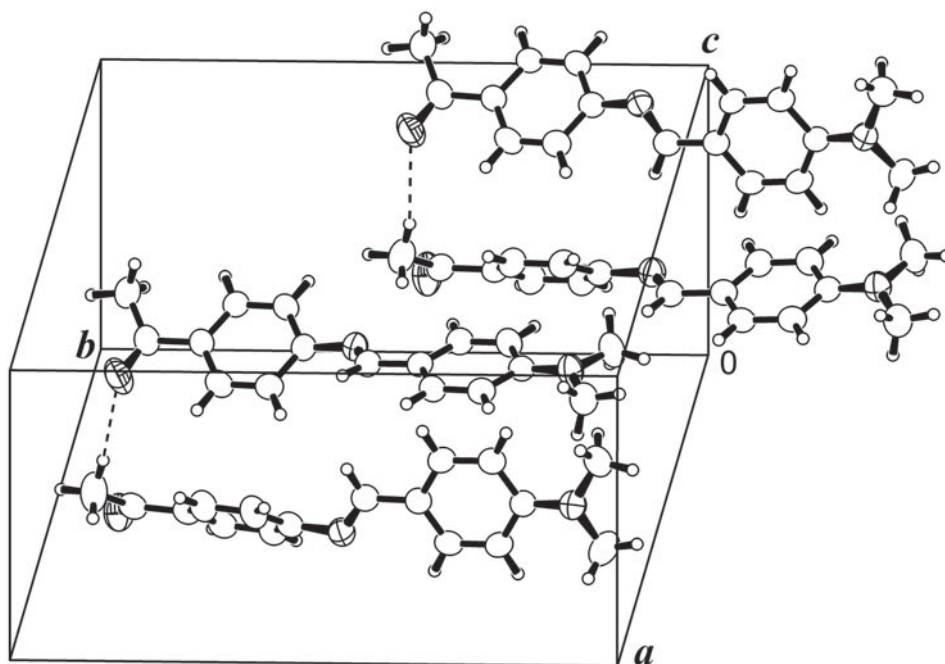


Figure 4. Asymmetric unit content of crystalline SB. Carbon, nitrogen and oxygen atoms are, respectively, denoted by open, crossed and hatched ellipsoids. The molecules are associated in dimeric units and oriented along the crystal b -axis. The dimers are relatively shifted from each other along b in about half their length.

(Cr) \rightarrow hexatic smectic B (LC) phase and the second one as an LC \rightarrow IL, that is, the phase transformation sequence (RT) Cr \rightarrow (150°C) LC \rightarrow (175°C) IL. On cooling, their exothermic phase transitions counterparts are observed at corresponding lower temperatures: 134–131°C ($\Delta H = -27 \text{ kJ mol}^{-1}$) and 36–32°C ($\Delta H = -1 \text{ kJ mol}^{-1}$), that is, the sequence IL \rightarrow (133.5°C) LC \rightarrow (34°C) Cr [57].

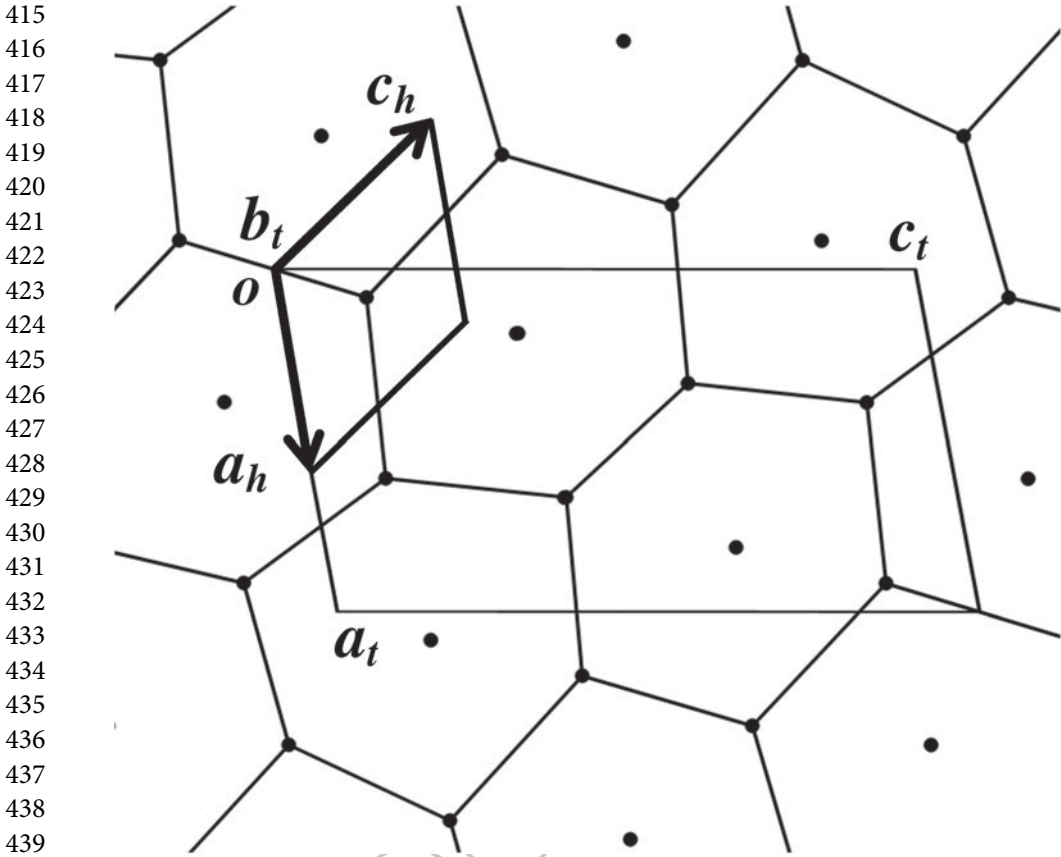
5.2. Molecular structure of the SB LC phase by X-ray diffraction

The near close-packed arrangement of molecules embedded in a triclinic lattice described above (see Figure 5), reflects itself (especially at low scattering angle) in an underlying pseudo-hexagonal symmetry of the X-ray diffraction pattern, an unusual feature to encounter in a general triclinic crystal. In fact, at low resolution, the SB molecules look like featureless rod-like diffracting bodies with a quasi-hexagonal arrangement. This can be clearly appreciated in the weighted reciprocal space of SB shown in Figure 6.

Figure 6 shows that the relation between the triclinic and pseudo-hexagonal reciprocal unit cell vectors is given in matrix notation by

$$\begin{bmatrix} a_h^* \\ b_h^* \\ c_h^* \end{bmatrix} = \begin{bmatrix} 2 & 0 & 3 \\ 0 & 1 & 0 \\ 0 & 0 & 4 \end{bmatrix} \begin{bmatrix} a_t^* \\ b_t^* \\ c_t^* \end{bmatrix}, \quad (1)$$

where the sub-indexes h and t indicate hexagonal and triclinic lattices.



441 **Figure 5.** Projection of solid-state SB down the triclinic b -axis. The molecules are represented by their
442 centres of mass (filled disks). The figure shows the relation between the triclinic cell (a_t, c_t) and the
443 pseudo-hexagonal cell (a_h, c_h). The triclinic and quasi-hexagonal b -axis are coincident (b_t, b_h). The hexatic
444 (a_h, b_h, c_h) sub-cell unit volume is $1/8$ of the triclinic (a_t, b_t, c_t) super-cell unit volume and therefore
445 hosts a single SB molecule.

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447
448 Let us call M the 3×3 transformation matrix of Equation (1). Then, the corresponding
449 Miller indices transform (in column matrix notation) according to the inverse transpose
450 matrix $(M^{-1})^T$, namely

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$$\begin{bmatrix} h_h \\ k_h \\ l_h \end{bmatrix} = \begin{bmatrix} 1/2 & 0 & 0 \\ 0 & 1 & 0 \\ -3/8 & 0 & 1/4 \end{bmatrix} \begin{bmatrix} h_t \\ k_t \\ l_t \end{bmatrix}, \quad (2)$$

458 and therefore, the triclinic $(203)_t$, $(004)_t$ and $(20\bar{1})_t$ reflections become, respectively, the
459 lowest resolution $(100)_h$, $(001)_h$ and $(10\bar{1})_h$ quasi-hexagonal constellation of reflections
460 (see Figure 6).

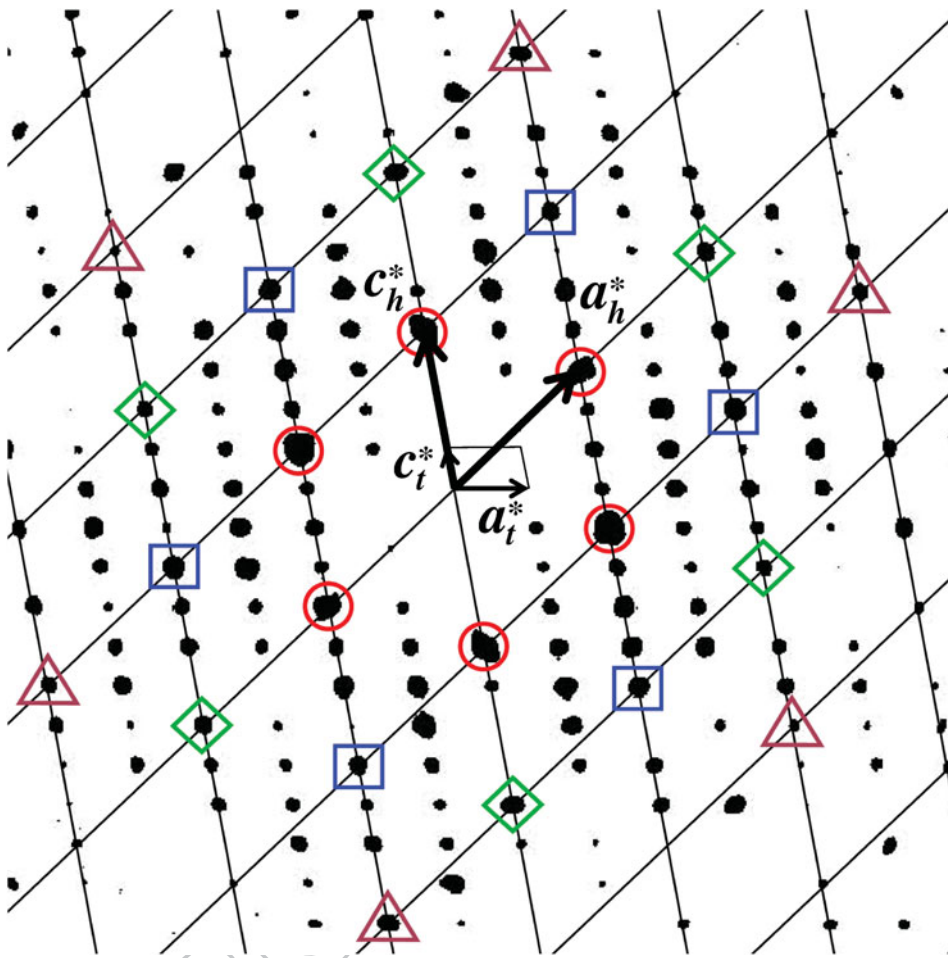


Figure 6. Precession photo-like rendering of single crystal CCD diffractometer data corresponding to $h0l$ layer of SB showing the relationship between the triclinic and pseudo-hexagonal reciprocal unit cell vectors. For clarity, background scattering has been removed and as a guide to the eyes, the reciprocal $h0l$ lattice mesh of the quasi-hexagonal SB embedded in the triclinic crystal is included in the figure. Same-shaped geometrical figures encircle quasi-D6h symmetry-related reflections. Note that the most intense X-ray diffraction spots in the layer lay on the hexagonal mesh. All reflections, however, are indexed in the triclinic reciprocal lattice.

The corresponding direct triclinic and pseudo-hexagonal unit cell vectors are related by the same $(M^{-1})^T$ transformation

$$\begin{bmatrix} \vec{a}_h \\ \vec{b}_h \\ \vec{c}_h \end{bmatrix} = \begin{bmatrix} 1/2 & 0 & 0 \\ 0 & 1 & 0 \\ -3/8 & 0 & 1/4 \end{bmatrix} \begin{bmatrix} \vec{a}_t \\ \vec{b}_t \\ \vec{c}_t \end{bmatrix} \quad (3)$$

and are graphically indicated in Figure 5.

To further quantify the degree of 'hexagonal' symmetry exhibited by the hexatic reciprocal lattice super-cell, we selected the sub-set of 'hexagonal' reflections out of the measured

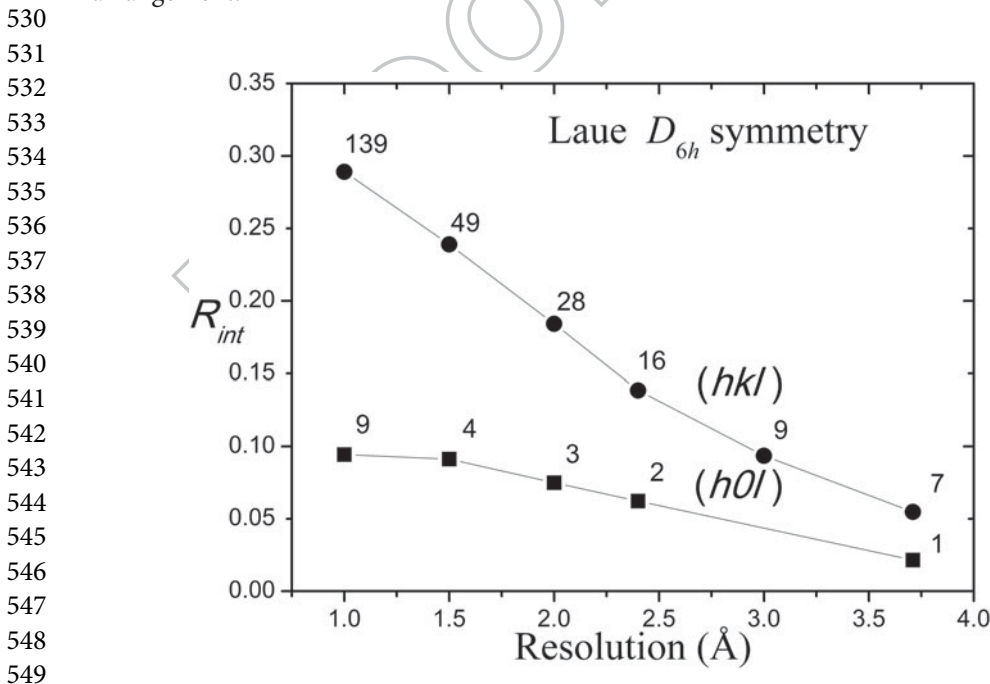
507 ones for the triclinic lattice, according to the transformations of Equations (1) and (3),
 508 employing the program TRANSFORM implemented in the WINGX package [59].

509 We then calculated the agreement factor among symmetry-related reflections in ranges
 510 of resolution with SHELXS of the SHELX suite of programs [60], assuming the Laue D_{6h}
 511 group, for both general (hkl) and layer $(h0l)$ reflections. The results are depicted in Figure 7.

512 Agreement factor in Figure 7 is defined as

$$513 R_{int} = \frac{\sum_H \sum_{\tilde{R}} |F_{\tilde{R}H}^2 - F_H^2(mean)|}{\sum_H \sum_{\tilde{R}} F_{\tilde{R}H}^2},$$

517 where \tilde{R} 's are the symmetry operators of point group D_{6h} that generate the 'star' of recip-
 518 rocal vector \underline{H} . The sums include all measured reflections having two or more symmetry
 519 equivalents contributing to $F_H^2(mean)$. From the figure, it can be appreciated the better
 520 R_{int} values for $(h0l)$ layer as compared with (hkl) 3D data. This can be mainly traced
 521 to the fact that F_{h0l}^2 values correspond to the square modulus of the Fourier transform
 522 for SB electron density projected along the b -axis and onto the crystal (ac) plane, sam-
 523 pled at the quasi-hexagonal reciprocal lattice points. This projection tends to average
 524 out differences in the electron-density distribution along a given molecule and between
 525 un-equivalent but closely related SB molecules, hence rendering a higher degree of hexag-
 526 onal symmetry as compared with 3D data. The systematic improvement of R_{int} observed
 527 at low resolution quantifies the above-mentioned statement that in this limit the X-rays
 528 see undistinguished and featureless rod-like diffracting objects with a near close-packing
 529 arrangement.



550 **Figure 7.** Agreement R_{int} factor among Laue D_{6h} symmetry-related reflections embedded in the room
 551 temperature weighted SB reciprocal triclinic lattice. The integers on the R_{int} values are the number of
 552 quasi-hexagonal unique reflections measured within the indicated resolution limits.

5.3. Powder X-ray diffraction vs. temperature

We shall now examine structurally the phase transformation sequence (RT) Cr \rightarrow (150°C) LC \rightarrow (175°C) IL by PXRD vs. temperature measurements. In Figure 8, it is compared the observed RT (20°C) PXRD pattern of SB with the calculated one [61] from single crystal X-ray diffraction data [57] and also with the diffraction data just below the (RT) Cr \rightarrow (150°C) LC transition (at 140°C) and just above the transformation to the mesogenic phase (at 160°C). An X-ray diffractogram run at 175°C showed the featureless pattern expected for an IL.

As expected for molecular solids, the SB crystal diffracted poorly. In fact, the intensity of only about a 64% of the SC reflections measured up to 0.82 Å resolution was above two times the experimental standard errors. Despite the few lines observed in the PXRD pattern, however, the diffractograms include valuable diagnostic lines that, by comparison with the SC data, afford definite conclusions above the structure of the smectic phase. For convenience, we shall group these lines into three sets, namely, (i) the quasi-hexagonal diffraction lines, (ii) the interdigitated layer lines and (iii) the triclinic reflections.

- (i) Concerning the first set, the 20°C PXRD pattern shows a diffraction peak at $2\theta_1 = 20.10^\circ$ which is the strongest one within a set of three reflections and

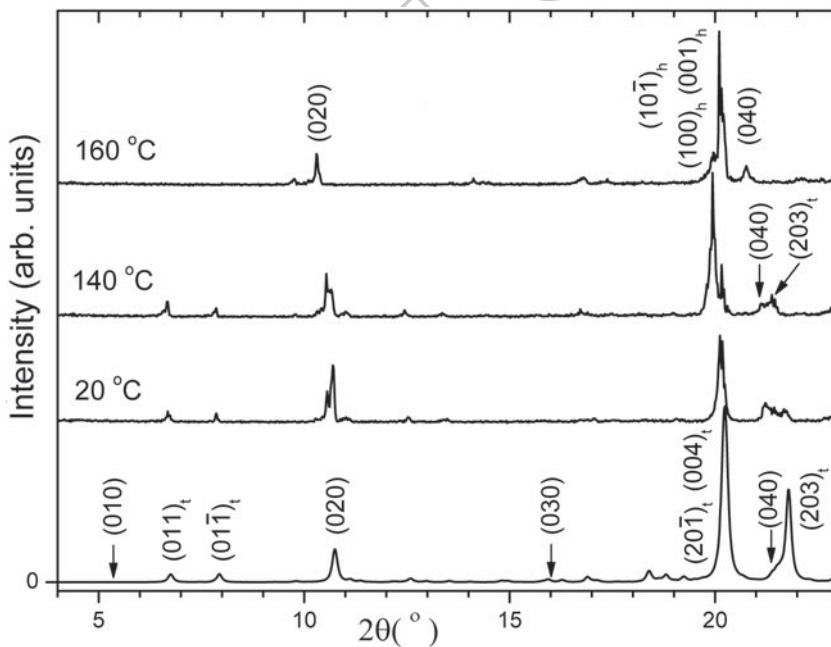


Figure 8. Lower trace: powder X-ray diffraction (PXRD) pattern calculated from the solid-state molecular structure of SB determined at room temperature by single crystal X-ray diffraction methods. Upper traces: experimental PXRD pattern vs. temperature collected with CuK α radiation. Subscripts t and h indicate Bragg reflections, respectively, indexed in the triclinic lattice and the quasi-hexagonal sub-lattice. Unsubscripted (0k0) reflections are the signature of layered structure common to both lattices. The 2θ angles where the systematically weak low-resolution (0k0) reflections with k odd should appear are indicated by vertical arrows. Note the vanishing of triclinic and lines upon the transformation to the hexatic CL phase.

599 corresponds to the (unresolved) superposition of $(20\bar{1})_t$ and $(004)_t$ triclinic diffrac-
600 tions. The other line in the set shows up at $2\theta_{\perp} = 21.72^\circ$ and corresponds to $(203)_t$
601 reflection. As shown by Equation (2), these three triclinic diffraction lines transform
602 into the $(10\bar{1})_h$, $(001)_h$ and $(100)_h$ hexagonal lines. These integrate (upon the inclu-
603 sion of their Friedel counterparts) the lowest resolution quasi-hexagonal constellation
604 of reflections (see Figure 6) which originates in the near close-packing of SB molecules
605 oriented along the triclinic b -axis. Upon heating the crystal to 140°C , it is appreciated
606 from the diffraction pattern that SB basically maintains its 20°C triclinic structure with
607 the $(203)_t$ reflection almost merged with the (040) reflection because anisotropic crys-
608 tal behaviour where the thermal dilatation constant perpendicular to the b -axis ($\alpha_{\perp} =$
609 $5.8 \times 10^{-5} \text{ }^\circ\text{C}^{-1}$) is twice as much as the one along this axis ($\alpha_{\parallel} = 2.6 \times 10^{-5} \text{ }^\circ\text{C}^{-1}$).
610 On further heating to 160°C , it is observed that there is the near collapse of all three
611 lines upon the transition to the crystal liquid phase, hence indicating a smectic hexag-
612 onal arrangement. This can be interpreted as the thermo-tropic transformation from
613 the distorted hexagonal, wasp net-like, arrangement observed in the triclinic crys-
614 tal to the more closely hexagonal, bee net-like lattice, of the LC phase when thermal
615 rotational disorder of the molecules around the b -axis sets in. From the overlapping
616 feature at $2\theta_{\perp} = 20.12^\circ$ in the 160°C PXRD pattern, it can be calculated the hexago-
617 nal cell constant $a = c = 5.1 \text{ \AA}$ of the mesogenic state which is equal to the distance
618 between neighbouring molecular columns, a value close to (and slightly larger than)
619 the lateral inter-molecular average distance observed in the 20°C crystal.

- 620 (ii) The other set of reflections refers to the long-range order along the b -axis. The diffrac-
621 tion lines observed at $2\theta_{\perp}$ -values of 10.70° and 21.20° in the 20°C PXRD pattern
622 correspond, respectively, to the (020) and (040) reflections and they are a signature
623 of the interdigitation of layers observed in the crystal. As mentioned above, the inter-
624 calation is about one half of the molecular length, that is, nearly half the dimension of
625 the b -axis, a fact that explains the relatively high intensity of (020) reflection and also
626 the observed systematically very weak low-resolution $(0k0)$ reflections with k odd. The
627 diffractograms of Figure 8 clearly show that these diagnostic lines, and therefore the
628 interdigitated layered structure, survive both crystal heating and the transition to the
629 LC phase.
- 630 (iii) Finally, the vanishing of the low-resolution triclinic $(011)_t$ and $(01\bar{1})_t$ lines upon the
631 Cr \rightarrow LC transformation could be interpreted as further proof of a structurally more
632 symmetric LC mesophase as compared with the crystal phase.

635 6. Conclusions

- 637 (1) Despite the many useful techniques employed to probe the physical properties of
638 thermo-tropic smectic LC phase, no single method can provide the wealth of struc-
639 tural information at the molecular level afforded by X-ray diffraction, especially when
640 the structure of the compound in its crystal phase is available.
- 641 (2) Different approaches based on XRD experiments could be followed, depending on the
642 specific structural questions about the structure of the Sm phase under study: Tvar-
643 PXRD, XRD on mono-domain samples in the Sm phase, SCXRD of the mesogen in
644 its crystalline phase, or a combination of SCXRD and PXRD.

- 645 (3) Combined single crystal and variable-temperature powder X-ray diffraction provides
 646 a powerful tool to infer a detailed molecular structure for smectic thermo-tropic
 647 phases.
- 648 (4) Following this last approach, we described a case study as an illustration that
 649 established that upon heating, SB undergoes a crystal to LC phase transition (at
 650 about 150°C) to a smectic SmB hexagonal slightly (if at all) tilted and interdig-
 651 itated phase which closely resembles topologically its room temperature triclinic
 652 crystal.
- 653 (5) The structural results predict for the SB LC phase an optically uniaxial positive
 654 behaviour. Based on the PXRD vs. temperature data, it is estimated that there is a
 655 perpendicular-to-molecular long axis dilation coefficient roughly twice as large as
 656 the longitudinal one, a fact that suggests that the onset of the crystal → LC tran-
 657 sition is promoted by the set in of thermal rotational disorder of the molecules
 658 around their long axes. The distance between side neighbouring molecular columns
 659 in the CL hexagonal arrangement is of about 5.1 Å, close to (and slightly larger
 660 than) the average inter-molecular distance observed in the room temperature
 661 crystal.

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664 Disclosure statement

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 682 relationship of inorganic, organic, metal-organic (including minerals), bioorganic and bioinorganic,
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829 **Appendix**

830 Temperature-dependent polycrystalline and crystal liquid XRD data for the case study described
831 in Section 6 were obtained with a PANalytical X'Pert PRO diffractometer, using $\text{CuK}\alpha$ radiation
832 ($\lambda = 1.5406 \text{ \AA}$) from an X-ray tube operated at 40 kV and 40 mA. The X-ray diffraction pattern was
833 collected in the $4^\circ \leq 2\theta \leq 34^\circ$ range, with 0.02° step width and 1 s counting time per step employing
834 the Bragg-Brentano θ - θ geometry, a scintillation counter and an exit beam graphite monochromator.
835 Estimated error in measured 2θ -values is 0.03° . The sample holder was heated with a resistor and
836 the temperature controlled with an Anton Paar, model TTK2-HC, device fitted with a Pt-100 class-A
837 temperature sensor working at 0.1 K resolution. Because of unavoidable temperature gradient at the
838 sample holder, the absolute sample temperatures are only known to within 1 K.

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