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Cortical Brain Injury Causes Retrograde Degeneration of Afferent Basal Forebrain Cholinergic Neurons via the p75NTR

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1 Cortical Brain Injury Causes Retrograde Degeneration of Afferent Basal Forebrain Cholinergic Neurons via
2 the p75NTR

3 Abbreviated title: p75NTR-mediated axonal degeneration following TBI

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27 **Abstract**

28 Traumatic brain injury elicits neuronal loss at the site of injury and progressive neuronal loss in the
29 penumbra. However, the consequences of TBI on afferent neurons projecting to the injured tissue from
30 distal locations is unknown. Basal forebrain cholinergic neurons (BFCNs) extend long projections to
31 multiple brain regions including the cortex, regulate many cognitive functions and are compromised in
32 numerous neurodegenerative disorders. To determine the consequence of cortical injury on these
33 afferent neurons, we used the Fluid Percussion Injury (FPI) model of traumatic brain injury and assessed
34 the effects on BFCN survival and axon integrity in male and female mice. Survival or death of BF neurons
35 can be regulated by neurotrophins or proneurotrophins, respectively. The injury elicited an induction of
36 proNGF and proBDNF in the cortex, and a loss of BFCNs ipsilateral to the injury compared to sham
37 uninjured mice. p75NTR knockout mice did not show loss of BFCN neurons, indicating a retrograde
38 degenerative effect of the cortical injury on the afferent BFCNs mediated through p75NTR. In contrast,
39 locus coeruleus (LC) neurons which also project throughout the cortex were unaffected by the injury,
40 suggesting specificity in retrograde degeneration after cortical TBI. Proneurotrophins (proNTs) provided
41 directly to basal forebrain axons in microfluidic cultures triggered retrograde axonal degeneration and
42 cell death, which did not occur in the absence of p75NTR. This study shows that after traumatic brain
43 injury, proNTs induced in the injured cortex promote BFCN axonal degeneration and retrograde neuron
44 loss through p75NTR.

45

46 **Significance Statement**

47 TBI is well-known to elicit direct neuronal loss at the site of injury and secondary loss in the penumbra,
48 however the effect on afferent neuronal populations that project axons from distal locations such as the
49 basal forebrain, has not been elucidated. Basal forebrain cholinergic neurons (BFCNs) project to a myriad

50 of brain regions and regulate cognitive processes such as learning, attention, and memory, and are
51 compromised in neurodegenerative diseases such as Alzheimer's disease. These neurons constitutively
52 express p75NTR, a receptor that can promote neuronal degeneration following injury. We demonstrate
53 here that cortical injury promotes degeneration of afferent BFCNs, mediated by p75NTR, indicating that
54 TBI causes neuronal loss in brain regions distal to the site of injury via retrograde axonal degeneration.

55

56 **Introduction**

57 Traumatic brain injuries have immediate as well as long term neurological consequences and thus
58 progressively affect behavior and quality of life over time. Primary and secondary degeneration as a
59 consequence of TBI has been studied in detail with respect to effects on the injured region and the
60 surrounding penumbra (Loane and Faden, 2010; Montroull et al., 2020; Raghupathi et al., 2000).
61 Secondary injury after cortical TBI includes neuronal, glial, and white matter loss (Raghupathi et al.,
62 2000). Studies using the Fluid Percussion Injury (FPI) model of TBI show a massive change in the injury
63 microenvironment, with an acute inflammatory response, excitotoxicity, increase in reactive oxygen
64 species, as well as changes in neurotrophin mRNA and protein expression (Schimmel et al., 2017;
65 Thompson et al., 2005) which may affect not only the cells in the penumbra but also neuronal
66 populations that extend their axons to cortical targets from distal locations in the brain. Basal forebrain
67 cholinergic neurons (BFCNs), through long and extensive axonal projections, release acetylcholine in the
68 cortex to regulate cognitive functions such as emotion, attention, and memory (Boskovic et al., 2019).
69 They are composed of several nuclei among which the nucleus basalis of Meynert (NBM) and substantia
70 innominate (SI), comprising the Ch4 cluster, innervate the cortex (Mesulam et al., 1983; Rye et al., 1984).
71 BFCNs require neurotrophic factors for their survival, differentiation, maintenance, and function
72 (Nonomura et al., 1995) which are produced by their neuronal target regions, and signal via cognate

73 receptor complexes through the projecting axon terminals. BFCNs are unique in their expression of all
74 the neurotrophin receptors, including the pan-neurotrophin receptor p75NTR as well as the receptor
75 tyrosine kinases TrkA, TrkB and TrkC throughout life. Mature neurotrophins (NTs) promote a pro-survival
76 response through Trk signaling (Alderson et al., 1990; Hefti et al., 1985; Friedman et al., 1993; Kromer,
77 1987), while proneurotrophins (proNTs) bind p75NTR and sortilin to promote apoptosis in BFCNs (Volosin
78 et al., 2006). The degenerative role of p75NTR in BFCNs has been established in mass cultures and after
79 seizure
80 conditions in vivo (Volosin et al., 2006). Proneurotrophins, which are high affinity ligands for p75NTR, are
81 upregulated in the region of injury (Alder et al., 2015; Sebastiani et al., 2015), and they promote
82 apoptotic signaling in the injury penumbra in the cortex via p75NTR (Montroull et al., 2020), which is a
83 known contributor to secondary neurodegeneration after TBI (Delbary-Gossart et al., 2016; Alder et al.,
84 2015; Montroull et al., 2020). However, whether the changes in the neurotrophic environment of BFCN
85 terminals after TBI has a retrograde effect on survival of afferent projections has not been investigated.
86 The constitutive expression of p75NTR in BFCN throughout life, coupled with the complexity of
87 maintaining an elaborate axonal arbor may make BFCNs vulnerable to degeneration. Recent studies
88 suggest that TBI increases the risk of neurological disorders such as Alzheimer's disease (Tajiri et al.,
89 2013), and BFCN loss is a hallmark of this disease (Whitehouse et al., 1982, 1983).

90 In our study, moderate cortical FPI was used to investigate the retrograde effect of cortical injury
91 on the projecting BFCNs. Retrograde degeneration through p75NTR has been studied in peripheral
92 neurons (Sørensen et al., 2003; Yano et al., 2009), but not in the context of brain injury and its effect on
93 afferent neurons that project to the injury site from distal locations. We investigated the effect of cortical
94 injury on the afferent BFCN neurons and compared p75NTR KO mice with WT mice to understand the
95 role of p75NTR in retrograde BFCN degeneration after injury. To specifically determine the effects of
96 proNTs on retrograde axonal degeneration of basal forebrain neurons, neurons were cultured in

97 microfluidic chambers to investigate whether direct stimulation of axon terminals with proneurotrophins
98 can signal through p75NTR to affect BFCN axon integrity and cell death. These findings indicate that the
99 constitutive expression of p75NTR in BFCNs promotes cell type specific retrograde degeneration of
100 BFCNs following
101 cortical TBI.

102

103 **Materials and Methods**

104 Reagents

105 Recombinant human proNGF (cleavage resistant) protein (Cat# N-285) and recombinant mouse proBDNF
106 (cleavage resistant) protein (Cat# B-243) were purchased from Alomone Labs (Tel Aviv, Israel). Poly-d-
107 lysine, glucose, transferrin, insulin, putrescine, selenium, progesterone, penicillin, and streptomycin were
108 purchased from Sigma Aldrich. Minimum Essential Medium (MEM), Ham's F-12 Media and B-27™ Plus
109 Supplement (50X) (Cat# A3582801) was purchased from Gibco. Microfluidic chambers were prepared
110 using Microfluidic chamber master molds which were a generous gift from Dr. Eran Perlson, Tel Aviv
111 University, using the protocol described by (Harris et al., 2007). Cholera Toxin Subunit B (recombinant),
112 Alexa Fluor™ 488 Conjugate (CTB) (Cat# C34775) was purchased from Invitrogen. Propidium Iodide (PI)
113 (Cat# P1304MP) was obtained from Molecular Probes. Cytoplasmic dynein inhibitor, Ciliobrevin D (Cat#
114 250410) was purchased from Calbiochem. Antibody to BDNF (Cat# 327-100, RRID:AB_2927780) was
115 obtained from Icosagen. Anti-NGF (Cat# N6655, RRID:AB_477660) and mouse- anti- β - actin (Cat# A5441,
116 RRID:AB_476744) antibodies was purchased from Sigma Aldrich. Goat anti-choline acetyltransferase
117 (ChAT) (Cat# AB 144-P, RRID:AB_2079751), and rabbit-anti-p75NTR (Cat# 07-476, RRID:AB_310649) were
118 purchased from Millipore. Goat-anti-p75NTR antibody (Cat# AF1157, RRID:AB_2298561) was purchased
119 from R & D Systems. Mouse-anti-tyrosine hydroxylase (TH) (Cat# 58844S, RRID:AB_2744555) was

120 obtained from Cell Signaling Technology. Mouse-anti- β -Tubulin III (Tuj1) (Cat# G712A, RRID:AB_430874)
121 antibody was purchased from Promega. Alexa Fluor-488 (Cat # A-11055) and Alexa Fluor-555 (Cat # A-
122 31572) anti-goat and anti-rabbit secondary antibodies respectively, Alexa Fluor- 647 anti-mouse
123 secondary antibody (Cat # A32787) were purchased from Invitrogen. Donkey-anti-goat Alexa Fluor 647
124 (Cat# 705-607-003, RRID:AB_2340439) was obtained from Jackson ImmunoResearch. LICOR mouse 800
125 (P/N: 926-32210, RRID AB_621842), rabbit 800 (P/N: 926-32213, RRID AB_621848), mouse 680 (P/N:
126 926-68020, RRID AB_10706161) secondary antibodies for Western blots were purchased from LICOR.
127 Fast blue (5%) was purchased from Polysciences, (Cas no: 73819-41-7). DRAQ5 (Code#
128 DR05500) was obtained from Biostatus. Fluoromount-G (Cat# 0100-01), DAPI Fluoromount-G
129 (Cat# 0100-20) was obtained from Southern Biotech.

130 Mice

131 All experiments were performed in compliance with the Institutional Animal Care and Use Committee
132 (IACUC) policies and approved by Rutgers University, Newark. Adult mice between the ages of 2 and 3
133 months were maintained on a 12h light/dark cycle with free access to food and water. Wild type (WT)
134 mice were purchased from The Jackson Laboratory. p75NTR global KO mice with an exon III deletion (Lee
135 et al., 1992) are bred in house. Both males and females were used in all experiments.

136 Neuronal cultures

137 WT and p75NTR KO pregnant mice were euthanized by exposure to CO₂ and soaked in 70% ethanol for 5
138 min for sterilization. Embryonic day 15 (E15) mouse fetuses were removed under sterile conditions and
139 kept in PBS on ice. Basal forebrains were dissected and dissociated in serum-free medium (SFM)
140 (Friedman et al., 1993) comprised of a 1:1 mixture of Eagle's MEM and Ham's F-12 supplemented with
141 glucose (6 mg/ml), putrescine (60 μ m), progesterone (20 nm), transferrin (100 μ g/ml), selenium (30 nm),
142 penicillin (0.5 U/ml), and streptomycin (0.5 μ g/ml). The cells were then plated in microfluidic chambers

143 (Taylor et al., 2005) attached to glass coverslips in tissue culture dishes that were precoated overnight
144 with poly-d-
145 lysine (0.2 mg/ml). 200µl of media was added to the soma compartment while 100µl media was
146 maintained in the axon compartments to maintain a media volume difference that facilitated the growth
147 of axons through the microgrooves towards the distal compartment. The cells were maintained with the
148 volume difference between the soma and axon compartments in SFM supplemented with 1% B-27 for 5
149 days at 37°C to obtain compartmentalized BFCN cultures which could be treated separately at the axons
150 or somas.

151 Live Imaging of BFCNs in Microfluidic cultures

152 BFCN microfluidic cultures were prepared for live imaging after 5 days *in vitro* (DIV). The axon
153 compartment was treated with Alexa488 labeled cholera toxin B (CTB, 1µg/ml), a retrograde tracer, for
154 20min and washed twice with SFM+1%B27 to retrogradely label the BFCNs which extended axons to the
155 distal compartment through the microgrooves. After 5h, the CTB from the axons was found to be
156 transported into the cytoplasm of the BFCNs that projected axons to the distal compartment. The soma
157 compartment was treated with propidium iodide (PI, 1µg/ml) to label dying neurons. BFCNs were then
158 treated with proNGF (20ng/ml) or proBDNF (40ng/ml) in the axon compartment and compared with
159 control untreated compartmentalized BFCNs to assess the effect of axonal stimulation with proNTs on
160 neuronal degeneration. Growth media volume difference was maintained as described in the neuronal
161 culture method to restrict stimulation with proNTs exclusively to the axons. To assess surviving versus
162 dying neurons, live imaging of neurons was performed using a Zeiss LSM 510 confocal microscope
163 maintaining constant temperature (37°) and CO₂ (5%) for the duration of the experiment. Incorporation
164 of PI in the nucleus of CTB positive neurons after 24h axonal treatment was assessed.

165 Lateral Fluid Percussion Injury (FPI)

166 Traumatic brain injury (TBI) was induced in mice using the FPI model following a protocol adapted from
167 Alder et al. (2011) Adult mice (3- 5 months of age) were anesthetized with ketamine (80mg/kg) and
168 xylazine (10mg/kg). Craniotomy was performed on the right cortical hemisphere midway between
169 Bregma and Lambda, 2 mm lateral to the midline, 3mm in diameter. 1 day post craniotomy we
170 performed a moderate FPI using 30PSI or 2ATM injury pressure. Sham mice underwent craniotomy but
171 were not subjected to FPI. Sham and injured mice were injected with buprenorphine (0.05mg/kg weight)
172 after the craniotomy and the injury. Injured and sham mice were perfused 1 to 14 days post injury (DPI)
173 to obtain brain sections for immunohistochemistry or euthanized by CO₂ exposure to obtain brain lysates
174 for analysis by western blot.

175 Western blot analysis of TBI mouse brains

176 Sham and TBI mice were sacrificed 1 day post injury (DPI), 3 DPI or 7DPI by exposure to CO₂. Brains were
177 dissected on ice to obtain the area of craniotomy in cortex, as well as the basal forebrain tissue from the
178 injured and uninjured hemisphere and lysed in 300µl of RIPA lysis buffer comprised of NP40 (10%),
179 deoxycholic acid (10%), SDS (10%), EDTA (0.5M), NaCl (5M), Tris (1M) and protease and phosphatase
180 inhibitors. After protein quantification, equal amounts of protein were run on a 15% polyacrylamide gel
181 and transferred to nitrocellulose membrane. Equal protein loading was assessed by Ponceau staining,
182 which was washed out with TBS with 0.05% Tween 20 (TBST). The membranes were then blocked with
183 5% nonfat milk prepared in TBST for 1 hour and incubated with primary antibodies to BDNF (Cat# 327-
184 100, RRID:AB_2927780) or NGF (Cat# N6655, RRID:AB_477660) overnight. After washing 3 X 10 min with
185 TBST, the blots were incubated with appropriate secondary antibodies for 1 h at room temperature. The
186 membrane was washed 3 X 10 min with TBST and then scanned with the Odyssey infrared imaging
187 system (LI-COR Bioscience). The same procedure was repeated with antibodies to β- actin (Cat# A5441,
188 RRID:AB_476744). LC tissue was harvested from Naïve WT and p75KO mice and processed for

189 quantifying the expression of p75NTR using rabbit-anti-p75NTR (1:1000, Cat# 07-476, RRID:AB_310649,
190 TH using mouse-anti-tyrosine hydroxylase (TH) (1:1000, Cat# 58844S, RRID:AB_2744555), and β - actin.

191 All blots shown are representative of at least three independent experiments.

192 Immunocytochemistry

193 Basal forebrain microfluidic cultures were fixed with 4% paraformaldehyde for 20 min, washed with PBS
194 and permeabilized with 0.5% Triton X-100 in PBS for 10min. The cells were then blocked for 1h with 5%
195 normal goat serum and 1% bovine serum albumin (BSA) in PBS and incubated overnight at 4°C with
196 primary antibody prepared in 1%BSA in PBS. Primary antisera were directed against β -Tubulin III (Tuj1)
197 (mouse 1:1000, Cat# G712A, RRID:AB_430874), rabbit-anti-p75NTR (1:1000, Cat# 07-476,
198 RRID:AB_310649), goat-anti-choline acetyltransferase (CHAT) (1:1000, Cat# AB 144-P, RRID:AB_2079751).
199 Cells were then washed with PBS, exposed to the appropriate secondary antibodies coupled to different
200 fluorophores, and highly cross-adsorbed against different species (Alexa 488, Alexa 594 and Alexa 647;
201 Invitrogen). Coverslips were mounted on slides using DAPI Fluoromount-G to label the nuclei. Images
202 were obtained using Zeiss LSM 510 META confocal microscope and analyzed to measure axon
203 fragmentation using Image J software. Degeneration index was calculated as the ratio of the area of
204 fragmented axons over the total area of axons (intact axons + fragmented axons) by using Tuj1-stained
205 fluorescence images. A total of 8 images were analyzed per chamber. All images were processed using
206 ImageJ software. To analyze size fragment of particles, binary masks were created of each image.
207 Particles with a size area equal or lower than $60 \mu\text{m}^2$ and with a circularity index higher than 0.03 were
208 classified as degenerated neurites fragments.

209 Immunohistochemistry

210 TBI and sham animals were anesthetized with ketamine/xylazine 7DPI and 14DPI and perfused with PBS
211 followed by 4% paraformaldehyde. After perfusion, the brains were removed and postfixed in 4%

212 paraformaldehyde overnight and cryoprotected in 30% sucrose for 2 days. 20 μ m sections were obtained
213 using a cryostat (Leica, Nussloch, Germany) and mounted onto charged slides and stored at -20°C. The
214 basal forebrain was analyzed by staining coronal sections starting from Bregma 0.50mm to Bregma
215 0.50mm, with an interval of 200 μ m between each section spanning the diagonal band of Broca (DBB),
216 NBM and SI which comprise the Ch4 nuclei of the basal forebrain (Mesulam et al., 1983). To analyze the
217 locus coeruleus, coronal brain sections (20 μ m) starting from Bregma -5.00mm to Bregma -6.0mm, with
218 an interval of 200 μ m between each section were processed. Sections were processed as above and then
219 exposed overnight at 4°C to the following primary antibodies: anti-rabbit-p75NTR (1:1000, Cat# 07-476,
220 RRID:AB_310649), anti-goat-choline acetyltransferase (CHAT) (1:1000, Cat# AB 144-P, RRID:AB_2079751),
221 anti-mouse-tyrosine hydroxylase (TH) (1:1000, Cat# 58844S, RRID:AB_2744555) diluted in 1%BSA in PBS.
222 The next day slides were washed three times in PBS for 10min each and exposed for 1 h at room
223 temperature to secondary antibodies coupled to the Alexa 488 or 555 fluorophores (1:1000) prepared in
224 1%BSA in PBS. Sections were then washed again in PBS three times for 10min each. Sections were
225 coverslipped with DAPI Fluoromount-G and analyzed by fluorescence microscopy using Nikon Eclipse
226 microscope. ChAT and p75NTR labeled neurons in the basal forebrain and TH labeled neurons in the
227 locus coeruleus were counted using Image J software.

228 Fast Blue Injection and retrograde tracing in vivo

229 Following craniotomy on the right cortical hemisphere midway between Bregma and Lambda, 2 mm
230 lateral to the midline, 3mm in diameter, adult WT mice were injected with Fast blue (0.25%)
231 (Polysciences, Cas no: 73819-41-7) in 5 injection sites, at 2 layers in the cortex: in the
232 center of the craniotomy, and 4 injections 1.5mm from the center diametrically
233 opposite to each other, 90 degrees apart. Injections were performed at a rate of 35
234 nanolitres/min, 30 nanolitres per injection site, at 300 μ m and 450 μ m depth,
235 targeting the cortical layers 4 and 5, which receive projections from the nucleus

236 basalis and substantia innominate of the basal forebrain, with a 5 min wait period
237 between each injection. Injected brains were harvested 14 days after injection.
238 Sections of the basal forebrain and LC were obtained as described for the
239 immunohistochemistry, and processed by immunostaining for rabbit-anti-p75NTR
240 (1:1000, Cat# 07-476, RRID:AB_310649), goat-anti-choline acetyltransferase (CHAT) (1:1000, Cat# AB
241 144-P, RRID:AB_2079751), mouse-anti-tyrosine hydroxylase (TH) (1:1000, Cat# 58844S,
242 RRID:AB_2744555) and DRAQ5 (1:1000, Biostatus, Code# DR05500). Sections were
243 coverslipped with Fluoromount-G and Fast blue + cells were analyzed by fluorescence microscopy using
244 Nikon Eclipse microscope and processed using Image J software.

245 Whole mount Imaging

246 Fixed adult mouse brains with unilateral FPI treatment to induce TBI were delipidated with a modified
247 Adipo-Clear protocol (Hou et al., 2021). Briefly, perfusion fixed brain samples were washed with B1n
248 buffer (H₂O/0.1% Triton X-100/0.3 M glycine, pH 7), then transferred to a methanol gradient series (20%,
249 40%, 60%, 80%) in B1n buffer, 4 mL for each brain, 1 h for each step; then 100% methanol for 1 h; then
250 overnight incubation in 2:1 mixture of DCM: methanol and a 1.5 h incubation in 100% DCM the following
251 day; then 100% methanol for 1 h three times, and reverse methanol gradient series (80%, 60%, 40%,
252 20%) in B1n buffer, 30 min for each step. Samples were then washed in B1n buffer for 1 h and overnight.
253 The above procedures were done at room temperature with rocking to complete delipidation. The
254 delipidated samples were then blocked in PTxwH buffer (PBS/0.1% Triton X100/0.05% Tween 20) with 5%
255 DMSO and 0.3M glycine for 3 h and overnight at 37°C, then washed with PTxwH for 1 h, 2 h, and
256 overnight at room temperature. For staining, brain samples were incubated in primary antibody (R&D
257 goat-anti-p75NTR, 1:500, Cat# AF1157, RRID:AB_2298561) diluted in PTxwH for 14 days at 37°C. After
258 primary antibody incubation, samples were washed in PTxwH for 1 h, 2 h, 4 h, overnight, then 1 d three
259 times, and then incubated in secondary antibody (Jackson ImmunoResearch 705-607-003, Alexa Fluor

260 647 donkey-antigoat, 1:100) diluted in PTxwH for 10 days. Samples were then washed in PTxwH for 1 h, 2
261 h, 4 h, overnight, then 1 d three times. Samples were finally washed in PBS for one day, then proceeded
262 for clearing with iDISCO+ (Hou et al., 2021). Samples were dehydrated with methanol gradient with
263 water, then 100% methanol, DCM/methanol mixture overnight, and 100% DCM for 1h twice the next
264 day. Brains were finally cleared for 4 h in dibenzyl ether and then stored in a fresh tube of dibenzyl ether
265 before imaging with a LifeCanvas SmartSPIM lightsheet microscope. 647 nm laser was used for whole
266 mount IHC imaging with the 3.6×/0.2 detection lens. Lightsheet illumination is focused with NA 0.2 lens,
267 and axially scanned with electrically tunable lens coupled to the camera (Hamamatsu Orca Back-Thin
268 Fusion) in slit mode. Camera exposure was set at fast mode (2 ms) with 16b imaging. The X/Y sampling
269 rate was 1.866 μm and Z step at 2 μm . 3D imaging datasets were processed using ImageJ to generate the
270 selected volume flatten views in coronal directions.

271 Experimental Design and Statistical Analyses

272 Statistical analysis was performed using Prism 5.0 software (GraphPad). Image analysis was performed
273 using ImageJ software. All measurements are shown as mean \pm SEM. For samples defined by one factor,
274 data was analyzed by one-way ANOVA with Tukey's post hoc multiple-comparisons test when three or
275 more independent group of samples were compared. For samples defined by two factors, data were
276 compared by two-way ANOVA with Sidak's post hoc multiple-comparisons test. For *in vivo* experiments,
277 sample size (n) was defined as the number of mice that were quantified. For the *in vitro* experiments,
278 sample size (n) was defined as the number of independent cultures of embryos obtained from separate
279 pregnant rats. The null hypothesis was rejected at the 0.05 level. p values <0.05 are considered
280 significant. The statistical test, sample size (n), and the p values are reported in the figure legends specific
281 to each experiment. Epifluorescent images were assembled using Adobe Photoshop.

282

283

284

285

286 **Results**287 *Cortical FPI causes an induction of proneurotrophins in the cortex ipsilateral to the injury.*

288 To investigate the effect of moderate cortical injury on afferent basal forebrain neurons, we first
289 examined proNT induction at the region of injury in the cortex as well as in the basal forebrain. Cortical
290 FPI was performed on wild-type (WT) adult mice, and tissue lysates were collected 1DPI, 3DPI and 7DPI
291 from both hemispheres of the naïve, sham and TBI mouse brains from the cortex and basal forebrain for
292 biochemical analysis of proNTs. A dramatic increase in proBDNF was observed at the injury site of the
293 cortex ipsilateral to the injury, in comparison to the contralateral side at 1DPI and 3DPI, which was
294 reduced by 7DPI in injured mice (Fig. 1 a). Naïve mice without a craniotomy or injury had comparable
295 levels of proBDNF (Fig.1 a) and proNGF (Fig.1 c) in the right and left hemisphere of the cortex and basal
296 forebrain. A trend towards proBDNF induction was observed in sham mice due to the craniotomy, but no
297 difference was observed in comparison to the contralateral side of the cortex (Fig.1 a). No changes in
298 proBDNF levels were observed in the basal forebrain at 1DPI, 3DPI or 7DPI (Fig.1 b). In addition to
299 proBDNF, a clear induction of proNGF was observed at the injury site in the cortex compared to the
300 contralateral side at 3DPI (Fig.1 c), but no changes in proNGF levels were observed in the basal forebrain
301 (Fig.1 c). These results indicate that after moderate cortical FPI proneurotrophins are induced in the
302 injured cortex in target brain regions of the basal forebrain neurons, but not locally near the basal
303 forebrain soma.

304

305 *Cortical FPI promotes a retrograde loss of afferent basal forebrain neurons ipsilateral to the injury*

306 Studies reporting neuronal loss in the acute as well as chronic phases after TBI have been limited to the
307 cortical penumbra of the injury, attributed in part to the concurrent induction of proNTs and increased
308 expression of their cognate receptor p75NTR in the injured cortex (Alder et al., 2016; Montroull et al.,
309 2020). However, the effect of cortical FPI on afferent neurons that project to the injured area from distal
310 locations has not been explored. WT naïve, sham, and injured mice were analyzed for the number of
311 surviving neurons expressing p75NTR and Choline Acetyl Transferase (ChAT), well-established markers for
312 the basal forebrain cholinergic population, throughout the Diagonal band of Broca, Nucleus basalis, and
313 Substantia Innominate (Fig.2a). Cortical FPI induced a significant reduction in p75NTR+ neurons after 7
314 days in comparison to the contralateral side, and in comparison to sham uninjured animals (Fig.2 a, b). A
315 greater effect on p75NTR+ neuron loss was observed after 14DPI (Fig.2 a, d). A significant loss of ChAT+
316 neurons (Fig.2 a) was also observed in the basal forebrain 7DPI (Fig 2 c) and 14DPI (Fig.2 e). Although a
317 trend towards an increase in p75NTR and ChAT+ BFCNs in the contralateral side was observed after 7
318 days post injury, the trend was not found to be significant (p75NTR+ Sham contra vs injured contra: n.s. p
319 = 0.1121; ChAT+ Sham contra vs injured contra: n.s. p = 0.1521). Co-immunolabeling with Ki67, a
320 proliferation marker and p75NTR did not show any proliferating cells in the injured versus uninjured
321 basal forebrain, (data not shown). A significant loss of ipsilateral p75NTR and ChAT double positive
322 neurons was observed compared to the contralateral side in injured mice, which was absent in sham
323 mice (Fig. 2 f, g). Although a trend towards reduction in p75NTR and Chat positive BFCNs was observed in
324 the contralateral side 14DPI, the effect was not significant compared to the contralateral side in sham
325 mice (Sham:Contra vs. Injured:Contra: p = 0.8846). Retrograde tracing using Fast blue injections in the
326 cortex showed Fast Blue+ cells in the cortex, and in the basal forebrain that co-express p75NTR and ChAT
327 on the ipsilateral side of the injection (Fig 2. h, i). However no Fast blue positive cells were observed on
328 the contralateral basal forebrain, suggesting the absence of contralateral connections from the basal

329 forebrain to the injection site in the cortex (Fig 2. i). These results suggest that BFCNs undergo retrograde
330 cell death after cortical FPI, which leads to a progressive loss of p75NTR+ and ChAT + BFCNs 7 and 14
331 days after injury.

332

333 *Cortical FPI does not promote neuronal loss of afferent locus coeruleus neurons ipsilateral to the injury*

334 To compare the effects of FPI on different afferent neuronal populations, we investigated whether
335 cortical FPI promotes a similar degenerative effect on locus coeruleus (LC) afferent neurons as on the
336 BFCNs. The LC noradrenergic neurons send long axonal projections throughout the cortex (Jones and
337 Moore, 1977; Nomura et al., 2014; Pickel et al., 1974). Brain sections through the LC were examined to
338 quantify the number of tyrosine hydroxylase (TH)+ neurons in sham and injured WT mouse brains (Fig 3).
339 No loss of TH+ neurons was observed in the LC ipsilateral or contralateral to the injury at 7DPI (Fig 3 a, c),
340 14DPI (Fig. 3 a, d) or even after 21DPI (Fig. 3 a, e), in contrast to the loss of basal forebrain neurons
341 ipsilateral to the injury (Fig.2), suggesting that cortical FPI does not promote neuronal loss in all afferent
342 neurons. Interestingly, the TH positive neurons in the LC were found to co- express p75NTR even in
343 adulthood (Fig. 3 b). This was also observed by Western blot analysis of LC tissue from WT naïve mice,
344 where p75NTR and TH were detected (Fig. 3 f). However, fast blue injections in the craniotomy site at the
345 cortex (Fig. 2h), did not result in any fast blue positive cells in the ipsilateral or contralateral LC in
346 TH+p75NTR+ neurons even 14 days after fast blue injection (Fig. 3 f), which is in contrast to results
347 observed in the basal forebrain (Fig. 2 i), suggesting that the LC neurons may not be projecting to the
348 specific cortical injury site. Overall, these results indicate the specificity of retrograde basal forebrain
349 neuronal loss after cortical injury.

350

351 *p75NTR is necessary for retrograde loss of afferent basal forebrain neurons after cortical FPI*

352 To investigate the role of p75NTR in BFCN loss after cortical injury, moderate cortical FPI was performed
353 on adult p75NTR knock-out mice (p75NTR KO) (Fig. 4a). No significant changes in the number of ChAT+
354 BFCNs were observed in the absence of p75NTR (Fig. 4a) in the ipsilateral versus contralateral basal
355 forebrain of the p75NTR KO mice after cortical FPI 7DPI (Fig. 4 b) or 14DPI (Fig. 4 c), in contrast to WT
356 mice (Fig 2). To assess whether proNTs were still induced after cortical FPI in the p75KO mice as observed
357 in WT mice, brain lysates were obtained from p75NTR KO naïve, injured, and sham mice 3DPI and
358 analyzed by western blot for levels of proNTs. A dramatic increase in levels of proBDNF and proNGF were
359 detected in the injured cortex in comparison to the uninjured side in the p75NTR KO mice at 3DPI (Fig. 4
360 d, e), similar to the results observed in WT mice (Fig. 1). No changes in proNT levels were seen in the BF,
361 as observed in the WT mice (Fig. 4 d, e). These results show that although FPI induced elevated proNT
362 levels in the p75KO mice as in WT mice, no loss of BFCNs occurred in the absence of p75NTR, indicating
363 that retrograde degeneration of BFCNs after FPI requires p75NTR.

364

365 *Cortical FPI promotes axonal degeneration of afferent basal forebrain neurons ipsilateral to the injury*
366 *7DPI*

367 WT mouse brains with moderate FPI were fixed 7DPI and processed for iDISCO whole mount
368 immunolabeling with anti-p75NTR to investigate the consequences of cortical FPI on the projecting BFCN
369 axon integrity (Fig 5.a). Induction of p75NTR expression at the cortical injury site was observed in
370 accordance with previous studies (Montroull et al., 2020) (Fig 5. a,b). Additionally, the ipsilateral (IPSI)
371 side of the brain showed p75NTR+ axon projections with varicosities, tortuosity and retraction bulbs
372 extended towards the injured cortex (Fig 5.b, yellow arrowheads) indicative of axon degeneration,
373 suggesting that axonal integrity of projecting BFCNs was compromised. In contrast, the uninjured or

374 contralateral (CONTRA) side of the brain was devoid of degenerating p75NTR+ axons (Fig 5.c). These
375 results suggest that retrograde degeneration of basal forebrain afferent axons occurs after injury to the
376 cortex, leading to loss of basal forebrain neurons. Blood vessels in the mouse brain express abundant
377 levels of p75NTR, although the function of this receptor in blood vessels is unknown (5 c, yellow arrows).
378 Interestingly, p75NTR expression in the blood vessels was lost in the region of injury (Fig 5b).

379 *Proneurotrophins signal through p75NTR to promote retrograde degeneration of basal forebrain*
380 *cholinergic neurons in vitro*

381 Studies in mass cultures have demonstrated that proneurotrophins signal through the p75NTR-sortilin
382 receptor complex to promote BFCN death (Volosin et al., 2006). To investigate whether direct stimulation
383 of axon terminals with proneurotrophins can induce retrograde cell death of BFCNs via p75NTR, an *in*
384 *vitro* microfluidic culture model was used (Fig. 6 b). BFCNs grown in the microfluidic system extended
385 their axons to the distal compartment over 5DIV and express the BFCN markers ChAT and p75NTR (Fig. 6
386 b). Basal forebrain neurons from WT and p75NTR KO mouse embryos were cultured in microfluidic
387 chambers for localized stimulation of the axons (Fig.6a). Axons were treated with Alexa 488-labeled
388 cholera toxin B (CTB) to identify the neurons that projected their axons to the distal chamber. ProNGF or
389 proBDNF was added to the axon compartment, followed by live imaging from 0h to 24h. Propidium
390 iodide (PI) was added to the soma compartment to monitor dying neurons, and the number of CTB Alexa
391 488 positive neurons that incorporated PI was quantified in comparison to control untreated BFCNs (Fig.
392 6 b, c, d). Axonal stimulation with proNGF or proBDNF resulted in a significant increase in CTB+/PI+ dying
393 neurons (Fig. 6 c) quantified as percentage of total CTB+ neurons after 24h, suggesting that proNGF and
394 proBDNF can promote retrograde cell death initiated from the axons in WT, but not p75NTR KO neurons
395 (Fig. 6 d). To investigate the effect of proNT-p75NTR signaling on axonal integrity, cells were
396 immunostained for β -Tubulin III (Tuj1) after 24h axonal treatment with proNGF or proBDNF (Fig. 6 e).
397 Both proNGF and proBDNF treatment of WT BFCN axons for 24h promoted a significant increase in axon

398 fragmentation in comparison to control untreated WT BFCNs (Fig. 6 e, f). In contrast, proNGF or proBDNF
399 axonal stimulation of p75NTR KO BFCN cultures did not result in BFCN cell death (Fig. 6 d) nor promote
400 axon degeneration (Fig.6 e, f). To determine whether retrograde transport was necessary for axonal
401 proNT induced BFCN degeneration, we inhibited the function of the retrograde motor dynein by
402 pretreating the axon compartment for 20 mins with ciliobrevin-D, a dynein functional inhibitor, prior to
403 axonal stimulation with proNGF or proBDNF. Blocking retrograde motor function significantly rescued the
404 BFCNs from retrograde axon degeneration (Fig 6 h,i) as well as cell death (Fig 6 g) even after 24h of
405 axonal proNGF or proBDNF stimulation compared to BFCNs that did not receive ciliobrevin-D
406 pretreatment, suggesting that the proNT-p75NTR degenerative signal requires retrograde transport to
407 the soma to promote BFCN axon degeneration as well as cell death. These results demonstrate that
408 proNTs, which are induced in the injured cortex following TBI, can promote retrograde degeneration of
409 afferent basal forebrain neurons via p75NTR, which may contribute to the progressive retrograde loss of
410 these neurons after cortical injury *in vivo*.

411

412 Discussion

413 Recent studies have shown that brain injury induces increased expression of proneurotrophins and
414 p75NTR at the site of injury and in the penumbra, with a prominent role in mediating the secondary
415 neuronal degeneration that occurs in the penumbra after TBI (Alder et al., 2015; Montroull et al., 2020;
416 Sebastiani et al., 2015). The loss of cortical neurons after injury is reduced when p75NTR is deleted or
417 the proNT ligands that bind to this receptor are inhibited (Montroull et al., 2020). However, in addition
418 to the induction of p75NTR on injured neurons in the cortex, this receptor is constitutively expressed on
419 basal forebrain neurons that project their axons throughout the cortex. Therefore, we investigated
420 whether the constitutive expression of p75NTR might render the basal forebrain neurons vulnerable to

421 degeneration due to the induction of proNTs in their cortical target region after TBI, eliciting retrograde
422 cell death initiated at the axon terminal.

423

424 *Cortical FPI promotes a retrograde loss of projecting basal forebrain neurons*

425 Proneurotrophin induction is a consequence of TBI in the area of impact (Alder et al., 2015; Montroull et
426 al., 2020), and we confirmed the increase in proBDNF and proNGF by 3 days after the injury. To assess
427 whether there were any consequences for the afferent BFCNs, we examined the number of neurons in
428 the Diagonal Band of Broca (DBB), substantia innominate (SI) and Nucleus Basalis of Meynert (NBM) that
429 project to the cortex and express p75NTR and ChAT, both well-established markers of basal forebrain
430 cholinergic neurons. Cortical FPI elicited a significant loss of BFCNs that express both p75NTR and ChAT
431 in the basal forebrain ipsilateral to the injury compared to the contralateral side of WT mice, indicating
432 that, in addition to the local damage at the site of injury, spatially distant neuronal populations such as
433 the BFCNs that send afferent projections to the region of injury may be adversely affected by cortical TBI.
434 The trend towards an increase in contralateral BFCNs observed in injured mice 7DPI was not statistically
435 significant. Retrograde tracing of BFCN afferents which project to the injury site confirmed that BFCNs do
436 not project contralaterally. The trend towards contralateral BFCN loss at 14DPI may be attributed to
437 indirect effects of the injury, such as inflammation. Our previous study had shown that seizure-induced
438 injury in the brain elicited increased proNGF levels in basal forebrain astrocytes with a consequent loss of
439 basal forebrain neurons (Volosin et al., 2006), suggesting that BFCNs may be exposed to altered proNT
440 levels in their local environment after certain types of injury. To assess whether the loss of BFCN was due
441 to increased proneurotrophin expression within the basal forebrain, or alterations in the trophic
442 environment in their injured target regions elicited by TBI, we investigated levels of proNTs in the basal
443 forebrain after injury. Following moderate FPI we found no differences in the basal forebrain between
444 the ipsilateral and contralateral sides of the brain, and no differences compared to sham animals,

445 suggesting that the cortical injury did not induce alterations in proNT levels within the basal forebrain,
446 and that the neuronal loss observed in the ipsilateral basal forebrain after FPI may be attributed to the
447 proNT exposure of the BFCN axon terminals at their injured targets.

448 Traumatic axonal injury in the region of injury has been a long-standing focus of study in relation to
449 secondary degeneration after TBI (Johnson et al., 2012). In addition to progressive neuronal death in the
450 injured cortex as a consequence of TBI (Alder et al., 2016; Montroull et al., 2020), injury-induced axon
451 degeneration in cortical neurons has also been established after frontal TBI (Chen et al., 2009). Using
452 whole mount immunostaining for p75NTR of injured brains cleared with iDisco, we identified p75NTR+
453 axon projections with varicosities, tortuosity and retraction bulbs extended towards the injured cortex on
454 the ipsilateral side of the brain. These hallmarks of degenerating axons, and their subcortical location,
455 suggest that afferent neurons projecting to the injured cortex undergo retrograde axonal degeneration.

456

457 *Specificity of afferent neuronal loss after injury*

458 To assess the specificity of retrograde neuronal loss after TBI, we examined another afferent population
459 of neurons that projects to the cortex, the noradrenergic neurons of the locus coeruleus (LC).

460 Interestingly, LC neurons showed no change in the number of TH+ neurons even at 21DPI, suggesting
461 that specific afferent neuronal populations are adversely affected by an injury to their target brain
462 regions, while others are spared. Interestingly, the LC neurons were also found to express p75NTR,
463 similar to BFCNs even in adulthood. However retrograde tracing from the craniotomy site in the cortex
464 indicated that the LC neurons may not specifically project to the cortical region targeted for injury in this
465 TBI model, and therefore may play a role in the contrasting response noted in the LC compared to the
466 basal forebrain after injury. These observations also suggest that spatial differences in the injury location
467 versus distribution of axonal terminals of projecting neurons determine the degenerative effect on distal

468 neuronal populations. The specificity of the degenerative effect of cortical TBI on BFCNs might also be
469 due differences in other cell type specific protein expression, subcellular localization of components for
470 the required cell signaling cascades, and more, which need further investigation to be elucidated.

471 To establish whether the loss of BFCN was due to the expression of p75NTR, we compared p75NTR KO
472 mice with WT mice. Although proneurotrophins were similarly induced in p75NTR KO mice as in WT
473 mice, no loss of BFCNs was seen in the p75NTR KO mice after cortical FPI, in contrast to our observations
474 with WT mice, indicating that retrograde neurodegeneration of BFCNs after TBI was mediated by
475 p75NTR.

476

477 *Proneurotrophins signal through p75NTR to promote retrograde degeneration of basal forebrain*
478 *cholinergic neurons in vitro*

479 To investigate whether proneurotrophins could directly elicit retrograde degeneration of basal forebrain
480 neurons initiated at the axon terminal, we used *in vitro* microfluidic chambers to separate the axons from
481 the somas. ProNGF or proBDNF treatment of axons elicited axonal fragmentation during 24 hr of
482 treatment, leading to neuronal cell death. The mechanisms governing p75NTR induced apoptosis in cells
483 have been studied in detail in the CNS (Lee et al., 2001; Nykjaer et al., 2004; Troy et al., 2002; Volosin et
484 al., 2006). Previously described downstream signaling mechanisms governing p75NTR induced cell death,
485 such as the intrinsic caspase pathway (Troy et al., 2002), may be a potential pathway involved in p75NTR
486 mediated retrograde cell death as well as axon degeneration. However, other established axon
487 degeneration mechanisms (Coleman & Höke, 2020) may also be involved in conjunction with cell death
488 signaling to specifically affect the axonal integrity. Whether the same mechanisms govern p75NTR
489 mediated axon degeneration and cell death, or whether axonal degeneration involves an independent
490 signaling mechanism remains to be investigated.

491 A major consequence of traumatic brain injury is the progressive neuronal loss that occurs over days and
492 weeks following the initial insult. Previous studies have shown that the induction of p75NTR on injured
493 cortical neurons plays a significant role in mediating neuronal loss in the penumbra of the injury.
494 However, in addition to the local effects of injury eliciting loss of cortical neurons, projecting BFCN
495 afferent neurons that constitutively express p75NTR can respond to proneurotrophins induced by injury
496 to their target and promote retrograde degeneration. Interestingly, an increase in proneurotrophin
497 expression in the cortex has been observed in several conditions of brain insults such as seizures
498 (Friedman, 2010.; Volosin et al., 2006) as well as in degenerative diseases such as Alzheimer's Disease
499 (Cuello and Bruno, 2007; Pedraza et al., 2005) which also show BFCN loss. The progressive worsening of
500 cognitive functions such as memory and learning that occurs over time following cortical injuries
501 (Thompson et al., 2005) may be due in part to loss of BFCNs as well as cortical neurons. Rescue of
502 medial septal cholinergic neurons by NGF infusion has been shown to improve cognitive behavior after
503 FPI (Sinson et al., 1997), suggesting that loss of cholinergic basal forebrain neurons contributes to
504 progressive cognitive decline following FPI. The contribution of NBM or SI BFCN loss after TBI remains
505 uninvestigated. Therefore, determining key regulators of the retrograde BFCN degeneration after TBI, as
506 well as parsing out the mechanistic differences between axonal degeneration and cell death signaling in
507 BFCNs after TBI is essential to our understanding of the spatial impact and temporal aspect of BFCN loss
508 under injury conditions.

509

510

511 Figure Legends

512

513

514 Figure 1. Proneurotrophins are induced in the ipsilateral cortex but not the basal forebrain after cortical

515 FPI. Brain tissue lysates from naïve, sham and injured (2ATM) wild-type adult mice were obtained 1DPI,

516 3DPI and 7DPI to determine levels of proBDNF (a- b) and proNGF (c) in the injured versus uninjured side.

517 (a) Cortical tissue lysate harvested for western blot was probed for proBDNF (32 kDa) in the ipsilateral

518 and contralateral cortex 1DPI, 3DPI and 7DPI in naïve, sham and injured mice. (b) Basal forebrain tissue

519 lysate harvested for western blot was probed for proBDNF (32 kDa) in the ipsilateral vs contralateral

520 basal forebrain 1DPI, 3DPI and 7DPI in naïve, sham and injured mice. (c) Cortex and basal forebrain tissue

521 lysates harvested for western blot were probed for proNGF (37kDa) at 3DPI after FPI in the ipsilateral

522 versus contralateral side of the cortex and the basal forebrain. n= 4 (naïve), n= 4 (sham 1DPI), n= 4

523 (injured 1DPI), n= 4 (sham 3DPI), n=4 (injured 3DPI), n= 3 (sham 7DPI), n = 3 (injured 7DPI) (a-b); n= 3

524 (naïve), n= 4 (sham 3DPI), n= 4 (injured 3DPI) (c). The established size of proBDNF is 32 kDa, however a

525 prominent band of 25 kDa was also recognized by the BDNF antibody that appeared to be regulated by

526 injury, however the identity of that band is unclear.

527

528 Figure 2. Cortical FPI leads to a retrograde loss of afferent basal forebrain neurons ipsilateral to the injury

529 7DPI and 14DPI. (a) Coronal brain sections of the basal forebrain show immunostaining for p75NTR (red)

530 and ChAT (green) 7 and 14 days after injury in sham and injured mice. Scale bar = 50µm. (b)

531 Quantification of p75NTR+ basal forebrain neurons ipsilateral to the injury in comparison to the

532 contralateral side in sham and injured mice at 7DPI. n = 5 sham and 5 injured mice, 7DPI. The asterisk

533 indicates $p = 0.0257$ comparing contra versus ipsi in injured mice. Sham contra vs injured contra: n.s. $p =$

534 0.1121. (c) Quantification of ChAT+ basal forebrain neurons ipsilateral to the injury in comparison to the

535 contralateral side in sham and injured mice at 7DPI. n = 6 sham and 7 injured mice. The asterisk indicates

536 $p = 0.0487$ comparing contra versus ipsi in injured mice. Sham contra vs injured contra: n.s. $p = 0.1521$.
537 (d) Quantification of p75NTR+ basal forebrain neurons ipsilateral to the injury in comparison to the sham
538 mice at 14DPI. $n = 6$ sham and 6 injured mice, 14DPI. The asterisk indicates $p = 0.0109$ comparing sham
539 ipsi versus injured ipsi. Injured contra vs injured ipsi: n.s. $p = 0.1937$. (e) Quantification of ChAT+ basal
540 forebrain neurons ipsilateral to the injury in comparison to sham mice at 14DPI. $n = 6$ sham and 6 injured
541 mice, 14DPI. The asterisk indicates $p = 0.0010$ comparing sham ipsi versus injured ipsi. Injured contra vs
542 injured ipsi: n.s. $p = 0.0883$. (f) Quantification of p75NTR and ChAT double-positive basal forebrain
543 neurons ipsilateral to the injury in comparison to the contralateral side in sham and injured mice at 7DPI.
544 $n = 5$ sham and 5 injured mice, 7DPI. The asterisk indicates $p = 0.0391$ comparing contra versus ipsi in
545 injured mice. Sham contra vs injured contra: n.s. $p = 0.3753$. (g) Quantification of p75NTR and ChAT
546 double-positive basal forebrain neurons ipsilateral to the injury in comparison to the contralateral side in
547 sham and injured mice at 14DPI. $n = 6$ sham and 6 injured mice, 14DPI. The asterisk indicates $p = 0.0112$
548 comparing contra versus ipsi in injured mice, $p = 0.0016$ comparing sham ipsi versus injured ipsi.
549 Statistical analysis was performed by 2-way ANOVA, Sidak's multiple comparison tests. (h) Coronal brain
550 sections of the naïve cortex showing immunostaining for Fast Blue (blue) and DRAQ5 (grey) 14 days after
551 cortical Fast Blue injection in the uninjected versus injected side. $n = 4$; Scale bar = $50\mu\text{m}$. (i) Coronal
552 brain sections of the naïve basal forebrain showing immunostaining for Fast Blue (blue), p75NTR (red),
553 ChAT(green) 14 days after cortical Fast Blue injection in the uninjected versus injected side. Scale bar =
554 $50\mu\text{m}$.

555

556 Figure 3. TH positive cells in the Locus Coeruleus after cortical FPI. 7DPI,14DPI and 21 DPI sham and TBI
557 brains were obtained from wild-type adult mice after FPI. (a) Coronal brain sections of the LC
558 immunostained for tyrosine hydroxylase (TH) (green) 7DPI, 14DPI and 21DPI (b) Coronal brain sections of

559 the naïve LC showing absence of staining for Fast Blue (blue) 14 days after cortical Fast Blue injection in
560 the uninjected versus injected side, co-immunolabeled with TH (green) and p75NTR (red). n = 4. (c)
561 Quantification of TH+ neurons in the ipsilateral versus contralateral side of the LC 7DPI in sham and
562 injured mice. (d) Quantification of TH+ neurons in the ipsilateral versus contralateral side of the LC 14DPI
563 in sham and injured mice. (e) Quantification of TH+ neurons in the ipsilateral versus contralateral side of
564 the LC 21DPI in sham and injured mice. Statistical analysis was performed using one-way ANOVA, Tukey's
565 multiple comparisons test. (g) Western blot showing expression of p75NTR, and TH in the LC in naive WT
566 mice, along with a negative control using LC from p75NTR KO mice. Each Western blot lane represents an
567 n. n= 5 WT LC, n = 4 p75NTR KO LC. All scale bars = 50 μ m.

568

569 Figure 4. The absence of p75NTR abrogates the retrograde loss of projecting basal forebrain neurons
570 after cortical FPI. (a) Coronal brain sections of the basal forebrain from injured p75NTR KO mice show
571 immunostaining for ChAT (green) at 7DPI and 14DPI. Scale bar= 50 μ m. (b) Quantification of ChAT+ BFCNs
572 in the ipsilateral versus contralateral side of the basal forebrain 7DPI in sham and injured p75NTR KO
573 mice. Statistical analysis was performed using 2-way ANOVA, Sidak's multiple comparisons tests. (c)
574 Quantification of ChAT+ BFCNs in the ipsilateral versus contralateral side of the basal forebrain 14DPI in
575 sham and injured p75NTR KO mice. Statistical analysis was performed using 2-way ANOVA, Sidak's
576 multiple comparisons tests. (d) Cortex and basal forebrain tissue lysates obtained from 3DPI sham and
577 TBI p75NTR KO mice were probed for proBDNF (32 kDa) by western blot. n=3 sham and 3 injured brains.
578 (e) Cortex and basal forebrain tissue lysates harvested from 3DPI sham and TBI p75NTR KO mice were
579 probed for proNGF (37 kDa) by western blot. n=3 sham and 3 injured brains.

580

581 Figure 5. Cortical FPI leads to a retrograde axonal degeneration of afferent basal forebrain neurons
582 ipsilateral to the injury 7DPI. (a) WT mouse brains were fixed 7 DPI after cortical FPI were cleared by
583 iDISCO
584 prior to immunostaining for p75NTR. Whole brains were analyzed by light-sheet microscopy. Areas
585 highlighted in rectangles (yellow) are magnified in (b) and (c) to show the ipsilateral (IPSI) and
586 contralateral (CONTRA) regions in further detail. (b) p75NTR staining ipsilateral to the injury shows
587 p75NTR+ basal forebrain afferents with varicosities, tortuosity, and retraction bulbs (yellow arrowheads).
588 (c) p75NTR staining in the cortex contralateral to the injury. Yellow arrows denote p75NTR+ blood vessels
589 in the uninjured cortex. n = 3 (7DPI). Scale bar = 50 μ M.

590

591 Figure 6. proNGF and proBDNF promote retrograde degeneration of basal forebrain cholinergic neurons
592 (BFCNs) in microfluidic cultures via p75NTR. (a) Basal forebrain neurons were cultured from E15 mouse
593 embryos in microfluidic chambers for 5 DIV. (b) BFCNs grown in microfluidic chambers co-immunolabeled
594 for Tuj1 (grey), p75NTR (green), and ChAT (red). Scale bar: 50 μ M. (c) The axon compartment was treated
595 with CTB Alexa- 488 (green) to retrogradely trace neurons that extended their axons to the distal
596 compartment. Propidium iodide (PI) (red) was added to the soma compartment before axonal treatment
597 to study dying (PI+ CTB+) neurons after 24h of axonal treatment with proneurotrophins. Arrows indicate
598 CTB+ neurons that incorporate PI in their nucleus after 24h of treatment. Scale bar: 20 μ M. (d)
599 Quantification of dying neurons in WT and p75NTR KO cultured BFCNs after axonal treatment with
600 proNGF or proBDNF. n = 5 (Control, WT), n = 4 (Control, KO), n = 5 (proNGF(A), WT), n=4 (proNGF(A), KO),
601 n=3 (proBDNF(A), WT), n = 4 (proBDNF(A), KO) where (A) indicates axonal treatment. All asterisks indicate
602 p < 0.0001 by 2-way ANOVA, Sidak's multiple comparisons tests. (e) Axon fragmentation in WT or KO
603 BFCNs after proNGF or proBDNF treatment assessed using β -Tubulin III (Tuj1) staining represented as
604 binary images. Scale bar (red): 20 μ M. (f) Quantification of axonal degeneration in WT and p75NTR KO

605 cultured BFCNs after axonal treatment with proNGF or proBDNF. n = 4 (Control, WT), n = 4 (Control, KO),
606 n= 4 (proNGF(A), WT), n=4 (proNGF(A), KO), n=3 (proBDNF(A), WT), n= 4 (proBDNF(A), KO). The asterisk
607 indicates $p < 0.0001$ comparing WT:control versus proNGF(A), and WT:control versus proBDNF(A), $p =$
608 0.0007 comparing WT:proNGF(A) versus KO:proNGF(A), and WT:proBDNF(A) versus KO:proBDNF(A) by 2-
609 way ANOVA, Sidak's multiple comparisons tests. (g) Quantification of dying neurons in WT cultured
610 BFCNs with or without pretreatment with ciliobrevinD (50 μ M) before proNGF or proBDNF treatment in
611 the axons. n = 5 (Control), n= 5 (proNGF(A)), n=5 (proNGF(A)+ CilioD(A)), KO, n=3 (proBDNF(A)), n= 3
612 (proBDNF(A)+ CilioD(A)). All asterisks indicate $p < 0.0001$ by One-way ANOVA, Tukey's multiple
613 comparison tests. (h) Axon fragmentation in WT cultured BFCNs with or without pretreatment with
614 ciliobrevinD (50 μ M) before proNGF or proBDNF treatment in the axons assessed using β -Tubulin III (Tuj1)
615 staining represented as binary images, and (i) quantification of axonal degeneration. n = 4 (Control), n= 4
616 (proNGF(A)), n=3 (proNGF(A)+ CilioD(A)), n=3 (proBDNF(A)), n= 3 (proBDNF(A)+ CilioD(A)). Scale bar (red)
617 : 20 μ M. The asterisk indicates $p = 0.0001$ comparing control versus proNGF(A), $p = 0.0002$ comparing
618 control versus proBDNF(A), $p = 0.0102$ comparing proNGF(A) versus proNGF(A)+ CilioD(A), $p = 0.0011$
619 comparing proBDNF(A) versus proBDNF(A)+ CilioD(A) by One-way ANOVA, Tukey's multiple comparison
620 tests.

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