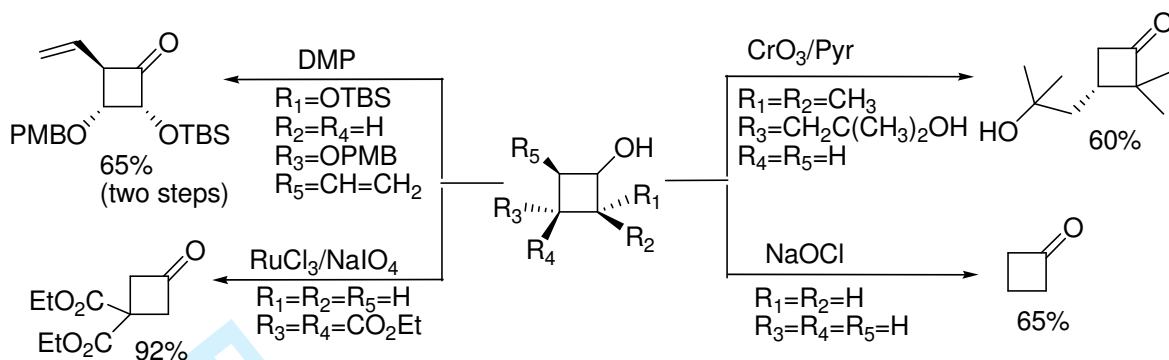


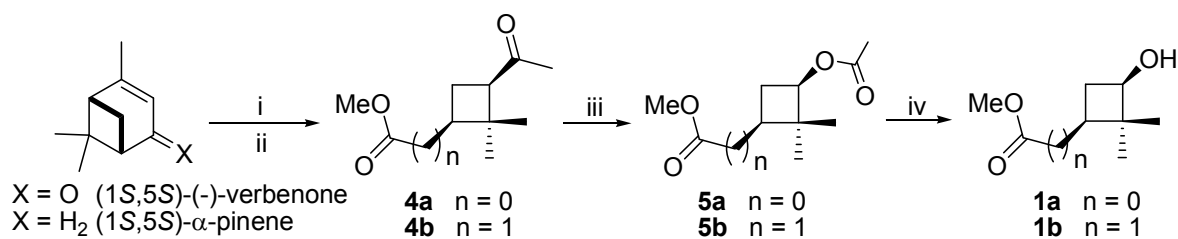
Differential oxidation conditions of substituted cyclobutanols derived from terpenes.

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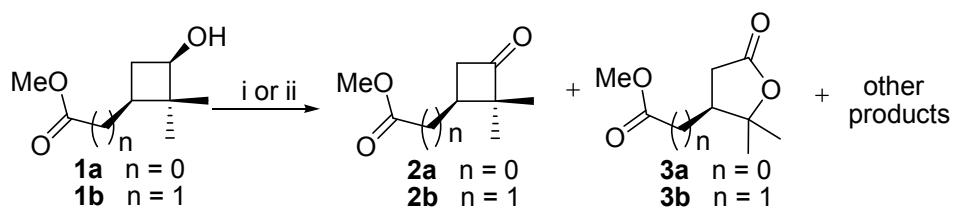
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Scheme 1. Reported oxidations of cyclobutanols with different oxidizing agents.



Scheme 2. Synthesis of alcohols **1a** and **1b**. Reagents and conditions: (i) RuCl₃/NaIO₄; (ii) CH₂N₂; (iii) *m*-CPBA; (iv) KOH.



11 **Scheme 3.** Synthesis of compounds **2** and **3**. Reagents and conditions: (i) $\text{RuCl}_3/\text{NaIO}_4$; (ii)

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Table 1: Products of the oxidation of **1a** and **1b**.

Entry	Reagent	Products from [Yields(%)]	
		1a	1b
1	RuCl ₃ /NaIO ₄	2a/3a	2b/3b
		53:47 ^a	61:39 ^a
2	PDC	2a/3a	2b/3b
		66:34 ^a	71:29 ^a
3	NaOCl	3a	3b
		70 ^b	83 ^b
4	DMP	2a	2b
		93 ^b	78 ^b

a: Calculated from NMR spectra integrations.

b: Isolated yield.

Review Only

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Differential oxidation conditions of substituted cyclobutanols derived from terpenes.

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Abstract

The use of different oxidants for homochiral cyclobutanols afforded dissimilar products depending on the agent used. Dess-Martin periodinane permitted the obtention of the homochiral cyclobutanones and sodium hypochlorite produced only one of the two possible regioisomeric γ -butyrolactones.

Keywords: γ -butyrolactones; cyclobutanols; cyclobutanones; oxidation; regioselectivity

INTRODUCTION

Oxidation of alcohols is one of the most fundamental reactions in organic chemistry. A great variety of metal and non-metal-based stoichiometric reagents have been developed to oxidize primary and secondary alcohols to the corresponding aldehydes, ketones and carboxylic acids.^[1] Among alcohols, cyclobutanols as precursors of cyclobutanones acquire a great importance, not yet as academic curiosities but as building blocks of strained peptides^[2] or carbocyclic nucleosides.^[3,4]

The chemical reactivity of cyclobutanones is different from that of other large cyclic ketones, due to their ring strain of ca. 25 kcal/mol. This feature allows the synthetic chemist to use various strategies to obtain natural products and biologically active compounds.^[5] Cyclobutanones have been used in ring enlargement reactions for the construction of large rings, being themselves and their derivatives valuable precursors of important antiviral and anticancer agents, like carbovir and neplanocin.^[6-9]

Ruthenium tetroxide is known to be a very powerful oxidizing agent.^[10] This well-defined complex containing Ru (VIII) is a rather non-selective oxidant, often causing multiple bond rupture.^[11] A catalytic amount of RuO₄ using NaIO₄ as reoxidant has been used for the successful preparation of cyclobutanic synthons.^[12] Attempts to oxidize cyclobutanols leading to complex product mixtures have also been reported.^[13]

A large number of very mild oxochromium(VI)-amine reagents have been developed.^[14] The use of pyridinium dichromate (PDC) for the oxidation of alcohols started after Corey and Schmidt described the potential of this reagent.^[15] PDC permits the oxidation of primary and secondary alcohols containing acid-sensitive groups into the corresponding aldehyde or

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3 ketone.^[16] Oxidation of cyclobutanols has been reported using Ru(VIII)^[17] and Cr(VI)^[18] based
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5 reagents (Scheme 1).
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10 Hypervalent iodine reagents are becoming increasingly appreciated for their mild and highly
11 chemoselective oxidizing properties. Their use has been limited due to lack of stability and poor
12 solubility.^[1] Unlike other iodine (V) compounds, Dess-Martin periodinane (DMP) is a stable
13 compound with high solubility in most organic solvents.^[19] DMP has several advantages over Cr-
14 and Ru-based oxidants, including milder conditions, higher yields, simplified workups, and
15 tolerance to sensitive functional groups.^[20] DMP is especially useful for the oxidation of optically
16 active epimerization-sensitive substrates, such as cyclobutanic compounds, without loss of
17 enantiomeric excess.^[21]
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30 Sodium hypochlorite, a readily available, clean and cheap reagent, has been already reported
31 as an efficient reagent for the oxidation of cyclobutanols to the corresponding ketones.^[22]
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35 As part of our ongoing investigations, directed to the obtention of enantiopure cyclobutane
36 building blocks,^[2,23] it was necessary to found optimized conditions for the oxidation of 2,2,3-
37 trisubstituted cyclobutanols. Herein, we report our results in the oxidation of (1*S*,3*R*)-methyl 3-
38 hydroxy-2,2-dimethylcyclobutanecarboxylate **1a** and methyl 2-((1*R*,3*R*)-3-hydroxy-2,2-
39 dimethylcyclobutyl)acetate **1b** using different oxidizing agents, aimed at producing the
40 corresponding cyclobutanones.
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52 RESULTS AND DISCUSSION

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3 Cyclobutanols **1a** and **1b** were obtained from (1*S*,5*S*)- α -pinene or (1*S*,5*S*)-(-)-verbenone
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5 (Scheme 2) via the corresponding methyl esters **4a** and **4b**, which were obtained through a
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7 previously described pathway.^[24]
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12 Esters **4a** and **4b** were treated with *m*-chloroperbenzoic acid in methylene chloride in absence
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14 of light for 3 days to render diesters **5a** and **5b** in fairly good yield. Cyclobutanols were obtained
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16 by controlled hydrolysis of the corresponding acetates using cold potassium hydroxide in
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18 methanol. The compounds **1a** and **4a** were already described by us^[24] and the spectroscopical
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20 data of compounds **1b** and **4b** were in accordance with that reported previously for their
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22 enantiomers.^[25]
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28 Despite the unselective nature of RuO₄ as an oxidant, there are reports of the oxidation of
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30 cyclobutanic compounds using ruthenium-based oxidants.^[17] We performed the reaction in an
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32 heterogeneous phase with a catalytic amount of RuO₄ using NaIO₄ as reoxidant. However,
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34 reproducibility was not obtained due to the variability in yields of the desired product **2a**. The
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36 isolation of this product from the reaction mixture was difficult because some byproducts were
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38 present (Scheme 3).
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42 The same results were obtained when the oxidation of cyclobutanol **1b** was attempted (Table
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44 1, entry 1).
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49 PDC has been successfully used in the oxidation of a structurally-related primary alcohol,^[23]
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51 hence we decided to try it on the oxidation of **1a** and **1b**. The reaction was carried out in
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53 methylene chloride at room temperature. In this case, cyclobutanones **2a** and **2b** were obtained
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3 together with other products (Scheme 3). The yields obtained, using this oxidizer, are shown in
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5 Table 1, entry 2.
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10 Taking into account the results obtained using metal-based reagents, the search for efficient
11 reaction conditions persisted. To this end, we evaluated sodium hypochlorite as an oxidant for
12 compounds **1a** and **1b**. The reaction, performed in acetic acid with aqueous NaOCl at 0 °C,
13 produced only one compound in each case. These products resulted different to the ones expected
14 (Table 1, entry 3). As confirmed by NMR spectroscopy, these compounds were the same as the
15 main byproducts observed in the Ru- and Cr-based reactions. Analysis of mono- and
16 bidimensional ¹H and ¹³C NMR spectra allowed us to establish the structure of these new
17 products.
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29 HSQC NMR data for compound **3a** (Figure 1) showed the methylenic hydrogen atoms at 3.07
30 ppm and 2.69 ppm both joined to the δ 31.7 ppm carbon atom. In the case of **3b**, the two
31 methylenic carbon atoms appeared in the ¹³C-NMR spectrum at 35.1 and 34.4 ppm. The spatial
32 orientation of the geminal groups at the ring was assigned by NOESY spectra to the γ-
33 butyrolactones **3**. (Figure 2).
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44 Considering that two different regioisomeric γ-butyrolactones could have been obtained, the
45 chemical shifts for the methylenic carbon atoms confirmed that the reaction proceeds by a
46 Baeyer-Villiger-like (BV) rearrangement^[26] rendering **3a** or **3b** as a sole product.
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53 Little is known about the BV oxidation of cyclic ketones to lactones by hypochlorous acid.^[27]
54 HOCl resembles peroxyacids in being both a weak acid and an oxidizing agent. Hegedus et al.
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3 synthesized (+)-cerulenin via a BV oxidation of cyclobutanones using *m*-chloroperbenzoic
4 acid.^[28] There are some precedents in the treatment of a cyclobutanone with ruthenium tetroxide
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6 in the presence of aqueous hypochlorite. The products reported were the two possible lactones.^[13]
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9 However, to the best of our knowledge this is the first time a γ -butyrolactone is reported as the
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11 only product in the NaOCl-oxidation of cyclobutanols derived from terpenes. With this in mind,
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13 the obtention of only one regioisomeric γ -butyrolactone can be rationalized through the migration
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15 of the quaternary carbon atom, over the secondary one, on the intermediate cyclobutanones **2**.
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21 Moreover, we assayed the oxidation of cyclohexanol using sodium hypochlorite. **Although**, in
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23 this case only the cyclohexanone was obtained. The different ring strain energies between these
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25 cycloalkanones (cyclohexanone; 3 kcal/mol vs cyclobutanone; 25 kcal/mol)^[29] could explain this
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27 differential behavior.
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33 Finally, considering the previously cited advantages of DMP as a mild oxidant, we assayed
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35 this reagent on the oxidation of alcohols **1a** and **1b**. This reaction was performed in methylene
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37 chloride at room temperature. Notably, it took only one hour to afford cyclobutanones **2a** and **2b**
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39 as the only product with high yields, 78-93%. (Table 1, entry 4). The unequivocal **assignment** of
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41 their structures was made by bidimensional NMR, **HSQC and NOESY spectra**, and is shown in
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43 Figure 2.
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50 In summary, we found that DMP is the only reagent capable of affording cyclobutanones **2a**
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52 and **2b** from 2,2,3-trisubstituted cyclobutanols derived from terpenes (**1a** and **1b**) without
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54 byproducts. Additionally, sodium hypochlorite allowed the effective preparation of homochiral γ -
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56 butyrolactones **3a** and **3b**, useful starting materials for the preparation of nucleosidic
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3 compounds,^[30] from the same substrates, without the isolation of the corresponding ketones **2a**
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5 and **2b**.
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10 EXPERIMENTAL

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15 All the reactions were carried out in oven-dried flasks. Flash column chromatography was
16 performed on Kieselgel 60 silica. Commercially available reagents were used as supplied
17 (analytical or HPLC grade) without prior purification. Organic layers were dried over Na₂SO₄.
18 Thin layer chromatography was performed on aluminium plates coated with 60 F₂₅₄ silica. Plates
19 were visualised using UV light (254 nm), iodine, or 2.2 % v/v ethanolic *p*-anisaldehyde.
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30 Optical rotations were recorded on a Perkin-Elmer 343 polarimeter with a 10 cm cell.
31 Specific rotations are reported in 10⁻¹ deg cm² g⁻¹ and concentrations in g/100 mL. IR spectra
32 were recorded on a Nicolet 380 FT-IR spectrometer as a thin film on NaCl plates. NMR spectra
33 were recorded on a Bruker Avance 500 spectrometer in the deuterated solvent stated. Spectra
34 were recorded at rt unless otherwise stated. The field was locked by external referencing to the
35 relevant deuterium resonance. Chemical shifts (δ) are reported in ppm. [High resolution mass](#)
36 [spectra were obtained on a Bruker micrOTOF-Q II™ spectrometer.](#) (1*S*,5*S*)-α-pinene and
37 (1*S*,5*S*)-(-)-verbenone were purchased from Acros Organics and were used without purification.
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51 Sample Experiments

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54 **(1*S*)-Methyl 2,2-dimethyl-3-oxocyclobutanecarboxylate (2a)**^[24]: DMP (1.38 g, 3.25
55 mmol, 1.3 equiv) was added to a solution of **1a** (410 mg, 2.6 mmol) in CH₂Cl₂ (10 mL) at 0 °C.
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3 The resultant solution was stirred at 0 °C for 1 h. The white precipitate was filtered then 10 %
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5 (w/v) aq Na₂S₂O₃ (6 mL) was added and the product mixture was stirred for 15 min. The organic
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7 layer was separated, washed with satd aq NaHCO₃ (2x7mL) and brine (2x7mL), then dried and
8
9 concentrated under vacuum to afford **2a** (380 mg, 94%). [α]_D + 17.7 (*c* 1.19, CHCl₃); ¹H NMR
10
11 (500 MHz, CDCl₃): δ 1.06 (s, 3H), 1.26 (s, 3H), 2.92 (dd, *J*= 9.0 Hz, 7.4 Hz, 1H), 3.05 (dd, *J*=
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13 17.9 Hz, 8.9 Hz, 1H), 3.48 (dd, *J*= 17.9 Hz, 7.3 Hz, 1H), 3.71 (s, 3H); ¹³C NMR (125 MHz,
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15 CDCl₃): δ 18.3, 23.0, 40.5, 44.7, 51.9, 64.3, 172.5, 211.1; IR: 2956, 2871, 1784, 1728, 1437,
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17 1354, 1178, 1065 cm⁻¹. HRMS calcd for C₈H₁₃O₃ (MH⁺) *m/z* 157.0859, found *m/z* 157.0859.
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25 **Methyl 2-((3S)-tetrahydro-5,5-dimethyl-2-oxofuran-4-yl)acetate (3b):** NaOCl {17%
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27 (w/v) in H₂O, 4 mL, 9 mmol, 15 equiv} was added dropwise to a solution of **1b** (100 mg, 0.58
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29 mmol) in AcOH (2 mL) at 0 °C. The resultant solution was stirred at 0 °C for 2 h, and then
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31 extracted with CH₂Cl₂ (15 mL). The organic extract was washed with satd aq NaHCO₃
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33 (2x10mL), then dried and concentrated under vacuum to afford **3b** as a pale yellow oil (90 mg, 83
34
35 %). [α]_D -22.3 (*c* 0.13, CHCl₃); ¹H NMR (500 MHz, CDCl₃): δ 1.29 (s, 3H), 1.48 (s, 3H), 2.35
36
37 (d, *J*= 10.1 Hz, 1H), 2.38 (dd, *J*= 10.2 Hz, 1.7 Hz, 1H), 2.52 (dd, *J*= 15.8 Hz, 4.9 Hz, 1H), 2.72
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39 (m, 1H), 2.84 (dd, *J*= 17.4 Hz, 8.2 Hz, 1H), 3.72 (s, 3H); ¹³C NMR (125 MHz, CDCl₃): δ 22.2,
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41 27.3, 34.4, 35.1, 41.5, 52.1, 85.8, 171.8, 174.9; IR: 2976, 1774, 1736, 1438, 1377, 1313, 1267,
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43 1171 cm⁻¹. HRMS calcd for C₉H₁₄O₄Na *m/z* 209.0790, found *m/z* 209.0784.
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52 Acknowledgements

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6 CONICET for a research fellowship.
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12 Supporting Information: Full experimental detail and ^1H and ^{13}C NMR spectral can be found
13 via the “Supplementary Content” section of this article’s webpage.
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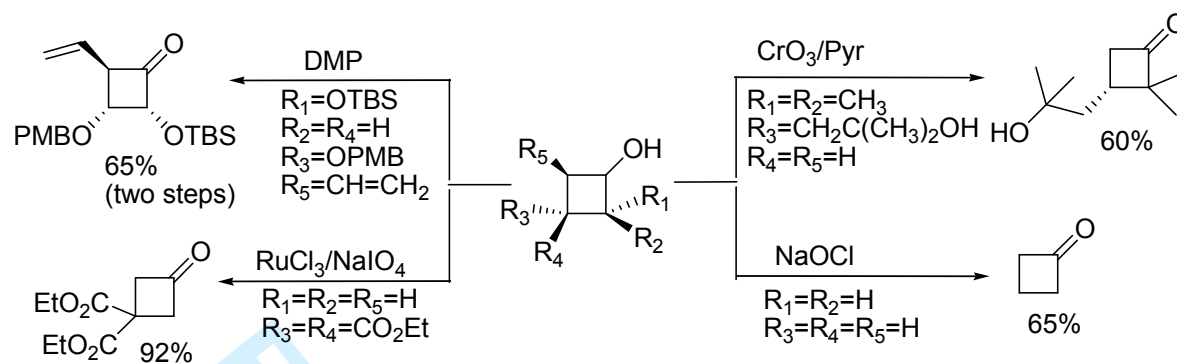
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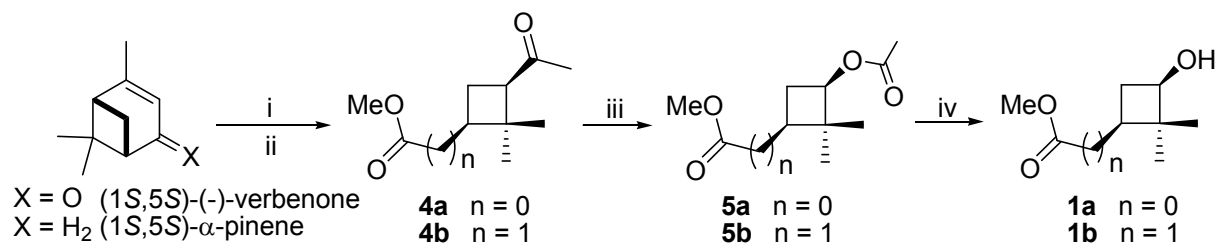
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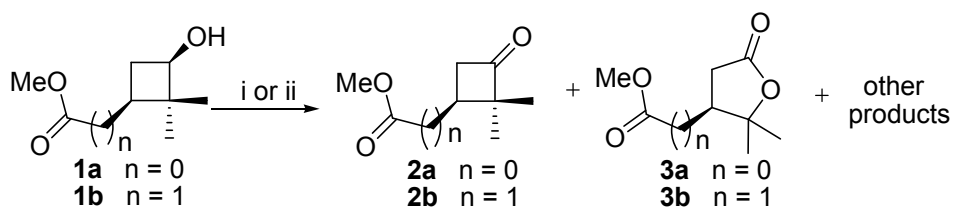
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Scheme 1. Reported oxidations of cyclobutanols with different oxidizing agents.



Scheme 2. Synthesis of alcohols **1a** and **1b**. Reagents and conditions: (i) RuCl₃/NaIO₄;
(ii)CH₂N₂; (iii) *m*-CPBA; (iv) KOH.



11 **Scheme 3.** Synthesis of compounds **2** and **3**. Reagents and conditions: (i) RuCl₃/NaIO₄; (ii) PDC.
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Table 1: Products of the oxidation of **1a** and **1b**.

Entry	Reagent	Products from [Yields(%)]	
		1a	1b
1	RuCl ₃ /NaIO ₄	2a/3a	2b/3b
		53:47 ^a	61:39 ^a
2	PDC	2a/3a	2b/3b
		66:34 ^a	71:29 ^a
3	NaOCl	3a	3b
		70 ^b	83 ^b
4	DMP	2a	2b
		93 ^b	78 ^b

a: Calculated from NMR spectra integrations.

b: Isolated yield.

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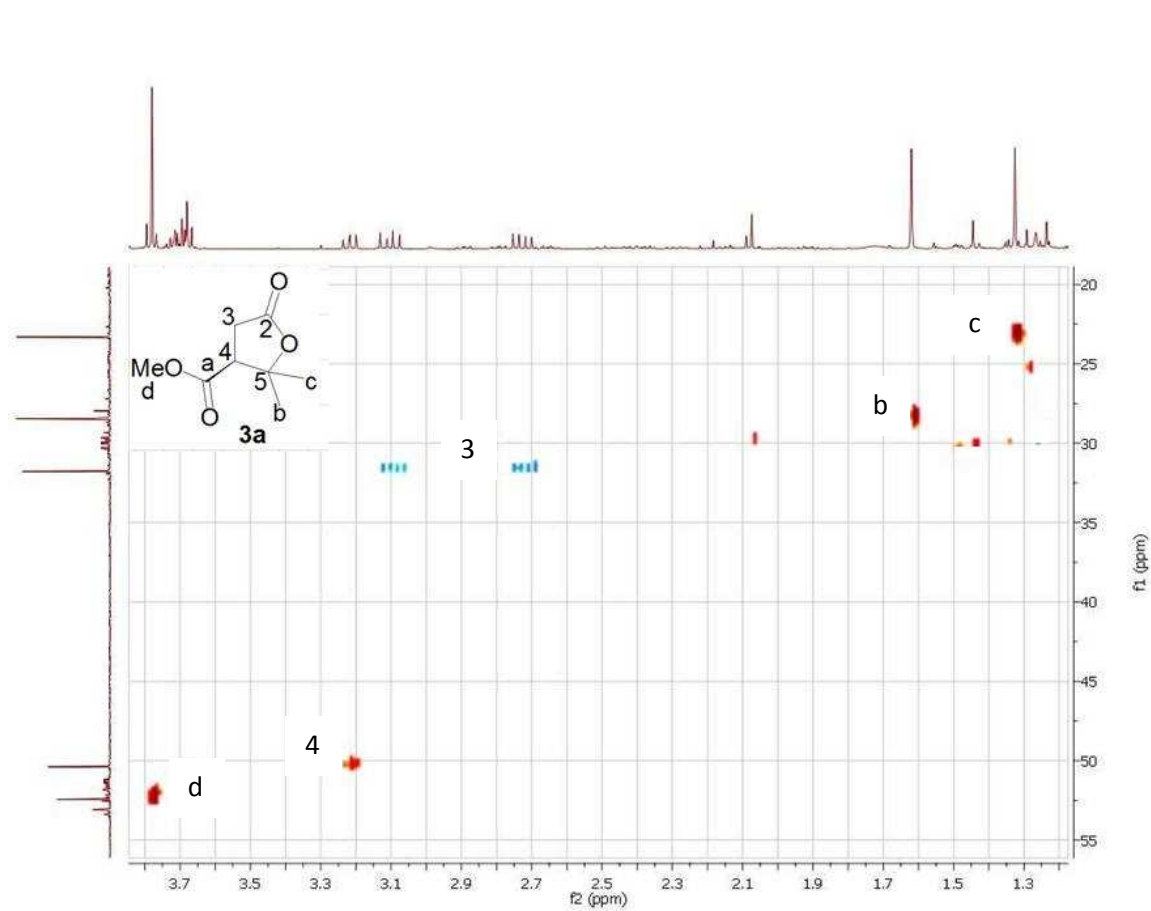


Figure 1. HSQC NMR spectrum of γ -butyrolactone **3a**.

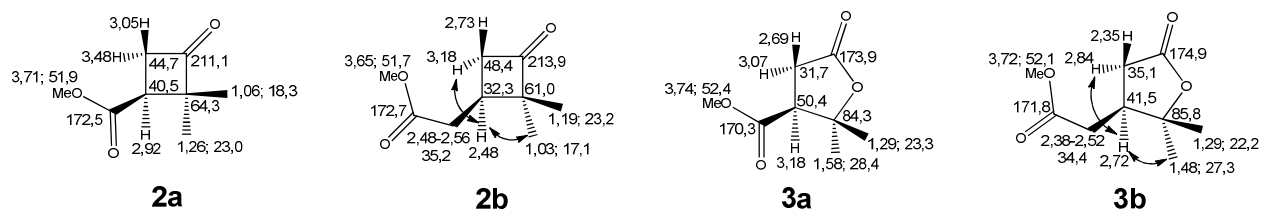


Figure 2. Structural assignment of cyclobutanones **2a**, **2b** and γ -butyrolactones **3a**, **3b**. NOE correlations are shown by double arrow.

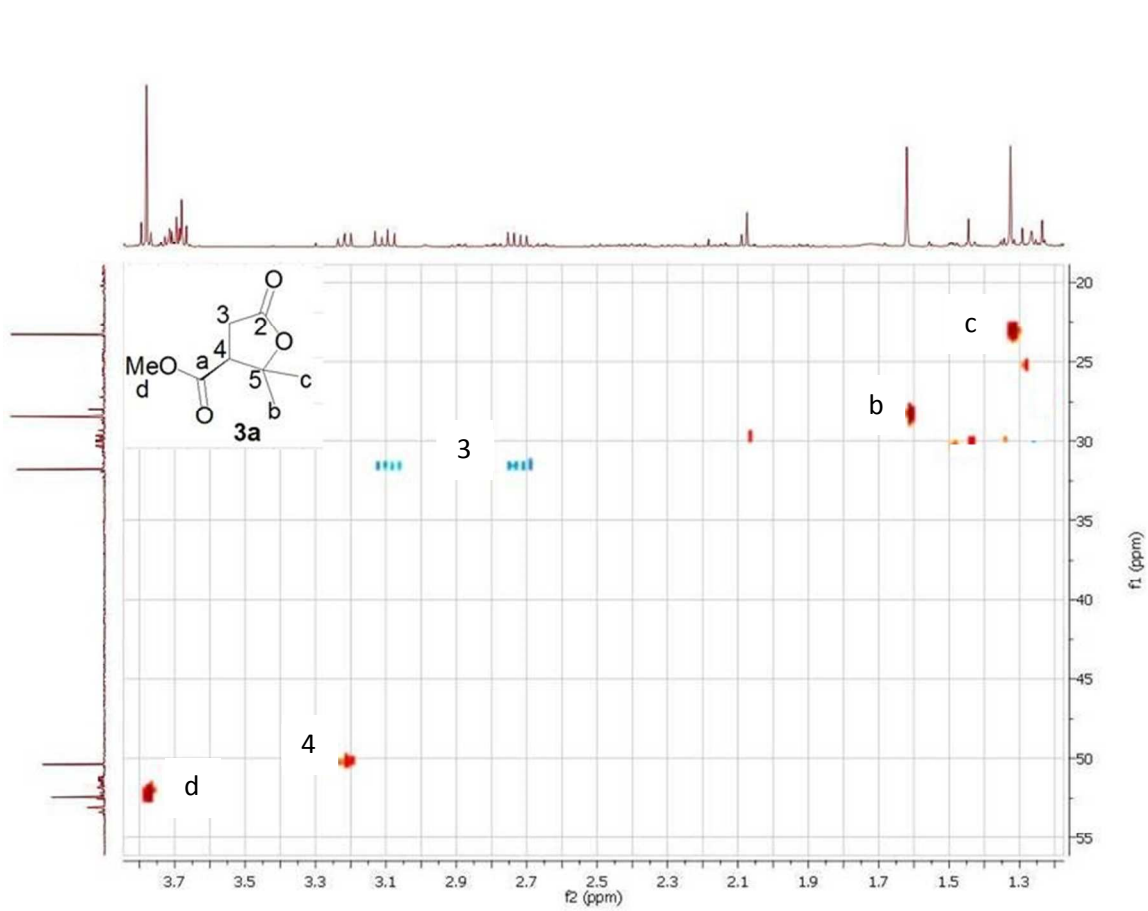


Figure 1. HSQC NMR spectrum of γ -butyrolactone **3a**.

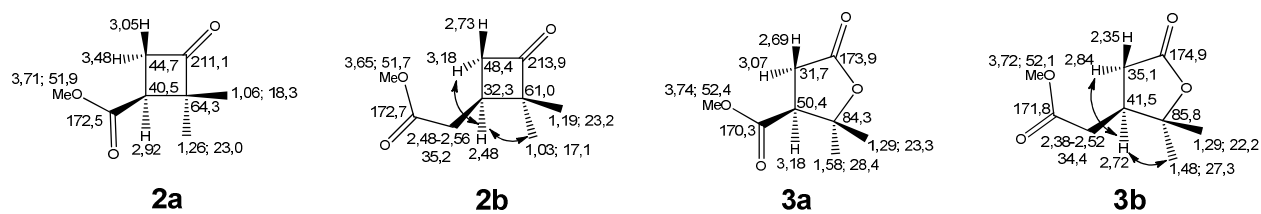


Figure 2. Structural assignment of cyclobutanones **2a**, **2b** and γ -butyrolactones **3a**, **3b**. NOE correlations are shown by double arrow.

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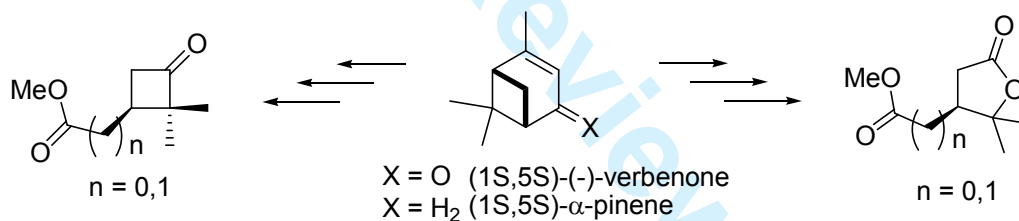
Differential oxidation conditions of substituted cyclobutanols derived from terpenes.

Juan A. Caturelli Kuran and Albertina Moglioni.*

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Supporting Information

Supporting Informations**Differential oxidation conditions of substituted cyclobutanols
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Buenos Aires, (C1113AAD), Argentina.*

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	¹ H-NMR spectrum of 3b	S-8
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Supporting Information

General Methods:

All the reactions were carried out in oven-dried flasks. Flash column chromatography was performed on Kieselgel 60 silica. Commercially available reagents were used as supplied (analytical or HPLC grade) without prior purification. Organic layers were dried over Na₂SO₄. Thin layer chromatography was performed on aluminium plates coated with 60 F₂₅₄ silica. Plates were visualised using UV light (254 nm), iodine, or 2.2 % v/v ethanolic *p*-anisaldehyde.

Optical rotations were recorded on a Perkin-Elmer 343 polarimeter with a 10 cm cell. Specific rotations are reported in 10⁻¹ deg cm² g⁻¹ and concentrations in g/100 mL. IR spectra were recorded on a Nicolet 380 FT-IR spectrometer as a thin film on NaCl plates. NMR spectra were recorded on a Bruker Avance 500 spectrometer in the deuterated solvent stated. Spectra were recorded at rt unless otherwise stated. The field was locked by external referencing to the relevant deuterium resonance. Chemical shifts (δ) are reported in ppm. [High resolution mass spectra were obtained on a Bruker micrOTOF-Q II™ spectrometer.](#) (1*S*,5*S*)-α-pinene and (1*S*,5*S*)-(-)-verbenone were purchased from Acros Organics and were used without purification.

Experimental Procedure and Product Characterization

Representative procedure A: Ru-based oxidation of cyclobutanols

A solution of RuO₄ in CCl₄ was prepared by mixing a solution of RuCl₃ hydrate (Ru content 45%, 178 mg, 0.86 mmol) in CCl₄ (100 mL) with a solution of NaIO₄ (900 mg, 4.2 mmol) in H₂O (100 mL). The two-phase system was stirred overnight, then the organic layer was separated and the aqueous layer was extracted with CCl₄ (2 x 30 mL). The combined organic extracts were used in the subsequent oxidation.

Supporting Information

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3 The RuO₄ solution (5.38 μmol/mL, 0.13 mol %) was added dropwise (5.2 mL) to a solution of the
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5 requisite cyclobutanol (1.0 equiv) in CHCl₃ (20 mL), then a solution of NaIO₄ (2.2 equiv) in H₂O (20
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7 mL) was added. The two-phase system was stirred for 24 h at rt. The organic layer was run off and
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9 the aqueous layer was extracted with CH₂Cl₂. The combined organic extracts were washed with
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11 brine, then dried and concentrated under vacuum to provide a mixture of **2** and **3** as a yellow oil.
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Representative procedure B: Cr-based oxidation of cyclobutanols

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21 PDC (1.1 equiv) was added to a solution of the requisite cyclobutanol (1.0 equiv) in CH₂Cl₂ (81
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23 mL). The resultant solution was bubbled with nitrogen and stirred for 4 h at rt. Celite[®] (3g) was
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25 added and stirring was continued for 5 min. The solution was vacuum filtered through Celite[®]. The
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27 clear organic solution was washed with brine, then dried and concentrated under vacuum to afford a
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29 mixture of **2** and **3** as a yellow oil.
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Representative procedure C: NaOCl-mediated oxidation of cyclobutanols

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39 NaOCl (14 equiv) was added dropwise to a solution of the requisite cyclobutanol (1.0 equiv) in
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41 AcOH (6 mL) at 0 °C. The resultant solution was stirred at 0 °C for 2 h, and then extracted with
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43 CH₂Cl₂. The organic extract was washed with satd aq NaHCO₃, then dried and concentrated under
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45 vacuum.
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Representative procedure D: DMP-mediated oxidation of cyclobutanols

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54 DMP (1.3 equiv) was added to a solution of requisite cyclobutanol (1.0 equiv) in CH₂Cl₂ (10 mL)
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56 at 0 °C. The resultant solution was stirred at 0 °C for 1 h. The white precipitate was filtered then 10
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Supporting Information

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3 % (w/v) aq Na₂S₂O₃ (6 mL) was added and the product mixture was stirred for 15 min. The organic
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5 layer was separated, washed with satd aq NaHCO₃ and brine, then dried and concentrated under
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7 vacuum.
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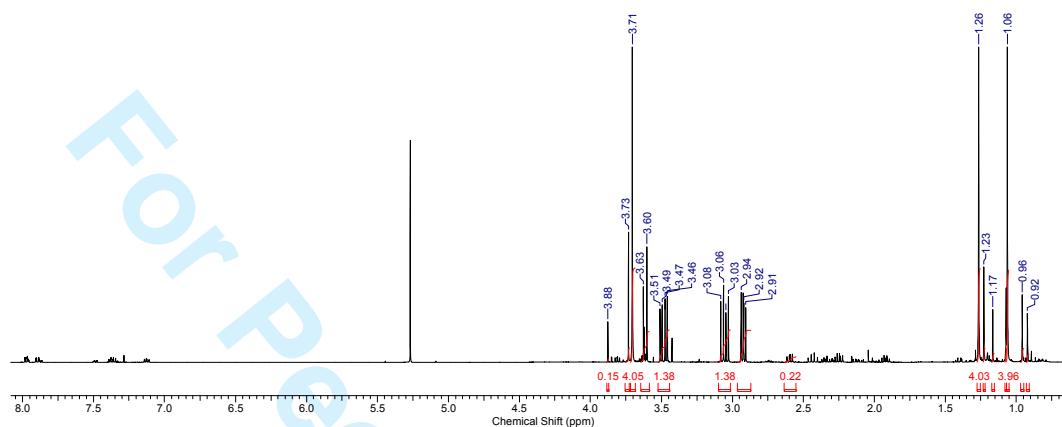
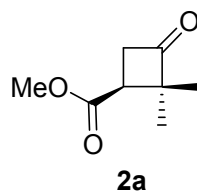
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11 **Methyl 2-((1R)-2,2-dimethyl-3-oxocyclobutyl)acetate (2b):** Following *general procedure*
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13 *D*, reaction of **1b** (270 mg, 1.57 mmol) and DMP (840 mg, 1.98 mmol) afforded **2b** as an oil (210
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15 mg, 79%). [α]_D = - 1.4 (*c* 0.65, MeOH); ¹H NMR (500 MHz, CDCl₃): δ 1.03 (s, 3H), 1.19 (s, 3H),
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17 2.48 (m, *J*= 7.4 Hz, 4.9 Hz, 2H), 2.56 (dd, *J*= 10.5 Hz, 8.5 Hz, 1H), 2.73 (dd, *J*= 17.9 Hz, 6.6 Hz,
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19 1H), 3.18 (dd, *J*= 17.6 Hz, 8.2 Hz, 1H), 3.65 (s, 3H); ¹³C NMR (125 MHz, CDCl₃): δ 17.1, 23.2,
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21 32.3, 35.2, 48.4, 51.7, 61.0, 172.7, 213.9; IR: 2956, 2924, 2852, 1778, 1736, 1464, 1186 cm⁻¹. All
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23 spectroscopical data are in accordance with that described for its enantiomer.^[1]
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30 **(3S)-Methyl tetrahydro-5,5-dimethyl-2-oxofuran-4-carboxylate (3a):** Following *general*
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32 *procedure C*, reaction of **1a** (320 mg, 2.02 mmol) and NaOCl {17% (w/v) in H₂O, 12 mL, 27 mmol}
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34 afforded **3a** as a pale yellow oil (242 mg, 70 %). [α]_D = +4.0 (*c* 0.46, CHCl₃); ¹H NMR (500 MHz,
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36 CDCl₃): δ 1.29 (s, 3H), 1.58 (s, 3H), 2.69 (dd, *J*= 17.9 Hz, 8.7 Hz, 1H), 3.07 (dd, *J*= 17.9 Hz, 9 Hz,
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38 1H), 3.18 (t, *J*= 9 Hz, 1H), 3.74 (s, 3H); ¹³C NMR (125 MHz, CDCl₃): δ 23.3, 28.4, 31.7, 50.4, 52.4,
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40 84.3, 170.3, 173.9; IR: 2956, 1780, 1735, 1437, 1361, 1172, 1120 cm⁻¹. All spectroscopical data are
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42 in accordance with that described for its enantiomer.^[2]
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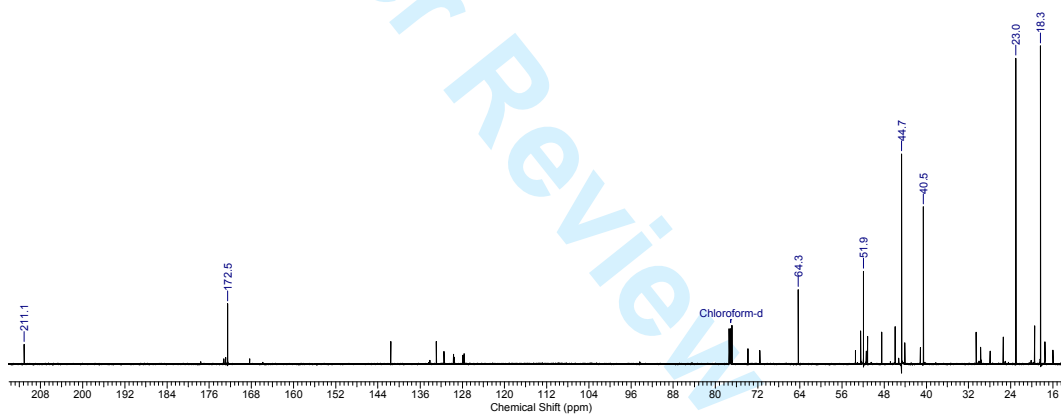
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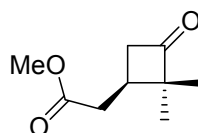
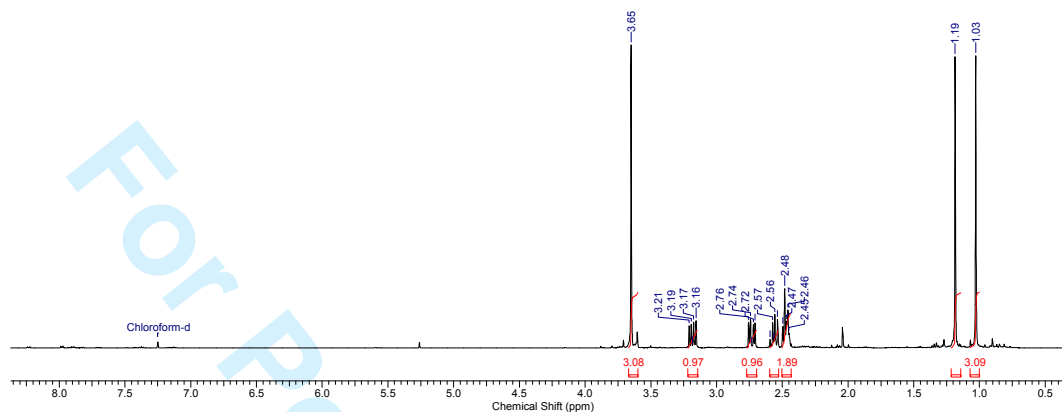
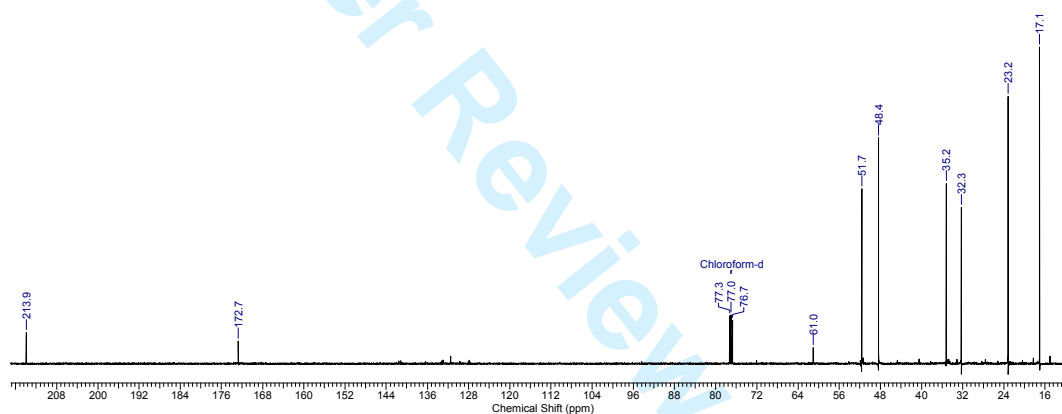


¹H NMR spectrum of **2a** (500 MHz, CDCl₃)

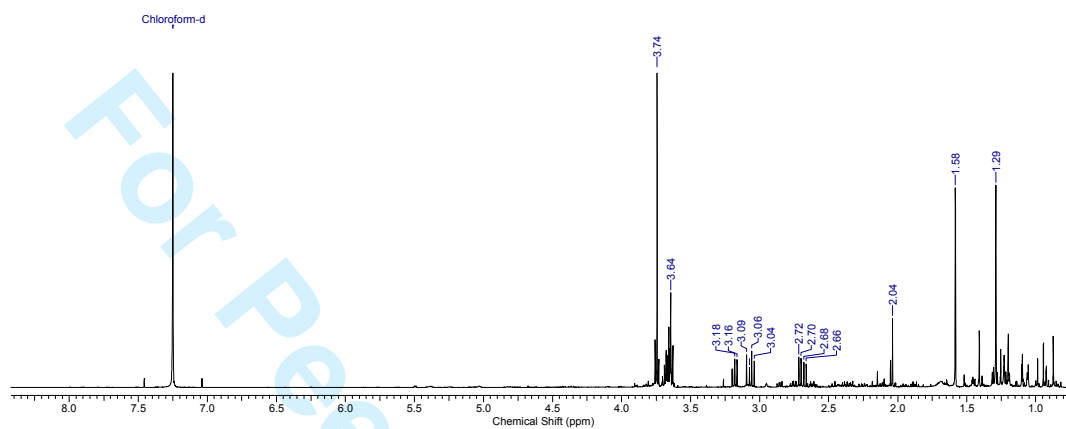
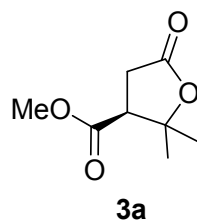


¹³C NMR spectrum of **2a** (125 MHz, CDCl₃)

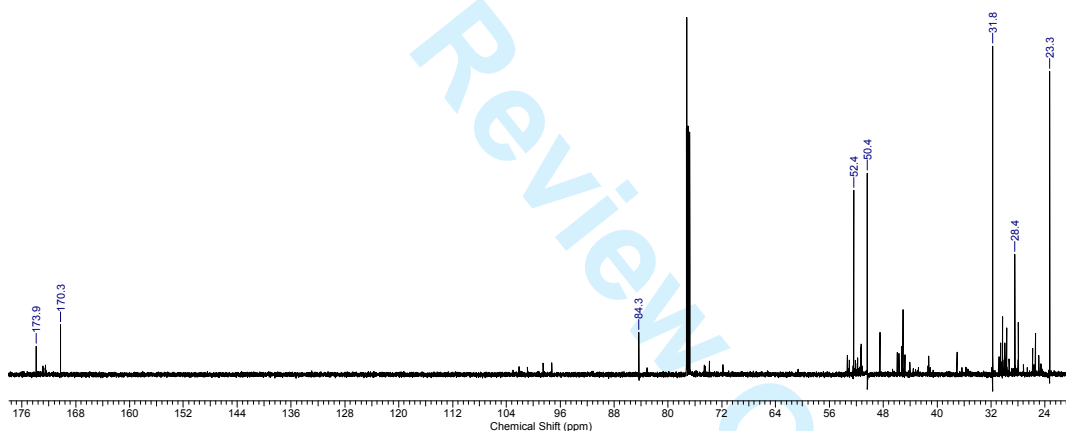
Supporting Information

**2b**¹H NMR spectrum of **2b** (500 MHz, CDCl₃)¹³C NMR spectrum of **2b** (125 MHz, CDCl₃)

Supporting Information

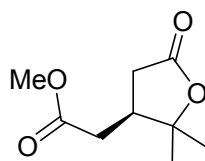
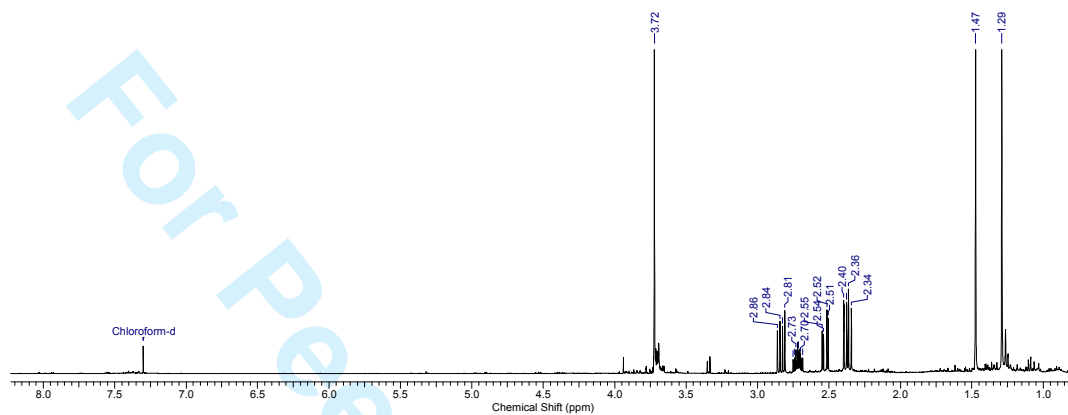
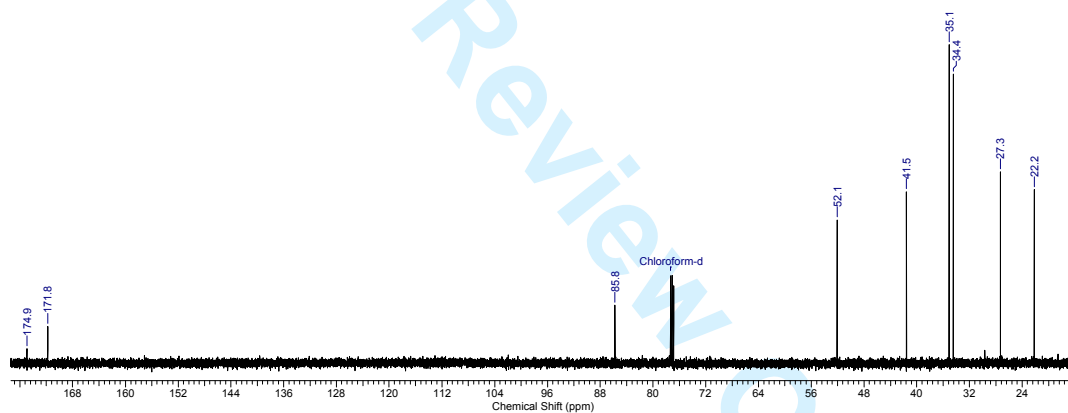


¹H NMR spectrum of **3a** (500 MHz, CDCl₃)



¹³C NMR spectrum of **3a** (125 MHz, CDCl₃)

Supporting Information

**3b**¹H NMR spectrum of **3b** (500 MHz, CDCl₃)¹³C NMR spectrum of **3b** (125 MHz, CDCl₃)