# Stoichiometry for activation of neuronal $\alpha$ 7 nicotinic receptors

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Neuronal  $\alpha$ 7 nicotinic receptors elicit rapid cation influx in response to acetylcholine (ACh) or its hydrolysis product choline. They contribute to cognition, synaptic plasticity, and neuroprotection and have been implicated in neurodegenerative and neuropsychiatric disorders. a7, however, often localizes distal to sites of nerve-released ACh and binds ACh with low affinity, and thus elicits its biological response with low agonist occupancy. To assess the function of a7 when ACh occupies fewer than five of its identical binding sites, we measured the open-channel lifetime of individual receptors in which four of the five ACh binding sites were disabled. To improve the time resolution of the inherently brief a7 channel openings, background mutations or a potentiator was used to increase open duration. We find that, in receptors with only one intact binding site, the open-channel lifetime is indistinguishable from receptors with five intact binding sites, counter to expectations from prototypical neurotransmitter-gated ion channels where the open-channel lifetime increases with the number of binding sites occupied by agonist. Replacing the membrane-embedded domain of α7 by that of the related 5-HT<sub>3</sub>A receptor increases the number of sites that need to be occupied to achieve the maximal open-channel lifetime, thus revealing a unique interdependence between the detector and actuator domains of these receptors. The distinctive ability of a single occupancy to elicit a full biological response adapts  $\alpha$ 7 to volume transmission, a prevalent mechanism of ACh-mediated signaling in the nervous system and nonneuronal cells.

Cys-loop receptors |  $\alpha$ 7 nicotinic receptor | patch-clamp | agonist binding site | channel gating

The  $\alpha$ 7 acetylcholine nicotinic receptors (AChR) are among the most abundant AChRs in the central nervous system, where they are enriched in the medulla, pons, midbrain, thalamus, hippocampus, and cerebral cortex (1). They contribute to cognitive functioning, sensory information processing, attention, working memory, and reward pathways. Decline, disruption, or alterations of cholinergic signaling involving  $\alpha$ 7 have been implicated in various neurological diseases, such as schizophrenia, epilepsy, autism, Alzheimer's disease, and addiction (2–6). As a result,  $\alpha$ 7 has emerged as a novel therapeutic drug target (7, 8).

 $\alpha$ 7 are homomeric receptors from the Cys-loop family of pentameric ligand-gated ion channels. They are composed of three distinct modules: an extracellular domain that carries the agonist binding sites, a transmembrane domain that forms the ion-conducting pore, and an intracellular domain that contributes to ion conductance. They contain five identical binding sites, located at subunit interfaces in the extracellular domain (1, 9).

ACh binding leads to a series of conformational changes that open its cation-permeable transmembrane channel, followed by desensitization (10, 11). Hallmarks of  $\alpha$ 7 receptors include their high Ca<sup>2+</sup> permeability, full activation by choline (a product of ACh hydrolysis), and extremely fast desensitization (12, 13). The allosteric mechanism by which binding of ACh mediates activation and desensitization remains a central question in the field.

Within the central nervous system,  $\alpha$ 7 receptors localize both pre- and postsynaptically, but they dominantly operate in an extrasynaptic mode (14). Choline is also an efficacious agonist of  $\alpha$ 7, which further extends the spatial range of cholinergic transmission. This combination of volume transmission and extension of bioactivity by choline is also relevant in nonneuronal cells, such as lymphocytes and macrophages, where  $\alpha$ 7 is involved in anti-inflammatory responses (15, 16), and in sperm cells where  $\alpha$ 7 has a role in fertilization (17).

 $\alpha$ 7 AChRs are thus physiological targets for both ACh and choline, but concentrations of these agonists are expected to be low and to change in proportion to overall neuronal activity, unlike at classical, on or off, fast cholinergic synapses. Furthermore, both ACh and choline bind to  $\alpha$ 7 with low affinity so that, under physiological conditions, agonist occupancy of the binding sites will be low. However, neurotransmitter-gated ion channels contain multiple agonist binding sites, and classical observations show that occupancy of multiple sites maximizes open-channel stability (11). Thus, we sought to compare open-channel stability of  $\alpha$ 7 with agonist bound to one or all five binding sites.

To this end, we generated  $\alpha$ 7 AChRs from two types of subunits, one that formed a disabled binding site and another that formed a normal binding site. To determine the number of intact binding sites in individual pentamers, we tagged one of the subunits with mutations that alter unitary conductance and then used patch-clamp recording to monitor the open channel lifetime of receptors with defined numbers of intact binding sites.

#### Significance

Nicotinic  $\alpha$ 7 receptors are neurotransmitter-gated ion channels that participate in cognitive, cytoprotective, and anti-inflammatory processes and have emerged as targets for neurological disorders and therapeutic drugs. They are associated with extrasynaptic volume transmission where they are exposed to low ACh concentrations and thus function with partial occupancy of their five identical binding sites. By generating  $\alpha$ 7 receptors with different numbers of functional ACh binding sites and measuring open-channel lifetimes of individual receptors, we find that occupancy of one site produces a maximal response and that the additional sites enhance agonist sensitivity. Thus, ACh occupancy of only one of five sites in  $\alpha$ 7 produces a maximal response, adapting it to extrasynaptic volume transmission in neuronal and nonneuronal cells.

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## Results

To gain insight into the relationship between binding-site occupancy by agonist and the overall response of  $\alpha$ 7, we coexpressed different mole ratios of  $\alpha$ 7 and  $\alpha$ 7 carrying the Y188T mutation, which prevents ACh binding but does not affect subunit assembly (18), and recorded whole-cell currents elicited by ACh.

For cells expressing the control human  $\alpha$ 7, a step pulse of ACh elicits a rapid increase of current that, in the continued presence of ACh, decays due to desensitization (Fig. 1*A*) (13). In contrast, ACh does not elicit measurable responses from  $\alpha$ 7-Y188T receptors (Fig. 1*B*). Responses from cells containing receptors formed by  $\alpha$ 7 and  $\alpha$ 7-Y188T subunits (subunit ratios 1:3 and 1:9 wt:wt) reach saturation at higher concentrations compared with  $\alpha$ 7 (Fig. 1*D*). Accordingly, dose–response curves from peak currents yield EC<sub>50</sub> values of 140 ± 60 µM for  $\alpha$ 7 (nH: 1.2 ± 0.5, *n* = 17); 315 ± 47 µM for  $\alpha$ 7 +  $\alpha$ 7-Y188T 1:3 (nH: 1.2 ± 0.2, *n* = 16); and 410 ± 70 µM for  $\alpha$ 7 +  $\alpha$ 7-Y188T 1:9 (nH:1.3 ± 0.3, *n* = 13) (Fig. 1*D*).

At a saturating ACh concentration, the time course of onset of the inward current is indistinguishable between cells expressing  $\alpha 7$  (tr<sub>20-80</sub> = 1.8 ± 1 ms) and  $\alpha 7$  plus  $\alpha 7$ -Y188T (tr<sub>20-80</sub> = 2.3 ± 1 ms for 1:3 ratio, and tr<sub>20-80</sub> = 2.2 ± 0.7 ms for 1:9 ratio). These values approach the limit of the solution exchange time in our whole-cell recording system so that any difference would not be detectable. Similarly, at a saturating ACh concentration, the time course of decay from the peak current is similar between cells expressing  $\alpha 7$  and  $\alpha 7$  plus  $\alpha 7$ -Y188T. However, for subsaturating concentrations, both the onset and decay are faster for  $\alpha 7$ compared with  $\alpha 7$  plus  $\alpha 7$ -Y188T (Fig. 1 *A* and *C*).

Macroscopic currents result from the overall population of receptors in the cell and cannot distinguish contributions of receptors with different subunit stoichiometry. Nevertheless, in cells cotransfected with 1:3 or 1:9 ratios of  $\alpha$ 7 to  $\alpha$ 7-Y188T and assuming identical expression of both subunits,  $\sim$ 32–39% of receptors are expected to carry one functional binding site, but less than 0.1% to carry five sites. Thus, although receptors with fewer than five binding sites show reduced sensitivity to ACh, at saturation, the response is similar to that of receptors with all five binding sites (19).

To determine how the responses of individual receptors contribute to the macroscopic current responses, we again coexpressed  $\alpha$ 7 and  $\alpha$ 7-Y188T subunits (1:1 and 1:3 subunit ratios), but instead measured single-channel currents and compared open time distributions with those of the control  $\alpha$ 7. In the presence of 100–500  $\mu$ M ACh, the control  $\alpha$ 7 exhibits single brief openings flanked by long closings, or less often, several openings in quick succession, known as bursts (Fig. 24) (13). The open time distribution is described by the sum of two exponential components of 46 ± 3 µs and 230 ± 40 µs at 500 µM ACh (n = 4) (Fig. 24 and Table S1). The



**Fig. 1.** Macroscopic responses of  $\alpha$ 7 with different average number of functional binding sites per receptor. Representative macroscopic responses to the application of a 300-ms pulse of 100 µM (gray line) or 1 mM ACh (black line) of cells expressing  $\alpha$ 7 (A) or receptors formed by  $\alpha$ 7 and  $\alpha$ 7-Y188T (subunit ratio 1:3) (C). No responses are elicited by 1 mM ACh from cells expressing  $\alpha$ 7-Y188T receptors (B). (D) Dose-response curves for  $\alpha$ 7 ( $\bullet$ ) and  $\alpha$ 7 +  $\alpha$ 7-Y188T receptors [1:3 ( $\bullet$ ) and 1:9 subunit ratios ( $\bigcirc$ )]. Each point corresponds to the mean  $\pm$  SD.



**Fig. 2.** Single-channel currents from cells transfected with  $\alpha$ 7 or mixed  $\alpha$ 7 +  $\alpha$ 7-Y188T subunits. Single-channel currents from cells transfected with  $\alpha$ 7 (*A*) or  $\alpha$ 7 and  $\alpha$ 7-Y188T (subunit ratio 1:3) (*B*) were recorded at -70 mV (500  $\mu$ M ACh). Channel traces are shown at two different time scales. Typical open and burst duration histograms are shown for each condition. Openings are shown as upwards deflections.

mean burst duration is similar to the mean duration of the longest open component (240  $\pm$  40  $\mu$ s) (Table S1) (13). Single-channel openings were not detected from cells transfected with  $\alpha$ 7-Y188T subunits (n = 19 cell attached patches from green cells) whereas they were readily detected from cells transfected with both  $\alpha$ 7 and  $\alpha$ 7-Y188T subunits (Fig. 2B). For receptors containing wild-type and binding site mutant subunits, mean open durations were the same as those of the control  $\alpha$ 7 (mean duration of the longest component =  $190 \pm 20 \ \mu s$  and mean burst duration =  $230 \pm 20 \ \mu s$ for  $\alpha$ 7 plus  $\alpha$ 7-Y188T 1:3 subunit ratio; n = 4) (Fig. 2 and Table S1). Although these results suggest that open-channel lifetime is independent of the number of functional binding sites, the analyzed channel population comprises receptors with zero to five intact binding sites, but the number of intact sites in individual receptors is not known. What is needed is a means to directly register the subunit stoichiometry of individual receptors simultaneous with channel open duration.

We therefore applied an electrical fingerprinting strategy based on combining mutations that alter single-channel conductance with a mutation that prevents agonist binding. After coexpressing mutant and nonmutant subunits and recording agonist-evoked single-channel currents, the amplitude of each channel-opening event reports the number of intact binding sites in the pentamer whereas the dwell time indicates the stability of the open channel (18, 20, 21).

A crucial aspect of this strategy is the accurate measurement of single-channel amplitude, as it becomes the signature of receptor stoichiometry. However, single-channel openings from a7 exhibit a broad distribution of current amplitudes, and amplitude histograms cannot be fitted by a single Gaussian component (Fig. 3A and Fig. S1A). Simulation of single-channel currents, followed by filtering at different bandwidths, suggests that the appearance of multiple amplitudes mainly arises from limited time resolution of the inherently brief  $\alpha$ 7 openings (Fig. S2) (13). In agreement with this interpretation, an amplitude histogram constructed from channel openings with durations greater than 0.3 ms produces a single Gaussian distribution of amplitudes (Fig. 3A). Nonetheless a minor proportion of subconductance states cannot be excluded. Thus, an inherent limitation of  $\alpha$ 7 is that fully resolved openings comprise less than 4% of the total and would not be sufficient for the electrical fingerprinting strategy (Fig. S1A).

We therefore used background mutations or a potentiator to increase open channel lifetime. For the background mutant, we chose the PNU-insensitive quintuple mutant  $\alpha$ 7TSLMF because its mean open duration is longer than that of  $\alpha$ 7, but it retains normal ACh sensitivity and rapid desensitization (22). The mean duration of the longest open component is 0.90 ± 0.36 ms, and



Fig. 3. Electrical fingerprinting for a7. (A) Single-channel currents activated by 100  $\mu$ M ACh from wild-type  $\alpha$ 7. Amplitude histogram constructed with events longer than 0.3 ms. (B) Single-channel currents activated by 100 µM ACh from cells transfected with a7TSLMF alone (Upper) or together with α7TSLMF<sub>LC</sub> (Lower) (subunit ratio 1:1). (C) Single-channel currents activated by 100  $\mu$ M ACh in the presence of 2 mM 5-HI from cells transfected with  $\alpha$ 7 alone (Upper) or together with  $\alpha7_{LC}$  (Lower) (subunit ratio 1:1). The two traces for the mixed subunits are excerpts from the same recording. Representative amplitude histograms are shown. Membrane potential: –70 mV. (D) Homology model of a single  $\alpha$ 7 subunit based on the Torpedo AChR structure (PDB ID code 2BG9), with the mutations that lead to the low-conductance form of  $\alpha 7$  ( $\alpha 7_{LC}$ ). (E) Plot of mean current amplitude against number of mutant low-conductance subunits. The fitted slopes are: 1.89 pA/LC subunit for  $\alpha 7$  +  $\alpha 7_{LC}$  in the presence of ACh + 5-HI and 1.97 pA/LC subunit for  $\alpha$ 7TSLMF +  $\alpha$ 7TSLMF<sub>LC</sub> in the presence of ACh. Note that  $\alpha$ 7 wild-type conductance is referred to as high conductance.

the mean burst duration is  $1.60 \pm 0.60$  ms (n = 7) (Fig. 3B and Table S1). As for wild-type  $\alpha$ 7, amplitude histograms, restricted to openings with durations longer than 30 µs, span a wide range (Fig. S1). However, compared with  $\alpha$ 7, openings longer than 0.3 ms comprise a much larger fraction (22%) of the total (Fig. S1), resulting in a major Gaussian component of current amplitudes with a mean of ~10 pA (Fig. 3B). Thus, this mutant becomes a useful tool for applying the electrical fingerprinting strategy.

Another means to increase open duration is to use a positive allosteric modulator (PAM). We chose the type I PAM, 5-

hydroxyindole (5-HI) because it increases open and burst durations, but its effect is relatively subtle compared with the dramatic effect of the type II PAM PNU-120596 (22-24). In the presence of 100  $\mu$ M ACh and 2 mM 5-HI, recordings from control  $\alpha$ 7 exhibit isolated openings or bursts of several successive openings (Fig. 3*C*). The mean durations of the longest component of openings (2.10 ± 0.20 ms) and bursts (5.10 ± 0.60 ms) are significantly prolonged with respect to  $\alpha$ 7 in the absence of PAM (*n* = 6) (Fig. S3 and Table S1). Amplitude histograms constructed for openings longer than 0.3 ms show a major Gaussian component of ~10 pA at -70 mV (Fig. 3*C*).

The electrical fingerprinting strategy requires coexpression of low- and high-conductance subunits to generate receptors with different stoichiometry that can be distinguished by the single-channel amplitude. To this end, we generated an  $\alpha$ 7 low-conductance subunit by introducing three mutations in the intracellular M3–M4 region (Q428R, E432R, and S436R) (18, 21, 25). The triple mutant, or  $\alpha$ 7<sub>LC</sub> receptor, expresses well, and whole-cell ACh responses are identical to those from the control  $\alpha$ 7 (Fig. S4). However, because of its low conductance, single-channel openings cannot be detected from cell-attached patches (Fig. S4) (21).

Subunits that form receptors with normal conductance, referred to as high-conductance subunits, coassemble with lowconductance subunits to form receptors that exhibit discrete amplitude classes, as shown before (21). Recordings from cells transfected with  $\alpha$ 7TSLMF and  $\alpha$ 7TSLMF<sub>LC</sub> subunits, or  $\alpha$ 7 plus  $\alpha$ 7<sub>LC</sub> (in the presence of 100  $\mu$ M ACh and 2 mM 5-HI) show openings with five discrete amplitude classes, indicating that each subunit contributes approximately equally to the singlechannel conductance (Fig. 3 *B*, *C*, and *E* and Table S2). Thus, amplitude classes of 10, 8, 6, 4, and 2 pA correspond to receptors containing 0, 1, 2, 3, or 4  $\alpha$ 7 low-conductance subunits (Fig. 3*E*). Thus, for each channel-opening episode, the single-channel current amplitude gives an instant register of the stoichiometry of high- and low-conductance subunits.

For both types of subunit-mixing experiments,  $\alpha$ 7TSLMF plus  $\alpha$ 7TSLMF<sub>LC</sub>, or  $\alpha$ 7 plus  $\alpha$ 7<sub>LC</sub> in the presence of 5-HI, the mean open and burst durations are constant among amplitude classes (Table S2), indicating that the amplitude but not the lifetime is affected by the conductance mutations (18).

Having established methods to simultaneously register subunit stoichiometry and channel open time for individual receptors, we determined whether open-channel stability depends on the number of functional binding sites. We introduced the Y188T binding site mutation in  $\alpha$ 7TSLMF and  $\alpha$ 7 subunits and verified that channel activity was not detected from  $\alpha$ 7-Y188T receptors in the presence of 100 µM ACh and 2 mM 5-HI, or from a7TSLMF-Y188T receptors in the presence of 100-500 µM ACh (12-38 cellattached patches from green fluorescent cells for each condition). We then transfected cells with both low- and high-conductance subunits, with or without the Y188T mutation, recorded singlechannel currents, and compared mean open and burst durations for events corresponding to the 8-pA amplitude class, which arise from receptors containing one low-conductance and four highconductance subunits (Fig. 3E). If the high-conductance subunit is either  $\alpha$ 7TSLMF or  $\alpha$ 7, openings from the 8-pA amplitude class correspond to receptors with five functional binding sites whereas, if the high-conductance subunit carries the Y188T mutation (a7TSLMF-Y188T or a7-Y188T), openings from the 8-pA amplitude correspond to receptors with only one functional binding site. Thus, in the two experiments, openings from the 8-pA amplitude class give information about receptors with either one or five functional binding sites.

The 8-pA openings, whether from receptors with either five or one functional binding site, show identical open-channel lifetimes ( $0.82 \pm 0.26$  and  $0.72 \pm 0.10$  ms, respectively) and mean burst durations ( $1.20 \pm 0.40$  and  $1.10 \pm 0.55$  ms, respectively, n =6–8 for each condition) (Fig. 4*A* and Table S3). Analogously, in



**Fig. 4.** Comparison of mean open and burst durations for  $\alpha$ 7 receptors containing five or one functional binding sites. Cells were transfected with  $\alpha$ 7TSLMF<sub>LC</sub> and  $\alpha$ 7TSLMF carrying (*Lower*) or not (*Upper*) the Y188T mutation (*A*) or with  $\alpha$ 7<sub>LC</sub> together with  $\alpha$ 7 (*Upper*) or  $\alpha$ 7-Y188T (*Lower*) (*B*). Single channels activated by 100  $\mu$ M ACh in the absence (*A*) or presence of 2 mM 5-HI (*B*). The 8-pA amplitude class corresponds to receptors containing five or only one functional binding site (in the presence of Y188T mutation). Open and burst duration histograms are shown for each condition. Membrane potential: –70 mV.

the presence of 5-HI, openings from receptors containing either five ( $\alpha$ 7 and  $\alpha$ 7<sub>LC</sub> subunit mixture) or one functional binding site ( $\alpha$ 7-Y188T and  $\alpha$ 7<sub>LC</sub> subunit mixture) show identical openchannel lifetimes (2.30 ± 0.50 and 2.20 ± 0.70 ms, respectively) and burst durations (5.40 ± 1.40 ms and 5.10 ± 1.00 ms, respectively) (Fig. 4*B* and Table S3). A few 10-pA openings were detected in less than 20% of the patches in the presence of 5-HI although these openings were negligible compared with openings in the 8-pA amplitude class.

To further explore the relationship between the number of functional binding sites and open-channel lifetime, we analyzed receptors containing all possible numbers of binding sites by coexpressing  $\alpha$ 7-Y188T and  $\alpha$ 7<sub>LC</sub> or the reverse combination,  $\alpha$ 7 and  $\alpha$ 7<sub>LC</sub>-Y188T, and recording ACh-activated channel openings in the presence of 2 mM 5-HI. Analysis of the different amplitude classes, which include pentameric arrangements containing from one to five functional binding sites, shows that mean open and burst durations are constant among receptors containing 1, 2, 3, 4, or 5 functional sites (Table S4).

We conclude that  $\alpha$ 7 AChRs can be activated if agonist occupies only one binding site and that open and burst durations are similar regardless of whether agonist occupies one or all five binding sites.

In contrast to  $\alpha$ 7, the open-channel lifetime of a receptor formed by the extracellular domain of  $\alpha$ 7 and the transmembrane region of 5-HT<sub>3</sub>A ( $\alpha$ 7–5HT<sub>3</sub>A) depends on the number of functional binding sites (18). For  $\alpha$ 7–5HT<sub>3</sub>A receptors containing only one functional binding site, open and burst durations are briefer than those from receptors with three functional binding sites (18). The opposing occupancy-open lifetime relationships raise an interesting point because the binding domain is identical between the chimeric receptor and  $\alpha$ 7. Because openings of the chimera are long enough to allow full amplitude resolution, the electrical fingerprinting was performed in the absence of potentiators (18). We therefore explored whether, under identical conditions, i.e., in the presence of 5-HI, the relationship between binding-site occupancy and open-channel lifetime is also different between  $\alpha$ 7 and  $\alpha$ 7– 5HT<sub>3</sub>A receptors. For the electrical fingerprinting, we combined the high-conductance form of the chimera, which contains the triple QDA mutation ( $\alpha$ 7–5HT<sub>3</sub>A<sub>HC</sub>) (25–28), and the original low-conductance form ( $\alpha$ 7–5HT<sub>3</sub>A), which is electrically silent (18), and activated single channels by a saturating concentration of ACh (1 mM) (28). In the presence of 2 mM 5-HI, both openings and bursts are prolonged, confirming that 5-HI potentiates AChelicited currents from receptors containing the high-conductance form of  $\alpha$ 7–5HT<sub>3</sub>A (Fig. S5) (28).

We next recorded single-channel currents in the presence of 1 mM ACh and 2 mM 5-HI from cells transfected with the  $\alpha$ 7–5HT<sub>3</sub>A<sub>HC</sub> (with or without the Y188T mutation) and the  $\alpha$ 7–5HT<sub>3</sub>A subunit (low conductance), essentially as described before (18). Because the conductance of  $\alpha$ 7–5HT<sub>3</sub>A<sub>HC</sub> receptors (90 pS) (28) is lower than that of  $\alpha$ 7, we increased the holding potential from –70 to –120 mV to better distinguish amplitude classes (18). We analyzed openings from the second highest amplitude class (7.9 ± 0.3 pA at –120 mV), corresponding to receptors containing four high-conductance subunits (18) and either five (in  $\alpha$ 7–5HT<sub>3</sub>A<sub>HC</sub> and  $\alpha$ 7–5HT<sub>3</sub>A subunit mixture) or one (in  $\alpha$ 7–5HT<sub>3</sub>A<sub>HC</sub>-Y188T and  $\alpha$ 7–5HT<sub>3</sub>A subunit mixture) functional binding sites (Fig. 5).

5-HI produces open-channel block of the chimeric receptor, which decreases the mean open time in a voltage-dependent manner (Fig. S5). We therefore focused on burst durations that, in the absence of 5-HI, are significantly briefer in receptors containing one functional binding site than in those containing five (18). The results reveal that bursts from potentiated chimeric  $\alpha$ 7–5HT<sub>3</sub>A receptors containing only one functional binding site (46 ± 15 ms, n = 11) are profoundly briefer than those from chimeric receptors containing five functional binding sites (126 ± 31 ms, n = 5) (Fig. 5). Thus, the occupancy-open time relationship diverges between  $\alpha$ 7 and  $\alpha$ 7–5HT<sub>3</sub>A receptors, suggesting contributions of the pore domain or an interplay between the ligand binding and pore domains.

### Discussion

The  $\alpha$ 7 nicotinic receptor is mainly involved in extrasynaptic or volume transmission where it is exposed to low concentrations of ACh or choline, and thus likely functions with partial occupancy of its five identical binding sites. To understand  $\alpha$ 7 function at submaximal occupancy, we took advantage of our previously established electrical fingerprinting strategy (18, 20, 21), which allows simultaneous measurements of the open-channel duration and the number of active binding sites in individual receptors in real time. A crucial requirement for this strategy is to fully resolve single-channel openings because the channel amplitude becomes the signature of receptor stoichiometry. Amplitude resolution is an inherent challenge for  $\alpha$ 7 because most channel openings are too brief to be fully resolved and thus do not reach



**Fig. 5.** Duration of bursts of  $\alpha$ 7–5-HT<sub>3</sub>A containing five or one functional binding sites. Cells were cotransfected with control ( $\alpha$ 7–5HT<sub>3</sub>A) and high-conductance ( $\alpha$ 7–5HT<sub>3</sub>A<sub>HC</sub>) subunits carrying (*B*) or not (*A*) Y188T mutation. Single channels were recorded in the presence of 1 mM ACh and 2 mM 5-HI at –120 mV. Channels of the amplitude class determined by four high-conductance subunits were analyzed, which correspond to arrangements containing five (*A*) or one (*B*) functional binding sites.

full amplitude. To obtain resolvable channel openings, we used two different tools to increase open duration: a background quintuple mutation and a type I PAM. The five residues in the  $\alpha$ 7TSLMF mutant outline an interhelical cavity to which the type II PAM, PNU-120596, binds; in addition to abolishing PNU potentiation, the mutations also increase open-channel duration in the absence of PNU, of which we took advantage (22). To provide an alternative means of increasing open time, we used the potentiator 5-HI. Because 5-HI is a type I PAM, its functional properties of modulation differ from those of type II PAMs, such as PNU (24, 29).

We demonstrate that occupancy of only one neurotransmitter binding site of  $\alpha$ 7 is sufficient for receptor activation and, unexpectedly, that it also achieves maximal open-channel lifetime. Increasing the number of functional binding sites from one to five does not lead to a concomitant increase in the stability of the open channel. The same result is obtained using two different experimental strategies, which supports our conclusion despite the inability to apply electrical fingerprinting to wild-type  $\alpha$ 7 in the absence of drugs.

Given the kinetic signature of  $\alpha$ 7 single-channel openings absence of agonist concentration dependence and infrequent isolated openings—the channel-opening rate cannot be measured from single-channel recordings. However, at a saturating concentration of ACh, the onset of the macroscopic currents gives a lower limit of the rate of channel opening. Following a decrease in the number of functional binding sites, this rate remains indistinguishable from that of the control  $\alpha$ 7. Differences in the limiting rate may be further masked, however, by nonuniform agonist application, inherent to whole-cell measurements, together with the characteristic rapid desensitization of  $\alpha$ 7.

Thus,  $\alpha 7$  activates well with one agonist bound, and channel gating may be similar for receptors with one and five functional binding sites, all this being a unique feature of  $\alpha 7$ . Maximal activation of  $\alpha 7$  with submaximal occupancy was previously suggested from whole-cell current recordings (19).

The neuromuscular AChR has served as a model system to investigate mechanisms of operation of pentameric ligand-gated ion channels (11). The classical Monod, Wyman, and Changeux mechanism postulates that un-, mono-, and di-liganded AChRs undergo essentially the same global closed-open gating isomerization, and the agonist affinity ratio between closed and open states provides the energy to enhance gating with increased occupancy (30). Single-channel kinetic analysis of muscle AChRs has shown that the gating equilibrium constant increases with the number of bound ACh molecules (11, 31-33). Thus, our findings suggest that the occupancy-activation relationship differs among types of receptors in the Cys-loop family. For many receptor types, one agonist activates poorly but two or three activate well. This mechanism may protect against single occupancy activation at fast synapses where release sites are close to the postsynaptic receptors and to allow for rapid offset of synaptic responses. Other receptor types, such as a7, respond to low concentrations of ACh that change in a graded fashion; agonist occupancy of one site may trigger rearrangements within and between the subunits that are sufficient to destabilize the closed state and stabilize the open state. The diverging occupancy-activation relationship for the  $\alpha$ 7–5HT<sub>3</sub>A chimera demonstrates that the transmembrane domain or the interface between the transmembrane and extracellular domains that differs between  $\alpha$ 7 and  $\alpha$ 7–5HT<sub>3</sub>A (13), or an interplay with the ligand binding domain contribute to this relationship. It remains to be elucidated whether desensitization, which may contribute to  $\alpha$ 7 open-channel lifetime through a not yet fully known structural mechanism (10, 34–36), affects this relationship.

A previous study of competitive antagonism of  $\alpha$ 7 by methyllycaconitine (MLA) showed that, following wash out of MLA, recovery from inhibition followed a sigmoid rather than an exponential time course, suggesting that activation required dissociation of MLA from all five binding sites, in apparent contrast with our observation (37). However, the two observations can be reconciled by postulating that, whereas a single agonist occupancy is sufficient for activation, conformational change of the remaining four sites is also required, and MLA occupancy of these sites prevents conformational change and thus channel opening.

Previously, by applying the same electrical fingerprinting strategy to the  $\alpha$ 7–5HT<sub>3</sub>A chimeric receptor, we showed that agonist occupancy of one binding site produces brief openings whereas occupancy of three sites produces much longer openings (18). Furthermore, we show here that, in the presence of 5-HI,  $\alpha$ 7– 5HT<sub>3</sub>A maintains the same occupancy-channel open time relationship. Because the extracellular domain of  $\alpha$ 7–5HT<sub>3</sub>A is the same as that in  $\alpha$ 7, our results reveal a unique structural contribution to the occupancy-channel open time relationship: both the extracellular and pore domains are required. The extracellular domain is an obvious contributor, as it contains the ligand binding sites, but the pore domain alone, or in combination with the extracellular domain, also contributes. In the  $\alpha$ 7–5HT<sub>3</sub>A chimera, the junction between the extracellular and pore domains contains mismatches where residues from  $\alpha$ 7 juxtapose residues from 5-HT<sub>3</sub>A. Removing these mismatches, by installing either  $\alpha$ 7 or 5-HT<sub>3</sub>A sequences, restores the single-channel kinetic signature of the corresponding parent receptor (13). Thus, the junction between extracellular  $\beta$ -sheet and transmembrane  $\alpha$ -helical structures may contribute to the relationship between occupancy and open-channel lifetime.

Macroscopic responses of cells expressing mixed populations of receptors with a different number of functional binding sites show that the EC<sub>50</sub> increases as the number of intact binding sites per receptor decreases. Such increase may arise from an overall decrease in the rate of agonist association per receptor. A similar observation was reported for  $\alpha 7$  (19) and  $\alpha 7$ –5HT<sub>3</sub>A receptors (18). Thus, the availability of additional binding sites beyond that required for the maximal response enhances agonist sensitivity.

The enhanced sensitivity and activation by single-site occupancy make  $\alpha$ 7 ideal for extrasynaptic transmission in brain as well as in nonneuronal cells where it likely functions with submaximal occupancy. In addition, its brief open duration may be a protective mechanism against calcium-mediated toxicity. Thus, the activation mechanism of  $\alpha$ 7 seems adapted to unique and diverse physiological roles, including cytoprotective, anti-inflammatory, and cognitive functions.

#### **Materials and Methods**

Additional experimental procedures are described in SI Materials and Methods.

Site-Directed Mutagenesis and Expression of Receptors. The low-conductance form of  $\alpha7$  ( $\alpha7_{LC}$ ) was constructed by mutating the homologous residues to arginine residues (Q428R, E432R, S436R) (21). The  $\alpha7$  wild-type conductance is referred to as "high conductance." The high-conductance form of  $\alpha7$ – 5HT<sub>3</sub>A was obtained by substitution of three arginine residues (R432Q, R436D and R440A) (18, 27, 28) whereas the original form is referred to as "low conductance." The  $\alpha7$  quintuple mutant carries five substitutions within transmembrane domains (S223T, A226S, M254L, I281M and V288F) (22). BOSC 23 cells were transfected by calcium phosphate precipitation as described previously with subunit cDNAs together with Ric-3 cDNA for cell-sufficient of the substitution (13, 27).

**Single-Channel Recordings.** Single-channel recordings were obtained in the cell-attached patch configuration (13). For  $\alpha$ 7, the bath and pipette solutions contained 142 mM KCl, 5.4 mM NaCl, 1.8 mM CaCl<sub>2</sub>, 1.7 mM MgCl<sub>2</sub>, and 10 mM Hepes (pH 7.4). ACh alone or in the presence of 2 mM 5-hydroxyindole (5-HI) was added to the pipette solution. For  $\alpha$ 7–5HT<sub>3</sub>A, the bath and pipette solutions contained 142 mM KCl, 5.4 mM NaCl, 0.2 mM CaCl<sub>2</sub>, and 10 mM Hepes (pH 7.4) (28).

Single-channel currents were digitized at 5- to 10- $\mu$ s intervals, low-pass filtered at a cutoff frequency of 10 kHz using an Axopatch 200 B patchclamp amplifier (Molecular Devices), and analyzed using the program TAC with the Gaussian digital filter at 9 kHz (final cutoff frequency 6.7 kHz) (Bruxton) (27). Open-time histograms were fitted by the sum of exponential functions by maximum likelihood using the program TACFit (Bruxton).

Electrical Fingerprinting. To define amplitude classes from receptors generated by coexpression of high- and low-conductance subunits, analysis was performed by tracking events regardless of current amplitude. Amplitude histograms were then constructed, and the different amplitude classes were distinguished. To determine the mean open and burst durations of each amplitude class, the analysis was performed in two different ways (18). In one, all opening events were detected without any restriction of amplitude. Open time histograms were then constructed for a given amplitude class by selecting only openings with amplitudes of  $\pm 0.6$  pA of that of the mean of the class. In the second way, only openings whose amplitudes were between  $\pm 0.6$  pA of that of the mean amplitude class under study were accepted during the detection. The corresponding duration histogram was then constructed from the accepted events (18). No significant differences in the mean durations were observed between the two types of analyses. Bursts of openings were identified as a series of closely separated openings preceded and followed by closings longer than a critical duration, typically 300–500  $\mu$ s for  $\alpha$ 7, 1–2 ms for  $\alpha$ 7TSLMF, 1–3 ms for  $\alpha$ 7 in the presence of 5-HI, and 2–5 ms for  $\alpha$ 7–5HT<sub>3</sub>A.

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**Macroscopic Current Recordings.** Currents were recorded in the whole-cell configuration. The pipette solution contained 134 mM KCl, 5 mM EGTA, 1 mM MgCl<sub>2</sub>, and 10 mM Hepes (pH 7.3). The extracellular solution (ECS) contained 150 mM NaCl, 1.8 mM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, and 10 mM Hepes (pH 7.3). We applied a 300-ms pulse of ECS containing ACh at different concentrations (13). Currents were filtered at 5 kHz, digitized at 20 kHz, and analyzed using the IgorPro software (WaveMetrics). Each current represents the average from three to five individual traces obtained from the same cell. The rise time of the currents ( $t_{720-80}$ ) was determined by calculating the time in which the current increased from 20% to 80% of the peak. EC<sub>50</sub> was calculated from dose–response curves by nonlinear regression analysis using the Hill equation: R/Rmax =  $1/[1+(EC_{50}/L)^{nH}]$ , where EC<sub>50</sub> is the agonist concentration that elicits the half-maximal response, nH is the Hill co-

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