
On the category of Nelson paraconsistent lattices

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Abstract

We present an equivalence between the category of Nelson Paraconsistent lattices (NPC-lattices) and a category of pairs of Brouwerian algebras and regular filters. Specializing such category of pairs to Gödel hoops, we get the subvariety of Gödel NPC-lattices and, using the dual equivalence of finite Gödel hoops with finite trees, we obtain a duality for finite Gödel NPC-lattices. This duality is used to describe finitely generated free Gödel NPC-lattices..

Keywords: Nelson paraconsistent lattices, Brouwerian algebras, Gödel hoops, dual equivalences, free algebras.

1 Introduction

Nelson's paraconsistent logic $\mathbf{N4}$ is the paraconsistent variant of Nelson's system [26]. We recall that Paraconsistent logics are those logics that admit inconsistent but non-trivial theories and Nelson's system (constructive logic with strong negation, [3, 23]) is an expansion of intuitionistic logic by a new negation symbol that behaves as an involutive negation.

It turns out that $\mathbf{N4}$ is algebraizable and the corresponding algebraic structures are $\mathbf{N4}$ -lattices, which were studied and analysed by Odintsov in [24, 26].

Following some of the ideas of [28, 29] and [6], in [5] a class of residuated lattices with involution is defined, called Nelson paraconsistent lattices (NPC-lattices for short). There it is proved that NPC-lattices and $e\mathbf{N4}$ -lattices (an extension of $\mathbf{N4}$ -lattices by a constant e) are termwise equivalent. This situates Nelson's paraconsistent logic within the framework of substructural logics [16], providing an alternative semantics in terms of well-known algebraic structures.

The most interesting property of NPC-lattices is that they can be represented by twist-products of Brouwerian algebras, sometimes also known as generalized Heyting algebras, which are bottom-free reducts of Heyting algebras. By a *twist-product* of a lattice \mathbf{L} we mean a suitably defined sublattice

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of the cartesian product of \mathbf{L} with its order-dual \mathbf{L}^∂ equipped with the natural order involution $(x, y) \mapsto (y, x)$ for all $(x, y) \in L \times L^\partial$.

The idea of considering this kind of construction to deal with order involutions on lattices goes back to Kalman's 1958 paper [20], and it has been used widely to represent many involutive lattices with additional operations (see [5, 6, 9, 10, 14, 21, 25–27, 30, 31]).

In the present article the fact that NPC-lattices are representable by twist-products of Brouwerian algebras is exploited to obtain some results about these residuated lattices. To begin with, we give a categorical equivalence between the category of NPC-lattices and morphisms and a category whose objects are pairs consisting of a Brouwerian algebra and a regular filter of it. The equivalence follows the ideas given by Sendlewski [27] and by Odsintov [26], but we rephrase them in the context of residuated lattices.

Then we focus our attention on Gödel NPC-lattices. These structures form the proper subvariety of NPC-lattices that can be represented by twist-products of Gödel hoops (prelinear Brouwerian algebras). As is well known, Esakia duality [13] can be specialized to a duality between finite prelinear Heyting algebras and finite forests with order preserving open maps [12, 19]. In [1, 2] the latter duality is adapted to Gödel hoops: finite Gödel hoops are dually equivalent with finite trees and order preserving open maps. In particular, each finite Gödel hoop arises as the set of all non-empty downward closed subsets of a tree, equipped with suitably defined operations. Based on this duality, we present a duality for finite Gödel NPC-lattices and we use it to describe finitely generated free algebras in this subvariety.

We refer to [22] for all results and notions of Category Theory needed along the paper.

2 Brouwerian algebras and NPC-lattices

By a *commutative residuated lattice* we mean a *residuated lattice-ordered commutative monoid*, that is, an algebra $\mathbf{A} = (A, \vee, \wedge, *, \Rightarrow, e)$ of type $(2, 2, 2, 2, 0)$ such that (A, \vee, \wedge) is a lattice, $(A, *, e)$ is a *commutative monoid* and the following residuation condition is satisfied:

$$x * y \leq z \text{ if and only if } x \leq y \Rightarrow z, \quad (1)$$

where x, y, z denote arbitrary elements of A and \leq is the order given by the lattice structure.

It is well known that commutative residuated lattices form a variety that we shall denote by \mathbf{CRL} (see, for instance, [4, 16, 18]).

A commutative residuated lattice \mathbf{A} is called *integral* if $x \leq e$ for all $x \in A$. The *negative cone* of $\mathbf{A} \in \mathbf{CRL}$ is the set $A^- = \{x \in A : x \leq e\}$. It is easy to see that A^- is closed under the operations $\vee, \wedge, *$, and if the binary operation \Rightarrow_e is defined as

$$x \Rightarrow_e y = (x \Rightarrow y) \wedge e, \quad (2)$$

then $\mathbf{A}^- = (A^-, \vee, \wedge, *, \Rightarrow_e, e)$ is an integral commutative residuated lattice. An integral commutative residuated lattice is a *Brouwerian algebra* [16, Chapter 2] (also a *generalized Heyting algebra* or an *implicative lattice*) if it satisfies the equation $x * x = x^2 = x$.

2.1 Regular filters on Brouwerian algebras

Let \mathbf{L} be a Brouwerian algebra (also known as implicative lattice). In Brouwerian algebras both products $*$ and \wedge coincide and the neutral element of the product e is also the greatest element of the algebra. We say an element $x \in L$ is **dense** if it is of the form $x = w \vee (w \Rightarrow z)$, with $w, z \in L$.

PROPOSITION 2.1

The set F_d of dense elements of L is a (lattice) filter.

PROOF. Assume first L has a minimum element \perp . Then an element x is dense iff $x \Rightarrow \perp = \perp$. In details, if $x \Rightarrow \perp = \perp$ then x is clearly dense as $x = x \vee (x \Rightarrow \perp)$. Conversely, if x is dense then $x = w \vee (w \Rightarrow z)$ and

$$\begin{aligned} x \Rightarrow \perp &= (w \vee (w \Rightarrow z)) \Rightarrow \perp = (w \Rightarrow \perp) \wedge ((w \Rightarrow z) \Rightarrow \perp) \\ &\leq (w \Rightarrow z) \wedge ((w \Rightarrow z) \Rightarrow \perp) \leq \perp. \end{aligned}$$

In this case F_d is a filter. Now consider the case L unbounded. Take $\langle F_d \rangle$, the filter generated by F_d and let $x \in \langle F_d \rangle$. Then x is of the form

$$x \geq \bigwedge_{i=1}^n w_i \vee (w_i \Rightarrow z_i)$$

for some $w_i, z_i \in L$, and take $m = \bigwedge_{i=1}^n (w_i \wedge z_i)$, so $L_m = \{y : y \geq m\}$ is a subalgebra of L with $x, w_i, z_i \in L_m$ and minimum element m . Then x is dense in L_m (as it is greater than or equal to the infimum of finitely many dense elements of L_m) and we have $x \Rightarrow m = m$ (in L_m but also in L as the former is a subalgebra of the latter) and therefore $x = x \vee (x \Rightarrow m)$, obtaining $x \in F_d$. ■

Observe that if L is a chain, we have $x \Rightarrow y = \top$ if $x \leq y$ and $x \Rightarrow y = y$ if $x > y$, then every non-bottom element (in case it exists) will be dense, as given $x \in L$ if there exists y with $x > y$, we will have $x = x \vee (x \Rightarrow y)$.

We will work with filters containing the filter F_d , which we call *regular*. It turns out that they have a specific structure.

LEMMA 2.2

If the filter F is an intersection of maximal filters, then it is regular.

PROOF. Assume first F maximal and take $a, b \in L$. If $a \in F$ then $a \vee (a \Rightarrow b) \in F$ and we are done. If $a \notin F$, then $\langle F \cup \{a\} \rangle = L$, being F maximal, and therefore $b \in \langle F \cup \{a\} \rangle$. Then there will exist $c \in F$ such that $b \geq a \wedge c$. But this is equivalent to $c \leq a \Rightarrow b$, so $(a \Rightarrow b) \in F$ and $a \vee (a \Rightarrow b) \in F$. This way $F_d \subseteq F$ for F maximal.

If F is an intersection of maximal filters, clearly $F_d \subseteq F$, as it is contained in each one of them. ■

LEMMA 2.3

If L bounded, then every regular proper filter is an intersection of maximal filters.

PROOF. Take \perp to be the minimum of L and let F be a proper regular filter. If $F \subseteq P$ with P a prime filter, then P must be maximal. Indeed, if not there would exist M maximal (and proper) such that $P \subsetneq M$ and given $a \in M \setminus P$, as $a \vee (a \Rightarrow \perp) \in F \subseteq P$ with P prime and $a \notin P$, it should be $a \Rightarrow \perp \in P$, then $a, a \Rightarrow \perp \in M$ and therefore $\perp = a \wedge (a \Rightarrow \perp) \in M$, absurd as M is proper. Then every prime filter containing F must be maximal.

As every proper filter is the intersection of every prime filter containing it, this last result implies F is an intersection of maximal filters. ■

COROLLARY 2.4

If L is bounded, then regular proper filters are exactly intersections of maximal filters.

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2.2 NPc-lattices

An *involution* on $\mathbf{A} \in \mathbf{CRL}$ is a unary operation \sim satisfying the equations $\sim \sim x = x$ and $x \Rightarrow \sim y = y \Rightarrow \sim x$. If $f := \sim e$, then $\sim x = x \Rightarrow f$ and f satisfies the equation

$$(x \Rightarrow f) \Rightarrow f = x. \quad (3)$$

The element f in Equation (3) is called a *dualizing element*.

Conversely, if $f \in A$ is a dualizing element and we define $\sim x = x \Rightarrow f$ for all $x \in A$, then \sim is an involution on \mathbf{A} and $\sim e = f$. Hence there is a bijective correspondence between involutions on \mathbf{A} and dualizing elements in A (see [15, 30] for details).

Taking $f = e$ in (3) we obtain an equation in the language of residuated lattices that determines a subvariety $\mathbb{I}_e \mathbf{CRL}$ of \mathbf{CRL} . We call the elements of this subvariety *e-involutive commutative residuated lattices* or *e-lattices* for short (they were called *residuated lattices with involution* in [6, 7]). It is easy to see that the involution \sim given by the prescription $\sim x = x \Rightarrow e$ for all $x \in A$, satisfies the following properties:

- (1) $\sim \sim x = x$,
- (2) $\sim (x \vee y) = \sim x \wedge \sim y$,
- (3) $\sim (x \wedge y) = \sim x \vee \sim y$,
- (4) $\sim (x * y) = x \Rightarrow \sim y$.

Moreover, we have that $\sim e = e$.

Lattice-ordered abelian groups with $x * y = x + y$, $x \rightarrow y = y - x$ and $e = 0$ are examples of *e-lattices*. Other examples of *e-lattices* are given by twist structures, which will be defined in the next section.

DEFINITION 2.5

(see Definition 2.1 in [7]) A *Nelson Paraconsistent residuated lattice* (NPc-lattice for short), is a distributive *e-lattice* $\mathbf{A} = (A, \vee, \wedge, *, \Rightarrow, e)$ satisfying the following equations:

$$(x * y) \wedge e = (x \wedge e) * (y \wedge e), \quad (4)$$

$$(x \wedge e)^2 = x \wedge e, \quad (5)$$

$$((x \wedge e) \Rightarrow y) \wedge ((\sim y \wedge e) \Rightarrow \sim x) = x \Rightarrow y. \quad (6)$$

The reader can check that \mathbf{B}^- with the implication as defined in 2 is a Brouwerian algebra. It is also well known and easy to verify that NPc-lattices satisfy the quasiequation:

$$\text{if } x \wedge e = y \wedge e \text{ and } \sim x \wedge e = \sim y \wedge e, \text{ then } x = y. \quad (7)$$

3 Representation of NPc-lattices

By a full *twist-product* of a lattice \mathbf{L} we mean the cartesian product of \mathbf{L} with its order-dual \mathbf{L}^∂ equipped with the natural order involution $(x, y) \mapsto (y, x)$ for all $(x, y) \in L \times L^\partial$. As far as we know the idea of considering this kind of construction to handle order involutions on lattices goes back to Kalman's 1958 paper [20], but the denomination 'twist' appeared thirty years later on Kracht's paper [21]. The following result is a particular case of [30, Corollary 3.6].

THEOREM 3.1

Let $\mathbf{L} = (L, *, \Rightarrow, \vee, \wedge, e)$ be an integral commutative residuated lattice. Then

$$\mathbf{K}(\mathbf{L}) = (L \times L, \sqcup, \sqcap, *, \rightarrow, (e, e))$$

with the operations $\sqcup, \sqcap, *, \rightarrow$ given by

$$(a, b) \sqcup (c, d) = (a \vee c, b \wedge d) \quad (8)$$

$$(a, b) \sqcap (c, d) = (a \wedge c, b \vee d) \quad (9)$$

$$(a, b) * (c, d) = (a * c, (a \Rightarrow d) \wedge (c \Rightarrow b)) \quad (10)$$

$$(a, b) \rightarrow (c, d) = ((a \Rightarrow c) \wedge (d \Rightarrow b), a * d) \quad (11)$$

is an e -lattice. Moreover, the correspondence

$$(a, e) \mapsto a$$

defines an isomorphism from $(\mathbf{K}(\mathbf{L}))^-$ onto \mathbf{L} .

We refer to $\mathbf{K}(\mathbf{L})$ as the *full twist-product* obtained from \mathbf{L} , and every subalgebra \mathbf{A} of $\mathbf{K}(\mathbf{L})$ containing the set $\{(a, e) : a \in L\}$ is called a *twist-product* obtained from \mathbf{L} . Thus if \mathbf{A} is a twist-product obtained from \mathbf{L} its negative cone is isomorphic to \mathbf{L} .

K-lattices, introduced in [8], are e -lattices satisfying equations (4), (6) and the distributive law of lattices when one of the variables is the neutral e . Thus NPC-lattices form a proper subvariety of the variety of K-lattices. But K-lattices are exactly those e -lattices that are isomorphic to a twist-product of their negative cone [8, Theorem 3.7]. As a particular case one can verify the following result:

THEOREM 3.2

If \mathbf{L} is a Brouwerian algebra, then $\mathbf{K}(\mathbf{L})$ is an NPC-lattice. Moreover, for every NPC-lattice \mathbf{B} , the application $\phi_{\mathbf{B}} : \mathbf{B} \rightarrow \mathbf{K}(\mathbf{B}^-)$ given by

$$x \mapsto (x \wedge e, \sim x \wedge e)$$

is an injective morphism.

As it is clear from the definition of the operations in the twist-products, each term γ in the language of NPC-lattices, with variables x_1, \dots, x_n , can be uniquely identified with a couple of terms (γ^1, γ^2) in the language of Brouwerian algebras. A simple proof by induction on the complexity of γ yields the pair of terms. In details, let γ be a term in the language of NPC-lattices and assume that \mathbf{A} is an NPC-lattice, that by Theorem 3.2 can be identified with a subalgebra of $\mathbf{K}(\mathbf{A}^-)$. Let $\gamma_{\mathbf{A}}$ be the corresponding term function from \mathbf{A}^n to \mathbf{A} . If $\phi = \phi_{\mathbf{A}} : \mathbf{A} \rightarrow \mathbf{K}(\mathbf{A}^-)$ as in Theorem 3.2, for each $(a_1, a_2, \dots, a_n) \in \mathbf{A}^n$ if $\phi(a_i) = (b_i, c_i)$ for every $i = 1, 2, \dots, n$, we get

$$\begin{aligned} \phi((\gamma_{\mathbf{A}})(a_1, \dots, a_n)) &= \gamma_{\mathbf{K}(\mathbf{A}^-)}(\phi(a_1), \dots, \phi(a_n)) \\ &= \gamma_{\mathbf{K}(\mathbf{A}^-)}((b_1, c_1), \dots, (b_n, c_n)) \\ &= (\gamma_{\mathbf{A}^-}^1(b_1, c_1, \dots, b_n, c_n), \gamma_{\mathbf{A}^-}^2(b_1, c_1, \dots, b_n, c_n)). \end{aligned}$$

We now proceed to prove a categorical equivalence between the category of NPC-lattices and residuated lattices morphisms and a category whose objects are pairs of Brouwerian algebras and regular filters. The idea is to reformulate the characterization of N4-lattices given by Odintsov [26]

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in terms of residuated lattices. In Section 6 of [8] it is proved that some varieties of e -lattices can be represented by pairs formed by a bounded integral residuated lattices and a lattice filter of it. But those ideas cannot be applied directly to the present case, since the lower bound of the residuated lattice plays a crucial role. Following Odintsov's notation [26], in the sequel we shall often denote with ∇ the regular filter of a Brouwerian algebra \mathbf{L} used to build a twist-product.

THEOREM 3.3

Let \mathbf{L} be a Brouwerian algebra and ∇ a regular filter of \mathbf{L} . Then the subset

$$\mathbf{Tw}(\mathbf{L}, \nabla) = \{(a, b) \in L \times L : a \vee b \in \nabla\},$$

of the NPc-lattice $\mathbf{K}(\mathbf{L})$ is a twist-product obtained from \mathbf{L} , whose negative cone is isomorphic with \mathbf{L} .

Moreover, if \mathbf{L}' is another Brouwerian algebra and ∇' a regular filter in \mathbf{L}' , for each morphism $f : \mathbf{L} \rightarrow \mathbf{L}'$ satisfying $f(\nabla) \subseteq \nabla'$ we obtain an NPc-lattice morphism

$$\mathbf{f} : \mathbf{Tw}(\mathbf{L}, \nabla) \rightarrow \mathbf{Tw}(\mathbf{L}', \nabla')$$

given by $\mathbf{f}((a, b)) = (f(a), f(b))$.

PROOF. For the first part we prove that $B = \mathbf{Tw}(\mathbf{L}, \nabla)$ is the universe of a subalgebra of $\mathbf{K}(\mathbf{L})$ whose negative cone is isomorphic to \mathbf{L} , i.e., the operations are closed in B and $(a, e) \in B$ for each $a \in L$. Take $(a, b), (c, d) \in B$, then

- $(a, b) \sqcap (c, d) \in B$, as $(a, b) \sqcap (c, d) = (a \wedge c, b \vee d)$ and therefore $(a \wedge c) \vee (b \vee d) = (a \vee b \vee d) \wedge (c \vee d \vee b) \geq (a \vee b) \wedge (c \vee d) \in \nabla$.
- $(a, b) \sqcup (c, d) \in B$, as $(a, b) \sqcup (c, d) = (a \vee c, b \wedge d)$ and therefore $(a \vee c) \vee (b \wedge d) = (a \vee b \vee c) \wedge (a \vee c \vee d) \geq (a \vee b) \wedge (c \vee d) \in \nabla$.
- $(a, b) \cdot (c, d) \in B$, as $(a, b) \cdot (c, d) = (a \wedge c, (a \Rightarrow d) \wedge (c \Rightarrow b))$ and therefore

$$\begin{aligned} (a \wedge c) \vee ((a \Rightarrow d) \wedge (c \Rightarrow b)) &= \\ &= (a \vee (a \Rightarrow d)) \wedge (c \vee (a \Rightarrow d)) \wedge (a \vee (c \Rightarrow b)) \wedge (c \vee (c \Rightarrow b)) \\ &\geq (a \vee (a \Rightarrow d)) \wedge (c \vee d) \wedge (a \vee b) \wedge (c \vee (c \Rightarrow b)) \in \nabla. \end{aligned}$$

- $\sim(a, b) \in B$, this is immediate as $\sim(a, b) = (b, a)$ and $b \vee a = a \vee b \in \nabla$.
- $(a, b) \rightarrow (c, d) \in B$, as $x \rightarrow y = \sim(x \cdot \sim y)$ in e -lattices.
- $(a, e) \in B$ for each $a \in L$, as $a \vee e = e \in \nabla$ (in particular $(e, e) \in B$).

Finally, assume \mathbf{L}' is another Brouwerian algebra with ∇' a regular filter in it, and take a morphism $f : \mathbf{L} \rightarrow \mathbf{L}'$ satisfying $f(\nabla) \subseteq \nabla'$. We will show that $\mathbf{f}(a, b) = (f(a), f(b))$ is well defined and is a morphism from $\mathbf{Tw}(\mathbf{L}, \nabla)$ to $\mathbf{Tw}(\mathbf{L}', \nabla')$. The condition $f(\nabla) \subseteq \nabla'$ guarantees that if $a \vee b \in \nabla$, then $f(a) \vee f(b) = f(a \vee b) \in \nabla'$, then \mathbf{f} is well defined. From the fact that f is a morphism and the definition of the operations for $\mathbf{Tw}(\mathbf{L}, \nabla)$ and $\mathbf{Tw}(\mathbf{L}', \nabla')$, we obtain that \mathbf{f} is an NPc-lattice morphism. ■

Now we will assign to each NPc-lattice \mathbf{B} a pair composed by a Brouwerian algebra \mathbf{L} and a regular filter ∇ such that $\mathbf{B} \cong \mathbf{Tw}(\mathbf{L}, \nabla)$. This is achieved by gluing the result of Theorem 3.2 and the following theorem:

THEOREM 3.4

Given a twist-product \mathbf{B} obtained from \mathbf{L} , the set $\nabla = \{a \vee b : (a, b) \in B\}$ is a regular filter in \mathbf{L} , and

$$\mathbf{B} = \mathbf{Tw}(\mathbf{L}, \nabla).$$

Moreover, let \mathbf{L}' be another Brouwerian algebra and \mathbf{B}' be a twist-product obtained from \mathbf{L}' . Let further $\pi_1 : \mathbf{B}' \rightarrow \mathbf{L}'$ be the projection on the first coordinate, and $\nabla' = \{c \vee d : (c, d) \in B'\}$. Then for each NPc-lattice morphism $\mathbf{f} : \mathbf{B} \rightarrow \mathbf{B}'$ we obtain a Brouwerian morphism $f : \mathbf{L} \rightarrow \mathbf{L}'$ given by

$$f(a) = \pi_1(\mathbf{f}((a, e)))$$

that satisfies $f(\nabla) \subseteq \nabla'$.

PROOF. We first observe that if $a \in \nabla$, then there exists $b \leq a$ such that $(a, b) \in B$. Indeed, if $a \in \nabla$ there exists $(c, d) \in B$ such that $a = c \vee d$, then $(c \vee d, c \wedge d) = (c, d) \sqcup \sim(c, d) \in B$ and taking $b = c \wedge d$ we obtain $b \leq a$ and $(a, b) \in B$.

Now we show that ∇ is a regular filter.

- $e \in \nabla$, as $(e, e) \in B$ and $e = e \vee e$.
- if $a, c \in \nabla$, then $a \wedge c \in \nabla$. In fact, by the observation above there exist $b, d \in L$ such that $b \leq a, d \leq c$ and $(a, b), (c, d) \in B$. Then since $(b, e), (d, e)$ are also in B , $(b \wedge d, e) \in B$ and

$$\begin{aligned} (a, b) \sqcap ((a, b) \rightarrow (b \wedge d, e)) &= (a, b) \sqcap ((a \Rightarrow (b \wedge d)) \wedge b, a) \\ &= (a, b) \sqcap (b \wedge (a \Rightarrow d), a) \\ &= (b \wedge d, a), \end{aligned}$$

we have $(b \wedge d, a) \in B$, and similarly $(b \wedge d, c) \in B$. Finally $(b \wedge d, a \wedge c) = (b \wedge d, a) \sqcup (b \wedge d, c) \in B$ and as $b \wedge d \leq a \wedge c$ we obtain $a \wedge c \in \nabla$.

- if $a \in \nabla$ and $c \geq a$, again from the observation there exists $b \leq a$ such that $(a, b) \in B$, and as we also have $(c, e) \in B$, we obtain $(c, b) = (a, b) \sqcup (c, e) \in B$, and as $b \leq a \leq c$, we get $c = c \vee b \in \nabla$.
- if $a, b \in L$, then $a \vee (a \Rightarrow b) \in \nabla$, as $(a, e), (b, e) \in B$ and $(a \Rightarrow b, a) = (a, e) \rightarrow (b, e) \in B$.

For the next part, observe that if $\tilde{B} = \{(a, b) \in L \times L : a \vee b \in \nabla\}$, then it is clear that $B \subseteq \tilde{B}$. For the other inclusion take $(a, b) \in \tilde{B}$ with $a, b \in L$. Since B is an algebra that contains all the elements of the form (x, e) with $x \in L$ we have that (e, b) and (e, a) are in B . Then the element $(a \Rightarrow b, a) = (e, b) \rightarrow (e, a)$ is also in B . From the definition of ∇ there exists $(c, d) \in B$ such that $a \vee b = c \vee d$. Hence $(c, d) \sqcap (d, c) = (c \vee d, c \wedge d) = (a \vee b, c \wedge d)$ is also in B . Then

$$\begin{aligned} (a \vee b, c \wedge d) \sqcap (a \Rightarrow b, a) \sqcap (e, b) &= ((a \wedge (a \Rightarrow b)) \vee (b \wedge (a \Rightarrow b)), a \vee b) \\ &= (b, a \vee b), \end{aligned}$$

so $(b, a \vee b) \in B$ and similarly $(a, a \vee b) \in B$. From this we obtain $(a \wedge b, a \vee b) \in B$, and as $(b, a) = (a \vee b, a \wedge b) \sqcap (a \Rightarrow b, a) \in B$, we get what we wanted.

For the last part, take \mathbf{L}' another Brouwerian algebra, \mathbf{B}' a twist-product obtained from \mathbf{L}' and $\mathbf{f} : \mathbf{B} \rightarrow \mathbf{B}'$ an NPc-lattice morphism. As \mathbf{f} sends negative cones to negative cones, f is well defined from L to L' , and it is also clear that it is a lattice morphism and $f(e) = e$. We now check that it also preserves implication, define $c = f(a), d = f(b)$, then

$$\begin{aligned} f(a \Rightarrow b) &= \pi_1(\mathbf{f}(a \Rightarrow b, e)) = \pi_1(\mathbf{f}(((a, e) \rightarrow (b, e)) \sqcap (e, e))) \\ &= \pi_1(((\mathbf{f}(a, e) \rightarrow \mathbf{f}(b, e)) \sqcap \mathbf{f}(e, e))) \\ &= \pi_1(((c, e) \rightarrow (d, e)) \sqcap (e, e)) = \pi_1(c \Rightarrow d, e) \\ &= f(a) \Rightarrow f(b). \end{aligned}$$

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Finally, if $\nabla' = \{c \vee d : (c, d) \in B'\}$, taking $a \vee b \in \nabla$ define $(c, d) = \mathbf{f}(a, b) \in B'$ and observe that

$$\begin{aligned} \mathbf{f}(a \vee b, e) &= \mathbf{f}(((a, b) \sqcup \sim(a, b)) \sqcap (e, e)) \\ &= (\mathbf{f}(a, b) \sqcup \sim \mathbf{f}(a, b)) \sqcap \mathbf{f}(e, e) \\ &= ((c, d) \sqcup (d, c)) \sqcap (e, e) \\ &= (c \vee d, e), \end{aligned}$$

so $c \vee d = \pi_1(\mathbf{f}(a \vee b, e)) = f(a \vee b)$, and thus $f(\nabla) \subseteq \nabla'$. ■

THEOREM 3.5

Let \mathbf{B} be an NPC-lattice. Then the set $\nabla = \{(x \vee \sim x) \wedge e : x \in B\}$ is a regular filter in \mathbf{B}^- , and

$$\mathbf{B} \cong \mathbf{Tw}(\mathbf{B}^-, \nabla).$$

Moreover, if \mathbf{B}' is another NPC-lattice, for each NPC-lattice morphism $\mathbf{f} : \mathbf{B} \rightarrow \mathbf{B}'$ we obtain a Brouwerian morphism $f : \mathbf{B}^- \rightarrow (\mathbf{B}')^-$ given by $f = \mathbf{f}|_{\mathbf{B}^-}$, that satisfies $f(\nabla) \subseteq \nabla'$, where $\nabla' = \{(y \vee \sim y) \wedge e : y \in B'\}$.

PROOF. As $\mathbf{B} \cong \phi_{\mathbf{B}}(\mathbf{B})$, and the latter is a twist-product of \mathbf{B}^- (and \mathbf{B}^- is a Brouwerian algebra), the set

$$\begin{aligned} \nabla &= \{\pi_1(\phi_{\mathbf{B}}(x)) \vee \pi_2(\phi_{\mathbf{B}}(x)) : x \in B\} \\ &= \{(x \wedge e) \vee (\sim x \wedge e) : x \in B\} \\ &= \{(x \vee \sim x) \wedge e : x \in B\} \end{aligned}$$

is a regular filter in \mathbf{B}^- and

$$\phi_{\mathbf{B}}(\mathbf{B}) = \mathbf{Tw}(\mathbf{B}^-, \nabla).$$

For the second part, if $\mathbf{f} : \mathbf{B} \rightarrow \mathbf{B}'$ is an NPC-lattice morphism, it maps negative cones into negative cones, so f is well defined. To check that it is a Brouwerian algebra morphism only need to see that $f(x \Rightarrow_e y) = f(x) \Rightarrow_e f(y)$. To see this, let $x, y \in B^-$,

$$\begin{aligned} f(x \Rightarrow_e y) &= \mathbf{f}(x \Rightarrow_e y) = \mathbf{f}((x \Rightarrow y) \wedge e) \\ &= (\mathbf{f}(x) \Rightarrow \mathbf{f}(y)) \wedge e = \mathbf{f}(x) \Rightarrow_e \mathbf{f}(y) \\ &= f(x) \Rightarrow_e f(y). \end{aligned}$$

Finally, to check that $f(\nabla) \subseteq \nabla'$, if $(x \vee \sim x) \wedge e \in \nabla$, then it is clear that $y = \mathbf{f}(x) \in B'$,

$$\begin{aligned} f((x \vee \sim x) \wedge e) &= \mathbf{f}((x \vee \sim x) \wedge e) \\ &= (\mathbf{f}(x) \vee \sim \mathbf{f}(x)) \wedge e = (y \vee \sim y) \wedge e \in \nabla'. \end{aligned}$$

■

We now obtain a categorical equivalence. Consider the category \mathbf{NPC} of NPC-lattices together with NPC-lattice morphisms, and the category \mathbf{BF} that has as objects pairs of the form (\mathbf{L}, ∇) where \mathbf{L} is a Brouwerian algebra and $\nabla \subseteq L$ is a regular filter, and as arrows $f : (\mathbf{L}, \nabla) \rightarrow (\mathbf{L}', \nabla')$ such that $f : \mathbf{L} \rightarrow \mathbf{L}'$ is a Brouwerian morphism that satisfies $f(\nabla) \subseteq \nabla'$.

THEOREM 3.6

The functor $F : \mathbb{BF} \rightarrow \text{NPC}$ that acts on objects as

$$F((\mathbf{L}, \nabla)) = \mathbf{Tw}(\mathbf{L}, \nabla)$$

and on arrows, for $f : (\mathbf{L}, \nabla) \rightarrow (\mathbf{L}', \nabla')$ obtaining $F(f) : \mathbf{Tw}(\mathbf{L}, \nabla) \rightarrow \mathbf{Tw}(\mathbf{L}', \nabla')$ given by

$$F(f)(x, y) = (f(x), f(y)),$$

gives an equivalence of categories.

PROOF. F is well defined from Theorems 3.3 and 3.4, and it is clearly functorial, as $F(\text{id}_{(\mathbf{L}, \nabla)}) = \text{id}_{F((\mathbf{L}, \nabla))}$, and for arrows $g : (\mathbf{L}, \nabla) \rightarrow (\mathbf{L}', \nabla')$ and $f : (\mathbf{L}', \nabla') \rightarrow (\mathbf{L}'', \nabla'')$, if $(x, y) \in \mathbf{Tw}(\mathbf{L}, \nabla)$,

$$\begin{aligned} F(f \circ g)(x, y) &= (f \circ g(x), f \circ g(y)) \\ &= F(f)(g(x), g(y)) \\ &= F(f) \circ F(g)(x, y). \end{aligned}$$

Now, to prove it is an equivalence of categories, we will prove that F is full, faithful and essentially surjective:

- **full.** Let $\mathbf{f} : \mathbf{Tw}(\mathbf{L}, \nabla) \rightarrow \mathbf{Tw}(\mathbf{L}', \nabla')$ be an NPC-lattice morphism. Take $f(x) = \pi_1(\mathbf{f}(x, e))$, for $x \in L$. From Theorem 3.4, it is a morphism from (\mathbf{L}, ∇) to (\mathbf{L}', ∇') , let us see now that $\mathbf{f} = F(f)$. In the negative cone, it is clear that $\mathbf{f}(x, e) = (f(x), e) = F(f)(x, e)$. Then, as they are NPC-lattice morphisms, they must be equal everywhere. Indeed, if $\mathbf{g}, \mathbf{h} : \mathbf{B} \rightarrow \mathbf{B}'$ are NPC-lattice morphisms such that $\mathbf{g}(x \wedge e) = \mathbf{h}(x \wedge e)$, for each $x \in B$, then if $y = \mathbf{g}(x)$ and $z = \mathbf{h}(x)$, from $y \wedge e = \mathbf{g}(x \wedge e) = \mathbf{h}(x \wedge e) = z \wedge e$ and $\sim y \wedge e = \mathbf{g}(\sim x \wedge e) = \mathbf{h}(\sim x \wedge e) = \sim z \wedge e$ we obtain $y = z$, as NPC-lattices satisfy the quasiequation (7).
- **faithful.** If $F(f) : \mathbf{Tw}(\mathbf{L}, \nabla) \rightarrow \mathbf{Tw}(\mathbf{L}', \nabla')$ and $F(g) : \mathbf{Tw}(\mathbf{L}, \nabla) \rightarrow \mathbf{Tw}(\mathbf{L}', \nabla')$ satisfy $F(f) = F(g)$, in particular they coincide on the negative cone, $(f(x), e) = F(f)(x, e) = F(g)(x, e) = (g(x), e)$ for all $x \in L$, so $f = g$.
- **essentially surjective.** From Theorem 3.5, every object \mathbf{B} on NPC satisfies $\mathbf{B} \cong \mathbf{Tw}(\mathbf{B}^-, \nabla)$. ■

LEMMA 3.7

In the category \mathbb{BF} , finite products are given coordinatewise. That is, if $(\mathbf{L}_1, \nabla_1), \dots, (\mathbf{L}_n, \nabla_n)$ are objects in \mathbb{BF} , then

$$\prod_{i=1}^n (\mathbf{L}_i, \nabla_i) \cong \left(\prod_{i=1}^n \mathbf{L}_i, \prod_{i=1}^n \nabla_i \right),$$

where $\prod_{i=1}^n \mathbf{L}_i$ and $\prod_{i=1}^n \nabla_i$ are products in the category of Brouwerian algebras (filters are subalgebras, products are defined as set-products with operations defined pointwise), and projections coincide with the projections in $\prod_{i=1}^n \mathbf{L}_i$.

PROOF. It suffices to prove the result for $n=2$. Let $(L_1, \nabla_1), (L_2, \nabla_2)$ be objects in \mathbb{BF} , take $L = L_1 \times L_2$, $\nabla = \nabla_1 \times \nabla_2$ and $\pi_i = \pi_i^L$, where π_i^L is the projection from L onto L_i , for $i=1, 2$. Clearly ∇ is a filter and contains all the dense elements, as operations are given coordinatewise. Then π_1, π_2 are clearly

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morphisms in \mathbb{BF} , as they are morphisms in the category \mathbb{B} of Brouwerian algebras, and besides $\pi_i(\nabla) = \pi_i^L(\nabla_1 \times \nabla_2) = \nabla_i$.

Let (L', ∇') be another object in \mathbb{BF} and take $f_i : (L', \nabla') \rightarrow (L_i, \nabla_i)$ morphisms. Define $f : L' \rightarrow L$ by $f(x') = (f_1(x'), f_2(x'))$ for $x' \in L'$, we will show that it is a morphism in \mathbb{BF} and that $\pi_i \circ f = f_i$. The fact that it is a morphism in \mathbb{B} and that $\pi_i \circ f = f_i$ follow from the fact that L is the product of L_1 and L_2 in the category of Brouwerian algebras, we only need to show that it is a morphism in \mathbb{BF} . To see this, observe that $f(\nabla') = \{(f_1(x'), f_2(x')) : x' \in L'\} \subseteq f_1(\nabla') \times f_2(\nabla')$, but as $f_i(\nabla') \subseteq \nabla_i$, we obtain that $f(\nabla') \subseteq \nabla_1 \times \nabla_2 = \nabla$. ■

THEOREM 3.8

In the category NPC , finite products are characterized as follows: let $\mathbf{B}_1, \dots, \mathbf{B}_n$ be objects in NPC and for each i , let ∇_i be the regular filter in \mathbf{B}_i^- such that $\mathbf{B}_i \cong \text{Tw}(\mathbf{B}_i^-, \nabla_i)$. Then

$$\prod_{i=1}^n \mathbf{B}_i \cong \text{Tw} \left(\prod_{i=1}^n \mathbf{B}_i^-, \prod_{i=1}^n \nabla_i \right).$$

PROOF. This follows from Lemma 3.7 and the fact that NPC and \mathbb{BF} are categorically equivalent. ■

4 Gödel hoops and Gödel NPC-lattices

A Gödel hoop is a Brouwerian algebra satisfying the prelinearity equation $(x \Rightarrow y) \vee (y \Rightarrow x) = e$. Every linearly ordered set can be equipped with a structure of Gödel hoop in a unique way. We denote by $[0, 1]_{\mathbf{G}}$ the Gödel hoop on $[0, 1]$ and by \mathbf{G}_n the finite linearly ordered Gödel hoop with n elements. Gödel hoops form a variety that is generated by $[0, 1]_{\mathbf{G}}$. Given a Gödel hoop $\mathbf{G} = (G, \vee, \wedge, *, \Rightarrow, e)$ and a new element \perp , we extend operations of \mathbf{G} on $G \cup \{\perp\}$ by setting \perp smaller than all the elements of G and $x * \perp = \perp = \perp * x$, $x \Rightarrow \perp = \perp$, $\perp \Rightarrow x = e = \perp \Rightarrow \perp$ for every $x \in G$. Then $\mathbf{G}_{\perp} = (G \cup \{\perp\}, \vee, \wedge, *, \Rightarrow, e)$ is a Gödel hoop which is lower bounded.

DEFINITION 4.1

A Gödel NPC-lattice is a NPC-lattice satisfying the equation

$$(((x \wedge e) \rightarrow y) \vee ((y \wedge e) \rightarrow x)) \wedge e = e.$$

Then, as a consequence of Theorem 3.6 we have the following.

THEOREM 4.2

The restriction of the functor F to the category \mathbb{GHF} of pairs consisting of Gödel hoops and regular filters, gives an equivalence of categories between \mathbb{GHF} and the full subcategory \mathbb{GNPC} of NPC having Gödel NPC-lattices as objects.

4.1 Duality for Gödel hoops

In [1] it is shown that the category of finite Gödel hoops is dually equivalent to the category \mathcal{T}_{fin} of finite trees and open maps. We recall here some details of such construction. A *forest* is a poset F such that $\downarrow x = \{y \in F \mid y \leq x\}$ is totally ordered for any $x \in F$. If P is a poset, by P_{\perp} we denote the poset obtained by adding a new bottom element \perp to P . A *tree* is a forest with a minimum element

(the *root* of the tree), hence for each forest F , F_{\perp} is a tree. We hence denote by \emptyset_{\perp} the singleton tree only consisting of its root. Given a tree T we denote by T^{\uparrow} the unique forest such that $T = (T^{\uparrow})_{\perp}$.

A downset (i.e. a downward closed set) of a forest (tree) is itself a forest (tree), and we shall call it a *subforest* (*subtree*) of F .

Given two forests F and G , an order preserving map $f : F \rightarrow G$ is *open* if $x' \leq f(x)$ in G implies that there exists $y \leq x$ in F such that $f(y) = x'$. Open maps carry downsets to downsets.

We denote by \mathcal{F}_{fin} and \mathcal{T}_{fin} the category of finite forests and finite trees, respectively, with open maps.

In \mathcal{F}_{fin} the coproduct, denoted by $+$ from here on, is just the disjoint union, whereas in \mathcal{T}_{fin} it is given by

$$S \oplus T \cong (S^{\uparrow} + T^{\uparrow})_{\perp}$$

(i.e. all roots merge in a single root). It is clear that \emptyset_{\perp} is the neutral element of the coproduct (that is, the initial object) in \mathcal{T}_{fin} .

Given two trees S and T , their product in the category \mathcal{T}_{fin} of finite trees coincide with the product in the category \mathcal{F}_{fin} of finite forests, and it can be calculated by the following recursive laws [2]:

- $\emptyset_{\perp} \times T \cong T$ (i.e. \emptyset_{\perp} is the neutral element of the product, being the terminal object, in both \mathcal{T}_{fin} and \mathcal{F}_{fin});
- $S \times T \cong (S^{\uparrow} \times T + S^{\uparrow} \times T^{\uparrow} + S \times T^{\uparrow})_{\perp}$;
- If F, G, H are finite forests, $(F + G) \times H \cong (F \times H) + (G \times H)$.

Then the projection maps π_S and π_T are recursively defined as follows (we focus on π_S , the other projection being analogous): if $x \in S \times T$ then either x is the root of $S \times T$ and in this case we set $\pi_S(x)$ equal to the root of S , or $x \in S^{\uparrow} \times T + S^{\uparrow} \times T^{\uparrow} + S \times T^{\uparrow}$. In turns, if $x \in S^{\uparrow} \times T + S^{\uparrow} \times T^{\uparrow}$ then we set $\pi_S(x) = \iota_S(\pi_{S^{\uparrow}}(x))$, where ι_S is the inclusion function of S^{\uparrow} in S and $\pi_{S^{\uparrow}}$ is the projection function of $S^{\uparrow} \times T$ or $S^{\uparrow} \times T^{\uparrow}$. If $x \in S \times T^{\uparrow}$ then $\pi_S(x)$ coincides with the projection function in S of the product $S \times T^{\uparrow}$.

Note that an atom x of $S \times T$ satisfies that either $\pi_S(x)$ is the root of S and $\pi_T(x)$ is an atom of T , or $\pi_S(x)$ is an atom of S and $\pi_T(x)$ is the root of T ; or both $\pi_S(x)$ and $\pi_T(x)$ are atoms of S and T respectively.

THEOREM 4.3

[1] The category \mathcal{T}_{fin} is dually equivalent to the category $\mathbb{G}\mathbb{H}_{fin}$ of finite Gödel hoops and (Brouwerian) morphisms.

The duality is given by the functor Spec^* that sends a Gödel hoop \mathbf{L} to its prime filter tree $(\text{Spec}(\mathbf{L}))_{\perp}$ (identifying L with the root of the tree, that is $\text{Spec}^*(L) = \{\mathfrak{p} : \mathfrak{p} \text{ is a prime filter of } L \text{ or } \mathfrak{p} = L\}$), and given a morphism $f : \mathbf{L} \rightarrow \mathbf{L}'$, its image under the functor is $f^{-1} : (\text{Spec}(\mathbf{L}'))_{\perp} \rightarrow (\text{Spec}(\mathbf{L}))_{\perp}$.

We recall from [1, Thm. 4.3.1] that the free Gödel hoop $\text{Free}_{\mathbb{G}\mathbb{H}}(n)$ over n generators is inductively defined as follows: $\text{Free}_{\mathbb{G}\mathbb{H}}(1) = \mathbf{G}_2$ and

$$\text{Free}_{\mathbb{G}\mathbb{H}}(n) = \prod_{i=0}^{n-1} \text{Free}_{\mathbb{G}\mathbb{H}}(i)_{\perp}^{(i)}. \quad (12)$$

Finally, from [1, Theorem 4.3.1] we have that the dual of the free Gödel hoop over n generators

$$H_n = \text{Spec}^*(\text{Free}_{\mathbb{G}\mathbb{H}}(n))$$

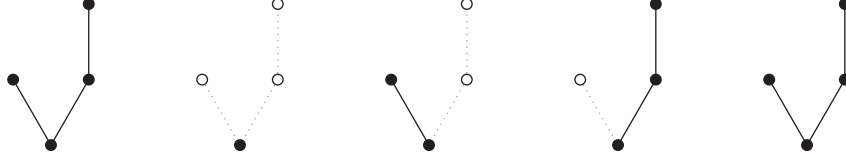


FIGURE 1. A tree and all of its atomic upward closed subtrees.

is given by $H_0 = \emptyset_{\perp}$ and

$$H_n = \left(\sum_{i=0}^{n-1} \binom{n}{i} H_i \right)_{\perp},$$

where the sum here is taken as the coproduct in forest (*i.e.* the disjoint union).

4.2 Duality for Gödel NPC-lattices

To establish a duality for Gödel NPC-lattices, we will introduce another category, consisting of pairs of trees, as follows.

DEFINITION 4.4

Given a finite tree T , a subtree t of T is an **atomic upward closed** subtree of T if t contains the root of T and whenever an atom a of T belongs to t and $b \in T$ with $b \geq a$, then $b \in t$.

We consider the following category denoted by $\mathcal{T}_{t,fin}$: objects are pairs (T, t) where T is a finite tree and t is an atomic upward closed subtree of T ; arrows $\phi: (T, t) \rightarrow (T', t')$ are open maps $\phi: T \rightarrow T'$ such that $\phi(t) \subseteq t'$.

In contrast with general embeddings of subtrees, note that if T is a tree and t is another tree embeddable in T in such a way that its image is an atomic upward closed subtree of T , then this embedding is unique up to isomorphism. See Fig. 1 and Fig. 2 for examples. Notice further that given a tree T , the only atomic upward closed subtrees of T_{\perp} are \emptyset_{\perp} (that is the root of T_{\perp}) and T_{\perp} itself.

THEOREM 4.5

$\mathcal{T}_{t,fin}$ is the dual of the category \mathbb{GNPC}_{fin} of finite Gödel NPC-lattices.

PROOF. Since \mathbb{GNPC}_{fin} is equivalent to the category \mathbb{GHF}_{fin} of pairs of finite Gödel hoops and regular filters (Theorem 4.2), it is enough to see the duality of $\mathcal{T}_{t,fin}$ and \mathbb{GHF}_{fin} . As the functor Spec^* gives the dual isomorphism with \mathbb{GH}_{fin} , we only need to check that it is well-behaved with respect to atomic upward closed subtrees and regular filters.

Given a regular filter ∇ , define

$$t(\nabla) = \{\mathfrak{p} \in \text{Spec}(L) : \exists \mathfrak{m} \in \text{Spec}(L), \nabla \subseteq \mathfrak{m}, \mathfrak{p} \subseteq \mathfrak{m}\}_{\perp},$$

(observe that if $\nabla = L$, then $t(\nabla) = \emptyset_{\perp} = \{L\}$). Clearly $t(\nabla)$ is an atomic upward closed subtree of $\text{Spec}^*(L)$ with the order \supseteq (the filters \mathfrak{m} are the maximals of L or all of L , *i.e.* they are atoms or the root of $\text{Spec}^*(L)$). From Corollary 2.4, one can recover ∇ from $t(\nabla)$,

$$\nabla = \cap \{\mathfrak{m} \in t(\nabla) : \mathfrak{m} \text{ is the root or an atom of } t(\nabla)\}.$$

We now define

$$\text{Spec}^*(\mathbf{L}, \nabla) = (\text{Spec}^*(\mathbf{L}), t(\nabla)).$$

We still need to check that it is well-behaved with respect to arrows. Let $f : L \rightarrow L'$ be a (Brouwerian) morphism and let ∇, ∇' be regular filters in L and L' , respectively. We will check that $f(\nabla) \subseteq \nabla'$ if and only if $f^{-1}(t(\nabla')) \subseteq t(\nabla)$, so Spec^* sends arrows in $\mathbb{G}\mathbb{F}_{fin}$ into arrows in $\mathcal{T}_{t,fin}$, and vice-versa.

- If $f(\nabla) \subseteq \nabla'$, then $\nabla \subseteq f^{-1}(\nabla')$. Now if $\mathfrak{p}' \in t(\nabla')$, we should check that $f^{-1}(\mathfrak{p}') \in t(\nabla)$. This is clear if \mathfrak{p}' is the root or an atom of $t(\nabla')$, as $\nabla' \subseteq \mathfrak{p}'$ so by hypothesis $f(\nabla) \subseteq \mathfrak{p}'$, which in turn gives $\nabla \subseteq f^{-1}(\mathfrak{p}')$ and therefore $f^{-1}(\mathfrak{p}') \in t(\nabla)$ (as f^{-1} is an open map, $f^{-1}(\mathfrak{p}')$ is the root or an atom of $\text{Spec}^*(L')$). Now, if \mathfrak{p}' is not the root or an atom, let \mathfrak{m}' be the unique atom (maximal filter) such that $\mathfrak{p}' \subseteq \mathfrak{m}'$. As $\mathfrak{m}' \in t(\nabla')$ is an atom, we just proved that $f^{-1}(\mathfrak{m}') \in t(\nabla)$, but as $f^{-1}(\mathfrak{p}') \subseteq f^{-1}(\mathfrak{m}')$ the fact that $t(\nabla)$ is an atomic upward closed subtree gives us $f^{-1}(\mathfrak{p}') \in t(\nabla)$.
- If $f^{-1}(t(\nabla')) \subseteq t(\nabla)$, we need to check that $f(\nabla) \subseteq \nabla'$, or equivalently that $\nabla \subseteq f^{-1}(\nabla')$. As

$$\nabla' = \bigcap \{ \mathfrak{m}' \in t(\nabla') : \mathfrak{m}' \text{ is the root or an atom of } t(\nabla') \},$$

we have that

$$f^{-1}(\nabla') = \bigcap \{ f^{-1}(\mathfrak{m}') : \mathfrak{m}' \text{ is the root or an atom of } t(\nabla') \}.$$

By hypothesis, each of these \mathfrak{m}' satisfies $f^{-1}(\mathfrak{m}') \in t(\nabla)$, and as they are the root or an atom of $t(\nabla)$ (f^{-1} being an open map), we have $\nabla \subseteq f^{-1}(\mathfrak{m}')$ and we conclude $\nabla \subseteq f^{-1}(\nabla')$.

The functor $S : \mathbb{G}\text{NPC}_{fin} \rightarrow \mathcal{T}_{t,fin}$ obtained as composition of $F^{-1} : \mathbb{G}\text{NPC}_{fin} \rightarrow \mathbb{G}\text{HF}_{fin}$ of Theorem 4.2 and $\text{Spec}^* : \mathbb{G}\text{HF}_{fin} \rightarrow \mathcal{T}_{t,fin}$ is the desired duality. ■

In the category $\mathcal{T}_{t,fin}$, the coproduct is given coordinatewise, i.e.

$$(S, s) \oplus (T, t) \cong (S \oplus T, s \oplus t).$$

This fact can be easily proven directly, but it is also a consequence of Theorem 3.8.

To define the product in the category $\mathcal{T}_{t,fin}$, first observe that for any (S, s) in $\mathcal{T}_{t,fin}$

$$(S, s) \times (\emptyset_{\perp}, \emptyset_{\perp}) \cong (S, s)$$

as $(\emptyset_{\perp}, \emptyset_{\perp})$ is the terminal object in $\mathcal{T}_{t,fin}$. Now set, for every other (T, t) in $\mathcal{T}_{t,fin}$,

$$r = \left((s^{\uparrow} \times T) + (s^{\uparrow} \times t^{\uparrow}) + (S \times t^{\uparrow}) \right)_{\perp}$$

and we are going to prove that

$$(S, s) \times (T, t) \cong (S \times T, r).$$

PROPOSITION 4.6

With the notation as before, r is an atomic upward closed subtree of $S \times T$.

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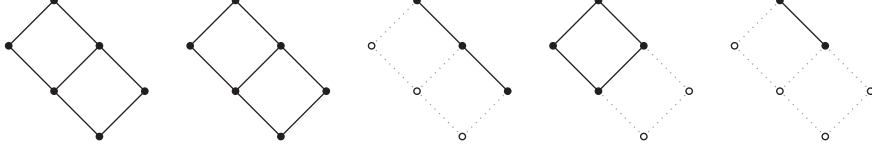


FIGURE 2. The dual in \mathbb{GH}_{fin} of the tree in Figure 1 and all of its regular filters, in correspondence to its atomic upward closed subtrees.

PROOF. Clearly r is a subtree of $S \times T$ and the set of atoms of r is $\{a \in r \mid a \text{ is an atom of } S \times T\}$.

Let us denote by a^0 and b^0 the roots of S and T (hence of s and t) and by a_1^1, \dots, a_n^1 and b_1^1, \dots, b_m^1 the atoms of s and t , respectively. If x is an atom of $S \times T$ and $x \in r$, then x is the root of a tree in one of the forests $s^\uparrow \times T$ or $s^\uparrow \times t^\uparrow$ or $S \times t^\uparrow$. Suppose x is the root of a tree in $s^\uparrow \times T$ hence the root of a tree in $S^\uparrow \times T$. Then $\pi_T(x) = b^0$ while $\pi_S(x) = a_i^1$ for some $i \in \{1, \dots, n\}$. Now if $y \geq x$ and $y \in S \times T$, then it must be $\pi_T(y) \geq b^0$ and $\pi_S(y) \geq a_i^1$, hence $\pi_T(y) \in T$ and $\pi_S(y) \in s^\uparrow$ and so $y \in s^\uparrow \times T \subseteq r$. The other cases are similar, hence r is an atomic upward closed subtree of $S \times T$. ■

THEOREM 4.7

$(S \times T, r)$ is the product of (S, s) and (T, t) in the category $\mathcal{T}_{i,fin}$.

PROOF. Note that the projection map $\pi_S : S \times T \rightarrow S$ is such that $\pi_S(r) \subseteq s$, hence it is a map in the category $\mathcal{T}_{i,fin}$ and we set $\pi_{(S,s)} = \pi_S$. Analogously, we set $\pi_{(T,t)} = \pi_T$.

The proof follows by the properties of product in the category \mathcal{T}_{fin} . ■

5 Free GNPC-lattices

THEOREM 5.1

Let $[0, 1]_{\mathbf{G}}$ denote the standard Gödel hoop over the real interval $[0, 1]$. The variety \mathbb{GNPC} of Gödel NPC-lattices is generated by the full twist product $\mathbf{K}([0, 1]_{\mathbf{G}})$.

PROOF. We have to prove that given two terms τ, γ in the language of NPC-lattices, an equation $\tau = \gamma$ holds in \mathbb{GNPC} if and only if it holds in $\mathbf{K}([0, 1]_{\mathbf{G}})$. One direction is immediate, since $\mathbf{K}([0, 1]_{\mathbf{G}}) \in \mathbb{GNPC}$. For the other direction, recall that if $\tau(x_1, \dots, x_n)$ is a term in the language of NPC-lattices there are unique terms τ^1, τ^2 in the language of Gödel hoops such that if $\mathbf{A} \in \mathbb{GNPC}$, then replacing x_i by the pair of variables (y_i, z_i) we get

$$\tau_{\mathbf{K}(\mathbf{A}^-)}(x_1, \dots, x_n) = \tau_{\mathbf{K}(\mathbf{A}^-)}((y_1, z_1), \dots, (y_n, z_n))$$

and

$$\tau_{\mathbf{K}(\mathbf{A}^-)}((y_1, z_1), \dots, (y_n, z_n)) = (\tau_{\mathbf{A}^-}^1(y_1, z_1, \dots, y_n, z_n), \tau_{\mathbf{A}^-}^2(y_1, z_1, \dots, y_n, z_n)).$$

Now assume that $\tau = \gamma$ does not hold in \mathbb{GNPC} and let $\tau^1, \tau^2, \gamma^1, \gamma^2$ be the corresponding terms in the language of Gödel hoops. Then there is an algebra \mathbf{A} in \mathbb{GNPC} and elements $a_1, \dots, a_n \in A$ such that

$$\tau_{\mathbf{A}}(a_1, \dots, a_n) \neq \gamma_{\mathbf{A}}(a_1, \dots, a_n).$$

Since \mathbf{A} can be identified with a subalgebra of the full twist-product $\mathbf{K}(\mathbf{A}^-)$ (see Theorem 3.2) there are elements $b_1, c_1, b_2, c_2, \dots, b_n, c_n \in \mathbf{A}^-$ such that if $a_i = (b_i, c_i)$ for each $i = 1, \dots, n$ one of the equations

$$\tau_{\mathbf{A}^-}^1(b_1, c_1, \dots, b_n, c_n) = \gamma_{\mathbf{A}^-}^1(b_1, c_1, \dots, b_n, c_n)$$

or

$$\tau_{\mathbf{A}^-}^2(b_1, c_1, \dots, b_n, c_n) = \gamma_{\mathbf{A}^-}^2(b_1, c_1, \dots, b_n, c_n)$$

does not hold in \mathbf{A}^- . But since \mathbf{A}^- is in the variety of Gödel hoops and this variety is generated by $[0, 1]_{\mathbf{G}}$, we can assert that there are elements $f_1, g_1, \dots, f_n, g_n$ in $[0, 1]_{\mathbf{G}}$ such that either

$$\tau_{\mathbf{A}^-}^1(f_1, g_1, \dots, f_n, g_n) \neq \gamma_{\mathbf{A}^-}^1(f_1, g_1, \dots, f_n, g_n)$$

or

$$\tau_{\mathbf{A}^-}^2(f_1, g_1, \dots, f_n, g_n) \neq \gamma_{\mathbf{A}^-}^2(f_1, g_1, \dots, f_n, g_n).$$

Take $d_i = (f_i, g_i) \in ([0, 1]_{\mathbf{G}})^2$ and $\mathbf{B} = \mathbf{K}([0, 1]_{\mathbf{G}})$ and we get

$$\tau_{\mathbf{B}}(d_1, \dots, d_n) \neq \gamma_{\mathbf{B}}(d_1, \dots, d_n).$$

Therefore the equation $\tau = \gamma$ does not hold in $\mathbf{K}([0, 1]_{\mathbf{G}})$. ■

The following is a well known result of universal algebra.

THEOREM 5.2

([11, Chapter IV, Theorem 3.13]) If a variety \mathcal{V} of algebras is generated by an algebra \mathbf{A} , then the free algebra in \mathcal{V} with α generators is isomorphic to the subalgebra of functions $f : \mathbf{A}^\alpha \rightarrow \mathbf{A}$ generated by the projection functions.

5.1 The case of one generator

We intend to use Theorem 5.1 and Theorem 5.2 to describe the free Gödel NPC-lattice with one generator $\text{Free}_{\text{GNPC}}(1)$.

Now, the carrier of $\mathbf{K}([0, 1]_{\mathbf{GH}})$ is just $[0, 1]^2$, so we have to characterize exactly the class of functions $\{f : [0, 1]^2 \rightarrow [0, 1]^2\}$ generated, with the pointwise operations of $\mathbf{K}([0, 1]_{\mathbf{GH}})$, by the identity function $(a, b) \mapsto (a, b)$. This is equivalent to the determination of all functions $f : [0, 1]^2 \rightarrow [0, 1]^2$ such that there is a term τ in one variable such that $f(a, b) = \tau(a, b)$ for all $(a, b) \in [0, 1]^2$. We first prove some necessary results taking finite subalgebras of the Gödel hoop $[0, 1]$:

LEMMA 5.3

Consider the three-element Gödel chain $\mathbf{G}_3 = \{a, b, 1\}$ with $a < b < 1$. Then the Gödel NPC-lattices respectively generated by the elements (a, b) or (b, a) , i.e. the smallest subalgebras of the full-twist $\mathbf{K}(\mathbf{G}_3)$ respectively containing the elements (a, b) or (b, a) , are in both cases $\mathbf{Tw}(\mathbf{G}_3, \{b, 1\})$, whose carrier is $K(\mathbf{G}_3) \setminus \{(a, a)\}$. Moreover, they coincide with the Gödel NPC-lattice generated by the elements $(a, 1)$ and $(b, 1)$.

PROOF. First notice that the carrier of $\mathbf{Tw}(\mathbf{G}_3, \{b, 1\})$ is clearly $K(\mathbf{G}_3) \setminus \{(a, a)\}$. Let us focus on (a, b) and let $\langle\langle a, b \rangle\rangle$ be the subalgebra generated by (a, b) . As $K(\mathbf{G}_3) \setminus \{(a, a)\}$ is a subalgebra and contains the element (a, b) , for it is the twist-product $\mathbf{Tw}(\mathbf{G}_3, \nabla)$ with $\nabla = \{b, 1\}$, it only remains to be shown that every element of $K(\mathbf{G}_3)$ different from (a, a) belongs to $\langle\langle a, b \rangle\rangle$.

- $(1, 1), (a, b) \in \langle\langle a, b \rangle\rangle$ trivially.
- $(b, a) \in \langle\langle a, b \rangle\rangle$, as $(b, a) = \sim(a, b)$.
- $(a, 1) \in \langle\langle a, b \rangle\rangle$, as $(a, 1) = (a, b) \sqcap (1, 1)$.
- $(1, a) \in \langle\langle a, b \rangle\rangle$, as $(1, a) = \sim(a, 1)$.
- $(b, 1) \in \langle\langle a, b \rangle\rangle$, as $(b, 1) = (b, a) \sqcap (1, 1)$.
- $(1, b) \in \langle\langle a, b \rangle\rangle$, as $(1, b) = \sim(b, 1)$.
- $(b, b) \in \langle\langle a, b \rangle\rangle$, as $(b, b) = (a, b) \sqcup (b, 1)$.

For the other part, $(a, 1), (b, 1) \in \langle\langle a, b \rangle\rangle$, and as $(b, 1) \rightarrow (a, 1) = (a, b)$, the result follows. The case $\langle\langle b, a \rangle\rangle$ is promptly settled by noticing that $(a, b) = \sim(b, a)$. ■

LEMMA 5.4

Consider the two-element Gödel chain $\mathbf{G}_2 = \{a, 1\}$ with $a < 1$. Then:

- (1) The Gödel NPC-lattice generated by the element (a, a) is $\mathbf{K}(\mathbf{G}_2)$.
- (2) The smallest subalgebras of the full-twist $\mathbf{K}(\mathbf{G}_2)$ generated either by the element $(a, 1)$ or by $(1, a)$, are both isomorphic with $\mathbf{Tw}(\mathbf{G}_2, \{1\})$ whose carrier is $K(\mathbf{G}_2) \setminus \{(a, a)\}$.

PROOF. 1) Just notice that $(a, a) \sqcap (1, 1) = (a, 1)$ and $(a, a) \sqcup (1, 1) = (1, a)$.

2) As in Lemma 5.3, $(a, a) \notin \langle\langle a, 1 \rangle\rangle$. The rest follows trivially by $(1, a) = \sim(a, 1)$. Clearly, the carrier of $\mathbf{Tw}(\mathbf{G}_2, \{1\})$ is $K(\mathbf{G}_2) \setminus \{(a, a)\}$. ■

We shall now determine the structure of the free Gödel NPC-lattice over one generator. The result hinges on the characterization given in [17] of the free prelinear Heyting algebras (or, *Gödel algebras*) as algebras of $[0, 1]$ -valued functions.

LEMMA 5.5

In the variety \mathbf{GNPC} , the algebra $\text{Free}_{\mathbf{GNPC}}(1)$ embeds into the following product:

$$\mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_2, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2).$$

PROOF. Consider the following subsets of $[0, 1]^2$: $A = \{(a, b) \in [0, 1]^2, a < b\}$, $B = \{(a, b) \in [0, 1]^2, a = b\}$, $C = \{(a, b) \in [0, 1]^2, a > b\}$. Clearly, $\{A, B, C\}$ forms a partition of $[0, 1]^2$.

Now, pick two distinct points $(a_1, b_1), (a'_1, b'_1) \in A$, with $b_1 \neq 1 \neq b'_1$. By Lemma 5.3, the algebras $\langle\langle a_1, b_1 \rangle\rangle, \langle\langle a'_1, b'_1 \rangle\rangle$ singly generated by these two points are isomorphic. Moreover, the function from $\langle\langle a_1, b_1 \rangle\rangle$ into $\langle\langle a_1, b_1 \rangle\rangle \times \langle\langle a'_1, b'_1 \rangle\rangle$ that maps (a_1, b_1) to $((a_1, b_1), (a'_1, b'_1))$ yields an isomorphism

$$\langle\langle a_1, b_1 \rangle\rangle \cong \langle\langle (a_1, b_1), (a'_1, b'_1) \rangle\rangle,$$

and clearly $\langle\langle (a_1, b_1), (a'_1, b'_1) \rangle\rangle$ embeds into $\langle\langle a_1, b_1 \rangle\rangle \times \langle\langle a'_1, b'_1 \rangle\rangle$.

Pick now $(a'_1, b'_1) \in A$, with $b'_1 = 1$. By Lemma 5.4, $\langle\langle a'_1, b'_1 \rangle\rangle$ is isomorphic to the quotient of $\langle\langle a_1, b_1 \rangle\rangle$, given by the congruence θ generated by $((b_1, 1), (1, 1))$. Therefore

$$\langle\langle a_1, b_1 \rangle\rangle \cong \langle\langle (a_1, b_1), (a'_1, 1) \rangle\rangle$$

via the maps $(a_1, b_1) \mapsto ((a_1, b_1), (a_1, b_1)/\theta) \mapsto ((a_1, b_1), (a'_1, 1))$. Repeating the argument above for each point in A , it turns out that the embedding from $\langle (a_1, b_1) \rangle$ into $\prod_{(a,b) \in A} \langle (a, b) \rangle$ given by

$$(a_1, b_1) \mapsto ((a, b))_{(a,b) \in A}$$

is an isomorphism between $\langle (a_1, b_1) \rangle$ and $\langle ((a, b))_{(a,b) \in A} \rangle$.

But $\langle ((a, b))_{(a,b) \in A} \rangle$ is by its very definition the algebra of all functions $f : A \rightarrow [0, 1]^2$ generated by the identity function $id_A : A \rightarrow A$. The latter, in turn, by Lemma 5.3 is isomorphic with $\mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2)$.

In a completely analogous fashion, one shows that the algebra of all functions $f : B \rightarrow [0, 1]^2$ generated by the identity function over B is isomorphic to $\mathbf{K}(\mathbf{G}_2) \cong \mathbf{Tw}(\mathbf{G}_2, \mathbf{G}_2)$, and that the algebra of all functions $f : C \rightarrow [0, 1]^2$ generated by the identity function over C is isomorphic to $\mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2)$.

To end the proof, notice that every element of $\text{Free}_{\text{GNPC}}(1)$ can be expressed as a triplet of functions (f, g, h) , with $f : A \rightarrow [0, 1]^2$, $g : B \rightarrow [0, 1]^2$, and $h : C \rightarrow [0, 1]^2$. Therefore the generator of $\text{Free}_{\text{GNPC}}(1)$ can be chosen as a triplet

$$((a_1, b_1), (a_2, b_2), (a_3, b_3)),$$

for some arbitrarily fixed choice of $a_1, b_1, a_2, b_2, a_3, b_3 \in [0, 1]$ such that $a_1 < b_1 < 1$, $a_2 = b_2 < 1$ and $b_3 < a_3 < 1$. ■

Notice that we cannot drop any of the three factors in $\mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_2, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2)$ without losing the property that $\text{Free}_{\text{GNPC}}(1)$ embeds into the remaining algebra. As a matter of fact each of the maps $(a_i, b_i) \mapsto (a_j, b_j)$, for $i, j \in \{1, 2, 3\}$ and a_i, b_i, a_j, b_j being the corresponding elements forming the chosen generator triplet in Lemma 5.5, is an isomorphism iff $i = j$.

THEOREM 5.6

The following holds:

$$\begin{aligned} \text{Free}_{\text{GNPC}}(1) &\cong \mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_2, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2) \\ &\cong \mathbf{Tw}(\mathbf{G}_3 \times \mathbf{G}_2 \times \mathbf{G}_3, \mathbf{G}_2 \times \mathbf{G}_2 \times \mathbf{G}_2) \\ &\cong \mathbf{Tw}(\text{Free}_{\text{GH}}(2), \nabla), \end{aligned}$$

where $\nabla = \mathbf{G}_2 \times \mathbf{G}_2 \times \mathbf{G}_2$.

PROOF. We need to prove that for every triplet

$$((p_1, q_1), (p_2, q_2), (p_3, q_3)) \in \mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_2, \mathbf{G}_2) \times \mathbf{Tw}(\mathbf{G}_3, \mathbf{G}_2),$$

there is a one-variable term $t(x)$ in the language of NPC-lattices, such that

$$((p_1, q_1), (p_2, q_2), (p_3, q_3)) = t(((a_1, b_1), (a_2, b_2), (a_3, b_3))),$$

where $((a_1, b_1), (a_2, b_2), (a_3, b_3))$ is the chosen triplet in Lemma 5.5.

We consider the terms: $\tau_1(x) := \sim((\sim x) * (\sim x)) * \sim((\sim x) * (\sim x))$, $\tau_2(x) := \sim((x \leftrightarrow \sim x) * (x \leftrightarrow \sim x))$, and $\tau_3(x) := \sim(x * x) * \sim(x * x)$.

Notice that:

$$\tau_1(((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((a_1, 1), (1, a_2), (1, b_3)),$$

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$$\tau_2(((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((1, a_1), (a_2, 1), (1, b_3)),$$

and

$$\tau_3(((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((1, a_1), (1, a_2), (b_3, 1)).$$

Now, by the proofs of Lemmas 5.3 and 5.4, we have that for each $i \in \{1, 2, 3\}$, there is a one-variable term

$$t_i(x) \in \{e, x, \sim x, x \wedge e, x \vee e, \sim x \vee e, \sim x \wedge e, x \vee (\sim x \wedge e), x \wedge (\sim x \vee e)\}$$

such that $\pi_i(t_i(((a_1, b_1), (a_2, b_2), (a_3, b_3)))) = (p_i, q_i)$ where π_i is the i -th projection.

Observe then that

$$(t_1 \vee \tau_1)(((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((p_1, q_1), (1, a_2), (1, b_3)),$$

$$(t_2 \vee \tau_2)(((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((1, a_1), (p_2, q_2), (1, b_3)),$$

and

$$(t_3 \vee \tau_3)(((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((1, a_1), (1, a_2), (p_3, q_3)).$$

The proof is settled by checking that

$$\left(\bigwedge_{i=1}^3 (t_i \vee \tau_i) \right) (((a_1, b_1), (a_2, b_2), (a_3, b_3))) = ((p_1, q_1), (p_2, q_2), (p_3, q_3)).$$

Since the operator **Tw** commutes with direct products (Theorem 4.2), we equivalently have

$$\text{Free}_{\text{GNPC}}(1) \cong \mathbf{Tw}(\mathbf{G}_3 \times \mathbf{G}_2 \times \mathbf{G}_3, \mathbf{G}_2 \times \mathbf{G}_2 \times \mathbf{G}_2),$$

(see Fig. 3 for a display of the two components of the twist-product above) and the last isomorphism follows from (12) for $n=2$:

$$\text{Free}_{\text{GH}}(2) \cong \mathbf{G}_3 \times \mathbf{G}_2 \times \mathbf{G}_3.$$

■

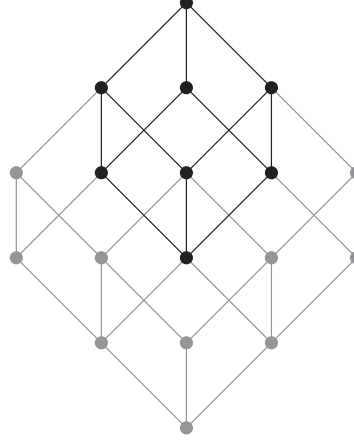


FIGURE 3. The Gödel hoop $\mathbf{G}_3 \times \mathbf{G}_2 \times \mathbf{G}_3$ together with its filter $\mathbf{G}_2 \times \mathbf{G}_2 \times \mathbf{G}_2$.

Notice that, for every finite Gödel hoop \mathbf{A} , with $\text{Spec}^*(\mathbf{A}) \cong T$, it holds that $\text{Spec}^*(\mathbf{A}_\perp, \mathbf{A}) \cong (T_\perp, T_\perp)$, since the only pair $(a, b) \in A_\perp^2$ such that $a \vee b \notin A$ is $(a, b) = (\perp, \perp)$. On the other hand, $\text{Spec}^*(\mathbf{A}_\perp, \mathbf{A}_\perp) \cong (T_\perp, \emptyset_\perp)$. We recall that $S: \mathbb{GNPC} \rightarrow \mathcal{T}_{i,fin}$ is the functor realising the duality as in Theorem 4.5.

LEMMA 5.7

$$S(\text{Free}_{\mathbb{GNPC}}(1)) \cong (H_2, (2H_1)_\perp).$$

PROOF. By Theorem 5.6,

$$S(\text{Free}_{\mathbb{GNPC}}(1)) \cong S(\mathbf{Tw}(\mathbf{G}_3 \times \mathbf{G}_2 \times \mathbf{G}_3, \mathbf{G}_2 \times \mathbf{G}_2 \times \mathbf{G}_2)).$$

Recall that $\mathbf{G}_3 \cong \text{Free}_{\mathbb{GH}}(1)_\perp$ and $\mathbf{G}_2 \cong \text{Free}_{\mathbb{GH}}(1) \cong \text{Free}_{\mathbb{GH}}(0)_\perp$. So,

$$\begin{aligned} S(\text{Free}_{\mathbb{GNPC}}(1)) &\cong S(\mathbf{Tw}(\text{Free}_{\mathbb{GH}}(1)_\perp \times \text{Free}_{\mathbb{GH}}(0)_\perp \times \text{Free}_{\mathbb{GH}}(1)_\perp, \\ &\quad \text{Free}_{\mathbb{GH}}(1) \times \text{Free}_{\mathbb{GH}}(0)_\perp \times \text{Free}_{\mathbb{GH}}(1))) \\ &\cong S(\mathbf{Tw}(\text{Free}_{\mathbb{GH}}(1)_\perp, \text{Free}_{\mathbb{GH}}(1))) \\ &\quad \oplus S(\mathbf{Tw}(\text{Free}_{\mathbb{GH}}(0)_\perp, \text{Free}_{\mathbb{GH}}(0)_\perp)) \\ &\quad \oplus S(\mathbf{Tw}(\text{Free}_{\mathbb{GH}}(1)_\perp, \text{Free}_{\mathbb{GH}}(1))) \\ &\cong (H_{1_\perp}, H_{1_\perp}) \oplus (H_{0_\perp}, \emptyset_\perp) \oplus (H_{1_\perp}, H_{1_\perp}) \\ &\cong (H_{1_\perp} \oplus H_{0_\perp} \oplus H_{1_\perp}, H_{1_\perp} \oplus \emptyset_\perp \oplus H_{1_\perp}) \\ &\cong (H_2, (2H_1)_\perp). \end{aligned}$$

■

5.2 The case of n generators

We plan now to use the results from sections 4.1, 4.2 and 5.1 to obtain the free GNPC-lattice with n generators.

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Since $H_n = \text{Spec}^*(\text{Free}_{\mathbb{G}\mathbb{H}}(n))$, it immediately follows that

$$H_i \times H_j \cong \text{Spec}^*(\text{Free}_{\mathbb{G}\mathbb{H}}(i) \sqcup \text{Free}_{\mathbb{G}\mathbb{H}}(j)) \cong \text{Spec}^*(\text{Free}_{\mathbb{G}\mathbb{H}}(i+j)) \cong H_{i+j},$$

where \sqcup is the coproduct in $\mathbb{G}\mathbb{H}$.

Let now $T_n = S(\text{Free}_{\mathbb{G}\text{NPC}}(n))$. Note that $T_n \cong T_{n-1} \times T_1$ and by Lemma 5.7:

$$T_1 \cong (H_2, (2H_1)_\perp).$$

Set, for $i=0, \dots, n-1$, $c_{i,n}=0$ and for $i=n, \dots, 2n$:

$$c_{i,n} = 2^{2n-i} \binom{n}{2n-i}.$$

LEMMA 5.8

For $i=n+2, \dots, 2n$ it holds $c_{i,n+1} = c_{i-2,n} + 2c_{i-1,n}$.

PROOF. By definition $c_{i-1,n} = 2^{2n+1-i} \binom{n}{2n+1-i}$, $c_{i-2,n} = 2^{2n+2-i} \binom{n}{2n+2-i}$, and $c_{i,n+1} = 2^{2n+2-i} \binom{n+1}{2n+2-i}$. The claim follows by properties of binomial coefficients, since:

$$\binom{n+1}{2n+2-i} = \binom{n}{2n+1-i} + \binom{n}{2n+2-i}.$$

■

LEMMA 5.9

$T_n \cong (H_{2n}, t_n)$ where t_n is the uniquely determined (up to isomorphisms) subtree of H_{2n} given by

$$t_n = \left(\sum_{i=n}^{2n-1} c_{i,n} H_i \right)_\perp.$$

PROOF. As $T_1 \cong (H_2, (2H_1)_\perp)$, $T_{n+1} \cong T_n \times T_1$ and $(H_2)^n \cong H_{2n}$, we only need to check the subtree part. We proceed by induction on n .

Assume by induction hypothesis, that $T_n \cong (H_{2n}, t_n)$ with

$$t_n = \left(\sum_{i=n}^{2n-1} c_{i,n} H_i \right)_\perp.$$

We are going to prove that $T_{n+1} \cong (H_{2(n+1)}, t_{n+1})$ with

$$t_{n+1} = \left(\sum_{i=n+1}^{2n+1} c_{i,n+1} H_i \right)_\perp.$$

By definition of product

$$\begin{aligned} t_{n+1} &\cong \left((t_n^\uparrow \times H_2) + (t_n^\uparrow \times 2H_1) + (H_{2n} \times 2H_1) \right)_\perp \\ &\cong \left(\sum_{i=n}^{2n-1} c_{i,n} H_{i+2} + \sum_{i=n}^{2n-1} 2c_{i,n} H_{i+1} + 2H_{2n+1} \right)_\perp. \end{aligned}$$

Notice that, by index shifting,

$$\sum_{i=n}^{2n-1} c_{i,n} H_{i+2} \cong \sum_{i=n+2}^{2n+1} c_{i-2,n} H_i$$

and

$$\sum_{i=n}^{2n-1} 2c_{i,n} H_{i+1} \cong \sum_{i=n+1}^{2n} 2c_{i-1,n} H_i.$$

Hence, by Lemma 5.8,

$$\begin{aligned} t_{n+1}^\uparrow &\cong \sum_{i=n}^{2n-1} c_{i,n} H_{i+2} + \sum_{i=n}^{2n-1} 2c_{i,n} H_{i+1} + 2H_{2n+1} \\ &\cong \sum_{i=n+1}^{2n} 2c_{i-1,n} H_i + \sum_{i=n+2}^{2n+1} c_{i-2,n} H_i + 2H_{2n+1} \\ &\cong 2c_{n,n} H_{n+1} + \sum_{i=n+2}^{2n} 2c_{i-1,n} H_i + \sum_{i=n+2}^{2n+1} c_{i-2,n} H_i + 2H_{2n+1} \\ &\cong 2c_{n,n} H_{n+1} + \sum_{i=n+2}^{2n} (c_{i-2,n} + 2c_{i-1,n}) H_i + c_{2n-1,n} H_{2n+1} + 2H_{2n+1} \\ &\cong 2c_{n,n} H_{n+1} + \sum_{i=n+2}^{2n} c_{i,n+1} H_i + (2 + c_{2n-1,n}) H_{2n+1}. \end{aligned}$$

Since

$$2c_{n,n} = 2 \cdot 2^n = 2^{n+1} = 2^{n+1} \binom{n+1}{n+1} = c_{n+1,n+1},$$

$$2 + c_{2n-1,n} = 2 + 2n = 2 \binom{n+1}{1} = c_{2n+1,n+1}$$

we have

$$t_{n+1} \cong \left(\sum_{i=n+1}^{2n+1} c_{i,n+1} H_i \right)_\perp$$

and the claim follows. ■

So we have that $T_n \cong (H_{2n}, t_n)$, with

$$H_{2n} = \left(\sum_{i=0}^{2n-1} \binom{2n}{i} H_i \right)_\perp, \quad t_n = \left(\sum_{i=n}^{2n-1} c_{i,n} H_i \right)_\perp.$$

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Rewriting them using coproducts in the category of trees, we obtain

$$H_{2n} = \bigoplus_{i=0}^{2n-1} \binom{2n}{i} (H_i)_\perp, \quad t_n = \bigoplus_{i=n}^{2n-1} c_{i,n} (H_i)_\perp.$$

Combining the fact that coproducts in the category $\mathcal{T}_{t,fin}$ are given coordinatewise, that \emptyset_\perp is both the terminal and the initial object in \mathcal{T}_{fin} , and that $c_{i,n}=0$ for $i=0, \dots, n-1$, we have that

$$T_n \cong \bigoplus_{i=0}^{2n-1} \left(\binom{2n}{i} - c_{i,n} \right) ((H_i)_\perp, \emptyset_\perp) \oplus \bigoplus_{i=n}^{2n-1} c_{i,n} ((H_i)_\perp, (H_i)_\perp).$$

Notice now that the NPC-lattice dual of the pair $((H_i)_\perp, \emptyset_\perp)$ is the full twist-product $\mathbf{K}((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp)$ and that the NPC-lattice dual of the pair $((H_i)_\perp, (H_i)_\perp)$ is

$$\mathbf{Tw}((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp, \text{Free}_{\mathbb{G}\mathbb{H}}(i)).$$

Finally, recalling that the carrier of this algebra is $K((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp) \setminus \{(\perp, \perp)\}$, we conclude the following theorem.

THEOREM 5.10

$$\begin{aligned} \text{Free}_{\text{GNPC}}(n) &\cong \\ &\cong \prod_{i=0}^{2n-1} \mathbf{K}((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp)^{\binom{2n}{i} - c_{i,n}} \times \prod_{i=n}^{2n-1} \mathbf{Tw}((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp, \text{Free}_{\mathbb{G}\mathbb{H}}(i))^{c_{i,n}} \\ &\cong \mathbf{Tw}(\text{Free}_{\mathbb{G}\mathbb{H}}(2n), \nabla), \end{aligned}$$

where

$$\nabla = \prod_{i=0}^{2n-1} ((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp)^{\binom{2n}{i} - c_{i,n}} \times \prod_{i=n}^{2n-1} (\text{Free}_{\mathbb{G}\mathbb{H}}(i))^{c_{i,n}}.$$

PROOF. By Lemma 5.9. ■

COROLLARY 5.11

For each integer $n \geq 0$, the cardinality of $\text{Free}_{\text{GNPC}}(n)$ is given by the following recurrences:

$$|\text{Free}_{\text{GNPC}}(n)| = \prod_{i=0}^{2n-1} (h_i + 1)^{2 \binom{2n}{i} - c_{i,n}} \cdot (h_i^2 + 2h_i)^{c_{i,n}},$$

where $h_0 = 1$ and, for all integers $k \geq 0$,

$$h_k = \prod_{i=0}^{k-1} (h_i + 1)^{\binom{k}{i}}.$$

PROOF. By [1, Theorem 4.3.1], the cardinality of $\text{Free}_{\mathbb{G}\mathbb{H}}(k)$ is h_k , for all integers $k \geq 0$. Then, clearly, the cardinality of $\mathbf{K}((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp)$ is $(h_i + 1)^2$ and the cardinality of $\mathbf{Tw}((\text{Free}_{\mathbb{G}\mathbb{H}}(i))_\perp, \text{Free}_{\mathbb{G}\mathbb{H}}(i))$ is $(h_i + 1)^2 - 1$. The claim follows by Theorem 5.10. ■

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