## ORIGINAL CONTRIBUTION

# Synthesis and micellization properties of triblock copolymers PDMAEMA-b-PCL-b-PDMAEMA and their applications in the fabrication of amphotericin B-loaded nanocontainers

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**Abstract** In this study, we report the synthesis of PDMAEMA-*b*-PCL-*b*-PDMAEMA via ATRP starting from two dibromide-end polycaprolactone (PCL) of 2 and 10 kDa. The copolymerization was confirmed by nuclear magnetic resonance and gel permeation chromatography. The micellar properties of copolymers with different compositions were studied at pH 5.0, 6.0, 7.0, and 7.5. According to results, properties such as critical micellar concentration (CMC), hydrophobicity of micelle cores, and particle size strongly depend on the length of PCL. The pH shows an important effect on the size of the colloidal aggregates. Micelles obtained from copolymers with the lowest polymerization degree of both segments showed to be more appropriate for the encapsulation of amphotericin B (AmB).

**Keywords** Polycaprolactone · Block copolymer · Micelles · Atom transference radical polymerization

# Introduction

The use of polymer micelles (PM) obtained by self-assembly of block copolymers in aqueous media, as nanocontainers for hydrophobic or poorly soluble drugs have devoted great interest [1–3]. PMs are composed of two separated domains, an inner core and an outer shell. The outer shell controls the micelle solubility and in vivo interaction with tissues and cells, while the inner core is responsible for drug loading and stability. The

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properties of PM result from their high colloidal stability which is afforded by the presence of hydrophilic segments, and their low critical micellar concentration (CMC) compared with low molecular weight surfactants. Some of the advantages of using PMs as drug nanocarriers include their ability to protect the drugs allowing them to maintain their activity, reduce their toxicity and secondary effects during the circulation time, and also permit controlling its concentration in the plasma [4, 5].

Although there is a huge number of block copolymers with potential biomedical applications, amphiphilic block copolymers composed of polycaprolactone (PCL) and poly(*N*,*N*-dimethylaminoethyl methacrylate) (PDMAEMA) evoke great interest due to the outstanding physical and chemical properties of the individual blocks. PCL, a hydrophobic polymer approved by the FDA for biomedical applications, is biocompatible, biodegradable, and highly permeable to drugs and presents high capacity of encapsulating hydrophobic substances [6, 7]. There are numerous reports concerning about the synthesis of block copolymers containing PCL with application in the fabrication of micelles for drug delivery. PCL is commonly combined with hydrophilic blocks such as polyvynilpyrrolidone, polyethyleneglycol (PEG), and poly(*N*-isopropylacrylamide) [8–11].

Although previous reports indicate that the elevated concentration of amino groups in the chains of PDMAEMA results in high toxicity, the copolymerization with polymers such as PCL and PEG not only reduces the concentration of amino groups but also imparts biocompability [12–15]. The biocompatibility of PDMAEMA achieved on its copolymerization summed to its pH responsive behavior render the materials containing it very promising for the design of sensitive drug delivery systems [16–20].

Atom transfer radical polymerization (ATRP) is a "controlled/living" radical polymerization widely used for its good control over molecular weight and dispersity and its applicability in the synthesis of polymers starting from preformed materials and surfaces [21, 22]. In this study, we started from



two dibromide-end PCL samples having different molecular weight, 2 and 10 kDa. The triblock copolymer were obtained by the polymerization of 2-(dimethylamino)ethyl methacrylate (DMAEMA) using Cu(I)Br/N,N,N',N'',N''-pentamethyldiethylenetriamine as catalyst and in the presence of Br-PCL-Br macroinitiators.

Although researches recently show that polymer materials containing segments of PCL and PDMAEMA can be potentially used in drug delivery applications [13, 15, 23–28], there is not a general consensus about the effect of their structure on their performance. The aim of this study is to establish relationships between the composition and molecular weight of triblock copolymers PDMAEMA-b-PCL-b-PDMAEMA with the colloidal properties of micelles obtained from their assembly in aqueous medium. This research also intends to determine the effect of properties of the PM such as CMC, core hydrophobicity, and size on their ability of encapsulating amphotericin B (AmB), which is an antifungal agent with excellent performance but poor solubility and toxicity that limit its pharmaceutical application [29]. Compared with conventional drugs, AmB has high molecular weight, exhibits amphiphilic character, and has high tendency to aggregate, which also determines its encapsulation in micellar aggregates.

# **Experimental part**

### Materials

DMAEMA (98 %) was passed through a column packed with basic alumina.  $\alpha$ , $\omega$ -Dihydroxy-poly( $\varepsilon$ -caprolactone) of  $M_{\rm n}=2$  and 10 kDa was purified by precipitation from a mixture of tetrahydrofuran (THF) and methanol. 2-Bromoisobutyryl bromide (BIBB; 98 %), triethylamine (>99 %), cupper(I) bromide (CuBr; 98 %), N,N,N,N,N",N"-pentamethyldiethylenetriamine (PMDETA; 99 %), pyrene (98 %), anisole, and amphotericin B were used without any additional purification. All the reagents as well the solvents (hexane, dimethylsulfoxide, N,N-dimethylformamide, acetone, methanol, and tetrahydrofurane) were supplied by Sigma-Aldrich.

# Synthesis procedures

Synthesis of Br-PCL-Br terminated In a typical procedure for PCL  $M_{\rm n}$ =10 kDa, 5 g of diol-ended PCL (0.35 mmol) was dissolved in 50 mL of anhydrus dichloromethane with 500 µL of tryelthylamine (3.5 mmol) while stirring under argon atmosphere. After that, 431 µL of BIBB (3.5 mmol) is added dropwise to the abovementioned solution, previously cooled using an ice—water bath. The reaction mixture was stirred during 24 h at room temperature. The product was precipitated by the addition of an excess of methanol, recovered by

filtration, and subjected to three precipitation cycles. The same procedure was used to modify PCL of 2 kDa.

Synthesis of PDMAEMA-b-PCL-b-PDMAEMA A typical protocol for ATRP of PDMAEMA using Br-PCL-Br  $(M_n =$ 10 kDa) as macroinitiator and Cu(I)Br/PMDETA was as follows: In a Schlenk tube, Br-PCL-Br (0.5 g, 36 µmol) was dissolved in 5 mL of anisole to which DMAEMA (0.61 g, 3.9 mmol) and PMDETA (12.5 mg, 72 µmol) were added. The system was purged with argon during 15 min and deoxygenated by three freeze-pump-thaw cycles. Then CuBr (5.2 mg, 36 µmol) was added, the system was degassed by two additional freeze-pumpthaw cycles, and the polymerization reaction was allowed to proceed at 60 °C, under argon atmosphere. The reaction product was passed through a column packed with basic alumina to eliminate cupper residuals and purified by three successive precipitations from a THF solution by the addition of either methanol or hexane depending on the composition of the copolymers.

## Formation of micelle aggregates

The copolymer micelles were prepared by a nanoprecipitation method [30]. In detail, 20 mg copolymer sample was dissolved in 2.5 mL of acetone. Then, the solution was added dropwise into 5 mL of a buffer solution of pH 5.0, 6.0, 7.0, or 7.5 under vigorous stirring. The resulting dispersion was maintained under stirring during 24 h at room temperature to allow the acetone evaporation.

# Characterization techniques

 $^{1}$ H NMR and  $^{13}$ C NMR spectra were collected in a Bruker Avance III spectrometer operated at 300 MHz. Samples were dissolved in CDCl<sub>3</sub> and the spectra were recorded at 303 K. Chemical shifts ( $\delta$ ) were expressed in parts per million with respect to the CDCl<sub>3</sub> signals.

Molecular weight and distribution were measured by gel permeation chromatography (GPC) in a Waters HPLC equipped with a differential refraction index detector. The analyses were performed in THF at a flow rate of 0.8 mL/min using a HR 4E column. The calibration curve was constructed with standards of polystyrene with  $M_p$  values of 1920, 3250, 10,250, 24,000, 32,500, and 67,500 Da.

Micelles average diameter was determined by dynamic light scattering (DLS) using a Horiba LB 550 equipment using a detector located at 173°. The measurements were carried out at 23 °C in aqueous dilutions of the samples ( $\approx$ 1/20) prepared using deionized water ( $\sim$ 18 M $\Omega$  cm), in order to avoid particle–particle interactions and multiple scattering effects, each dispersion



plot corresponds to an average of 128 measurements acquired during 2 s.  $\zeta$  potential was measured using a zeta potential analyzer Malvern Zetasizer Nano ZS.  $\zeta$  potential was determined three times for each sample.

For TEM analysis, 2  $\mu$ L of the diluted samples (0.1 mg/mL) was spilled into a copper grid Formvar<sup>®</sup> coated and dried at room temperature during 24 h; the images were obtained in a Jeol 1400 plus microscope.

CMC of block copolymers was determined by fluorescence using pyrene as a fluorescence probe, based on procedures previously reported [31, 32]. Briefly, PDMAEMA-b-PCL-b-PDMAEMA micelles were prepared with various concentrations (3.8 to  $8 \times 10^{-6}$  mg/mL) with a fixed concentration of pyrene of 0.6  $\mu$ M. The pH of the micelle dilutions were fixed at 5.0, 6.0, 7.0, and 7.5. Excitation spectra of pyrene from 300 to 360 nm were monitored at 390 nm for each dilution using a fluoresce spectrometer Cary Eclipse. The CMC was determined plotting the ratio of the intensities at 335 and 332 nm ( $I_{335}/I_{332}$ ) vs log concentration.

# Preparation of AmB-loaded micelles

A typical protocol for the preparation of AmB-loaded block copolymer micelles was as follows: 2 mg of AmB was dissolved in 2 mL of methanol and slowly droplet (10  $\mu$ L/min) into 10 mL of a micelle solution at pH 5.0 containing 4 mg/mL of the corresponding copolymer. The resulting solution was gently stirred during 1 h under reduce pressure to eliminate methanol residuals. Finally, the dispersion was centrifuged at 10,000 rpm to eliminate the non-encapsulated AmB and lyophilized for its posterior use.

The amount of AmB encapsulated in the PMs was determined by UV-vis spectroscopy using a Varian Cary 100. The quantification was performed as follows, aliquots of 1 mL of encapsulated AmB aqueous dispersions were mixed with an equal volume of DMF and analyzed by UV-vis, and the quantification was performed using a calibration curved obtained from the absorbance at 411 nm, prepared by direct dilution of AmB in a 50:50 (vol/vol) water/DMF mixture.

The loading efficiency (DLE%) as well as the drug content (DLC%) were estimated using equations proposed by Zhang et al. [13] as follows:

$$DLC\% = \frac{amount of AmB in PMs}{amount of AmB + PMs}$$
 (1)

$$DLE\% = \frac{\text{amount of AmB in PMs}}{\text{amount of AmB used for PMs preparation}} \quad \ (2)$$

#### Results and discussion

Synthesis of triblock copolymers PDMAEMA-*b*-PCL-*b*-PDMAEMA

Amphiphilic triblock copolymers PDMAEMA-*b*-PCL-*b*-PDMAEMA were synthesized by ATRP using a dibromide-end polycaprolactone (Br-PCL-Br) as macroinitiator and CuBr/PMDETA as a catalyst, as shown in Scheme 1.

Two  $\alpha$ , $\omega$ -dihydroxy-PCL samples with different  $M_n$  values of 2 and 10 kDa were transformed into Br-PCL-Br by reacting with an excess of BIBB. The reaction was assessed by  $^1$ H NMR; the anchorage of bromoisobutiryl groups was indicated by the absence of the signal at 3.62 ppm of the hydroxymethylene terminal groups of PCL, and also by the presence of a new signal at 1.96 ppm due to methyl groups of BIBB, as shown in Fig. 1.

Triblock copolymers PDMAEMA-*b*-PCL-*b*-PDMAEMA containing different lengths of PCL and PDMAEMA were synthesized using the reagent molar ratios and reaction times listed in Table 1. The block copolymerization was confirmed by NMR and GPC. A representative spectrum of a purified triblock copolymer PDMAEM-*b*-PCL-*b*-PDMAEMA is shown in Fig. 2a. The spectrum presents the characteristic signal of methylene (–CH<sub>2</sub>–) groups in PCL ( $\delta$  ~1.40, 1.67, 2.32, and 4.08 ppm) and also signals due to PDMAEMA block such as –CH<sub>2</sub>–C(CO)–CH<sub>3</sub> ( $\delta$  ~1.05 ppm), –CH<sub>2</sub>–C-CH<sub>3</sub> ( $\delta$  ~1.89), –N(CH<sub>3</sub>)<sub>2</sub> ( $\delta$  ~2.32 ppm), –CH<sub>2</sub>–N(CH<sub>3</sub>)<sub>2</sub> ( $\delta$  ~2.61 ppm), and –O–CH<sub>2</sub>–CH<sub>2</sub>–N ( $\delta$  ~4.08 ppm) are clearly distinguished [33, 34].

The average number molecular weight of the copolymers  $(M_n)$ , and the polymerization degree of DMAEMA  $(X_{\text{DMAEMA}})$  relative to PCL were estimated from the <sup>1</sup>H NMR, using equations showed below:

$$X_{\rm DMAEMA} = I_{\rm f} \times \frac{M_{\rm n}PCL}{114} \tag{3}$$

$$M_{\rm n} = M_{\rm n} PCL + (157.2 \times X_{\rm DMAEMA}) \tag{4}$$

Where  $I_f$  is the ratio of the intensity of signals at 2.61 ppm that corresponds to  $-CH_2-N$  groups of PDMAEMA, and 1.40 ppm assigned to  $-CH_2$ - of PCL.

 $^{13}$ C-APTNMR spectra were collected to further confirm the formation of block copolymers. As shown in Fig. 2b, the spectrum of P10D2 shows signals due to both segments; the presence of PCL segments is inferred by signals due to −CH<sub>2</sub>−( $\delta$  ~24.74, 25.65, 28.48, 34.09, 64.25 ppm) and C=O ( $\delta$  ~173.72 ppm). Whereas the segment of PDMAEMA is identified by resonance peaks such as −CH<sub>2</sub>−C−CH<sub>3</sub> ( $\delta$  ~44.77 ppm), −N(CH<sub>3</sub>)<sub>2</sub> ( $\delta$  ~45.45 ppm), −CH<sub>2</sub>−C(CO)−CH<sub>3</sub> ( $\delta$  ~55.17 ppm), −CH<sub>2</sub>−N(CH<sub>3</sub>)<sub>2</sub> ( $\delta$  ~57.08 ppm), −O−



Scheme 1 Synthetic pathway of PDMAEMA-b-PCL-b-PDMAEMA triblock copolymers via ATRP of DMAEMA

CH<sub>2</sub>–CH<sub>2</sub>–N ( $\delta$  ~63 ppm) and C=O ( $\delta$  ~177 ppm). The assignation of signals was performed according to a previously published work [33].

GPC traces of block copolymers obtained at two different reaction times (Fig. 3a, b), show that the samples exhibit a monomodal distribution and also indicate that as the reaction proceeds, the hydrodynamic volume of the molecules increases. The molecular weight dispersities (*D*) obtained from a relative calibration curve made with PS standards are listed in Table 1. These values, typical of living free radical polymerizations, point out that under the selected conditions, there was control on the polymerization reactions. Further evidence of the controlled characteristics of the polymerization reactions using both macroinitiators is deduced from the linear

dependence of  $ln[M_0]/[M]$  with time (Fig. 3c, d), which characterizes a first-order kinetics.

Self-assembly behavior of triblock copolymers

We first investigate the effect of the structure of triblock copolymers on their CMC in aqueous medium at pH 5. CMC besides serving as a strong evidence of the self-association of block copolymers, it is also an important parameter to evaluate the stability of micelles. Amphiphilic block copolymers with high CMC values seem to be unsuitable because the formed micelles may be dissociated after being administered into the body because of the dilution effect. From this point of view, a low CMC value is necessary for an efficient carrier.

Fig. 1 <sup>1</sup>H NMR spectra of PCL and Br-PCL-Br in solution using CDCl<sub>3</sub> as a solvent

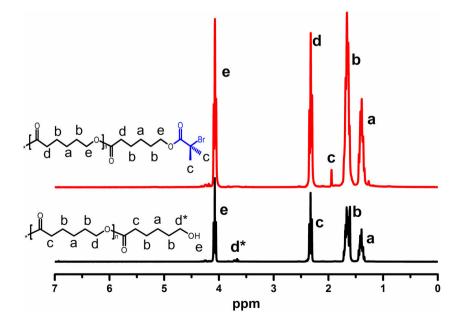


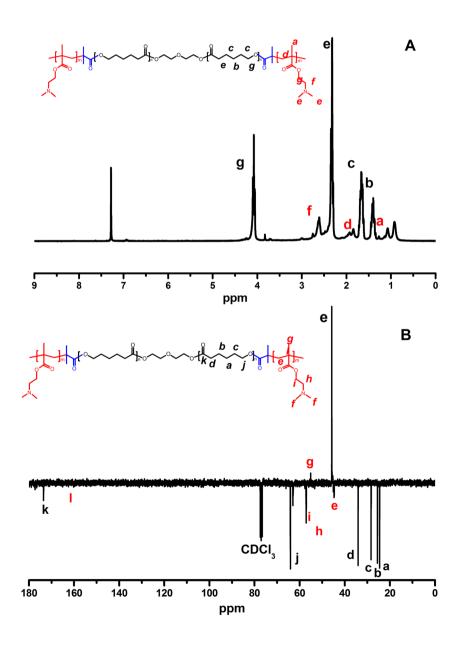


Table 1 Polymerization conditions and characterization of triblock copolymers PDMAEMA-b-PCL-b-PDMAEMA

Sample	Composition in the feed <sup>a</sup>	Reaction time (h)	Average composition	$M_{\rm n}^{\ b}$ (kDa)	$M_{\rm w}/{M_{\rm n}}^{\rm c}$
PCL-2				2	1.04
P2D1	1:40:1:2	21	DMAEMA <sub>11</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>11</sub>	5.4	1.39
P2D2	1:108:1:2	1	DMAEMA <sub>19</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>19</sub>	7.9	1.09
P2D3	1:108:1:2	6	DMAEMA <sub>28</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>28</sub>	10.7	1.40
PCL-10				10	1.09
P10D1	1:108:1:2	1	DMAEMA <sub>28</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>28</sub>	18.8	1.17
P10D2	1:108:1:2	12	DMAEMA <sub>39</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>39</sub>	22.3	1.09
P10D3	1:215:1:2	21	DMAEMA <sub>61</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>61</sub>	29.2	1.15

<sup>&</sup>lt;sup>a</sup> Reagents molar ratio Br-PCL-Br/DMAEMA/CuBr/PMDETA

**Fig. 2** Representative spectrum of triblock copolymer P10D2. **a** <sup>1</sup>H NMR and **b** <sup>13</sup>C-APT NMR

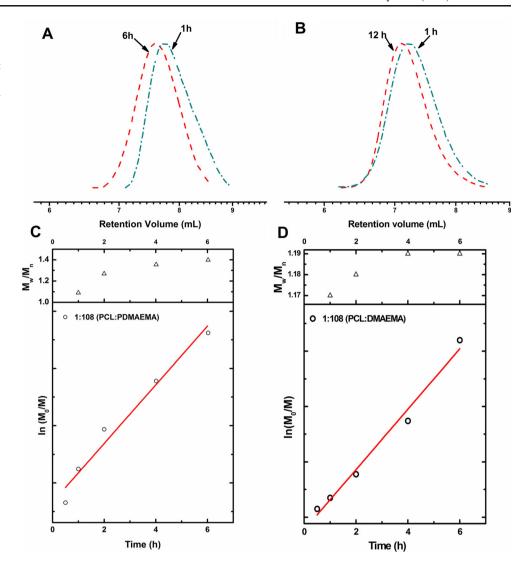




<sup>&</sup>lt;sup>b</sup> Estimated using <sup>1</sup> H NMR

<sup>&</sup>lt;sup>c</sup> Estimated from GPC

Fig. 3 GPC traces of PDMAEMA-b-PCL-b-PDMAEMA obtained from PCL a 2 and b 10 kDa at two different reaction times. Kinetic plots for the polymerization of DMAEMA using Br-PCL-Br of c 2 and d 10 kDa as macroinitiator

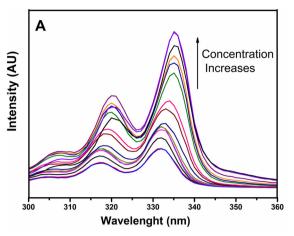


In this study, micelle formation was monitored by fluorometry using pyrene as a fluorescent probe. Pyrene strongly fluoresces in a non-polar environment, while in a polar environment it shows weak fluorescence intensity. This technique has been widely used to determine CMC for block copolymers and low molecular weight surfactants [13, 35]. Figure 4a shows the excitation spectra of pyrene monitored at 390 nm with different concentrations of copolymer P2D2. The strong dependence of the emission intensity of pyrene on the concentration of the block copolymer provides evidence of its aggregation and indicates that pyrene offers enough sensitivity for determining CMC [36]. From Fig. 4a, it is also observed that the maximum located at 332 nm ( $I_1$ ) suffers at red shift to 335 nm ( $I_3$ ) when the concentration of the copolymer increases.

Figure 4b shows the intensity ratio of  $I_{335}/I_{332}$  of pyrene excitation spectra as a function of the logarithm of copolymer concentration for P2D2 sample. The plot of  $I_{335}/I_{332}$  vs log C presents a sigmoid curve. For each copolymer, in the low

concentration range, the ratio of  $I_{335}/I_{332}$  was almost constant. As the concentration of the copolymer increased, the signal intensity ratio exhibited a substantial increase reflecting the incorporation of pyrene into the hydrophobic domains. Thus, the CMC values were determined from the cross-over point in the low concentration range in Fig. 4b, and the results are listed in Table 2. Copolymers containing a PCL block of 2 kDa present CMC values in the range of 5.9 and 7.4, while in the case of copolymers with a PCL block of 10 kDa, the corresponding values were below 1 mg/L. As the length of the hydrophobic segment increases, the free energy involved in the transference of block copolymer chains from the micelles to the aqueous medium is also larger. It is a consequence of the low chemical affinity between PCL and water and the less favorable entropy contribution for copolymers with greater molecular weight. On the other hand, CMC is less sensitive to the length of PDMAEMA. In each set of copolymers, increasing the length of hydrophilic segment has a minor effect on the CMC value, which is in agreement with other authors [37].





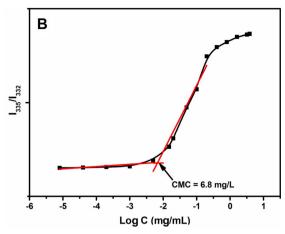


Fig. 4 a Steady-state fluorescence excitation spectra monitored at 390 nm for pyrene probe in an aqueous solution of P2D2 in water at pH 5.0 at 25 °C. b Plot of  $I_{335}/I_{332}$  ratio of pyrene excitation spectra in water as a function of P2D2 triblock copolymer concentration at 25 °C

The most promissory biomedical application of polymer micelles is the encapsulation and solubilization of hydrophobic drugs. The hydrophobicity of the micellar core of aqueous aggregates was estimated measuring the partition equilibrium constant  $K_{\rm v}$  of pyrene, a hydrophobic probe, in the micellar dispersions of PDMAEMA-b-PCL-b-PDMAEMA copolymers. In this work,  $K_{\rm v}$  was calculated as described by other authors [38, 39]. According to them, pyrene incorporated into the micelles was considered as a simple equilibrium between a micellar phase and a water phase. The ratio of pyrene in the micellar phase to water phase ([Py]<sub>m</sub>/[Py]<sub>w</sub>) can be written as shown in Eq. 5

$$\frac{[\text{Py}]_{\text{m}}}{[\text{Py}]_{\text{w}}} = \frac{K_{\text{v}}x(c-\text{CMC})}{1000\rho}$$
 (5)

where x is the weight fraction of the PCL block in the triblock copolymer, c is the concentration of the triblock copolymer, and  $\rho$  is the density of PCL core of the micelles, which is assumed to be the bulk density of PCL (1.148 g/cm<sup>3</sup>). In the intermediate range of the polymer concentration with

substantial increases in the intensity ratios  $(I_{335}/I_{333})$ ,  $([Py]_m/[Py]_w)$  can be written as:

$$\frac{[\mathrm{Py}]_{\mathrm{m}}}{[\mathrm{Py}]_{\mathrm{w}}} = \frac{(F - F_{\mathrm{min}})}{(F_{\mathrm{max}} - F)} \tag{6}$$

where  $F_{\rm min}$  and  $F_{\rm max}$  correspond to the average magnitude of the intensity ratio  $(I_{335}/I_{332})$  in the constant region in the low and high concentration ranges, respectively. F is the intensity ratio  $(I_{335}/I_{332})$  in the intermediate concentration range of the triblock copolymers. Combining Eqs. 5 and 6 yields:

$$\frac{(F-F_{\min})}{(F_{\max}-F)} = \frac{K_{\nu}x(c-CMC)}{1000\rho}$$
 (7)

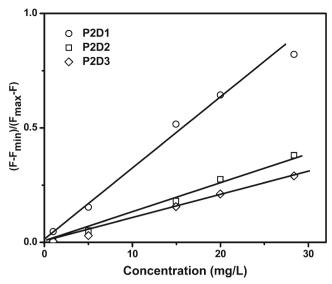
 $K_v$  values for pyrene listed in Table 2 were obtained by plotting a graph of  $(F-F_{min})/(F_{max}-F)$  vs the concentration of the triblock copolymers, as shown in Fig. 5. It is observed that  $K_v$  is greater for the largest PCL segment and decreases with

**Table 2** Characterization of the micelles obtained by self-assembly of PDMAEMA-b-PCL-b-PDMAEMA triblock copolymers in aqueous medium at pH 5.0

Sample		DLS Diameter (nm)	ζ (mV) PDI	CMC (mg/L)	$K_{\rm v} \times 10^5$	$pK_a^{\ a}$
P2D1 DMAEMA <sub>11</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>11</sub>	57	0.05	22±13	4.9	1.0	7.6±0.3
P2D2 DMAEMA <sub>19</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>19</sub>	82	0.05	25±19	6.8	0.63	$7.5 \pm 0.2$
P2D3 DMAEMA <sub>28</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>28</sub>	85	0.09	27±16	7.4	0.60	$7.3 \pm 0.2$
P10D1 DMAEMA <sub>28</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>28</sub>	46	0.09	$41\!\pm\!10$	0.86	18	$8.3 \pm 0.3$
P10D2 MAEMA <sub>39</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>39</sub>	47	0.1	$30 \pm 11$	1.0	5.4	$8.3 \pm 0.4$
P10D3 DMAEMA $_{61}$ - $b$ -CL $_{88}$ - $b$ -DMAEMA $_{61}$	54	0.2	$37\pm14$	1.0	3.9	$7.9{\pm}0.2$

 $<sup>^{</sup>a}$  p $K_{a}$  were estimated by pH-metric titration of solutions of micelles using a standard solution of HCl 0.021 M. Each value corresponds to the average of three measurements





**Fig. 5** Plots of  $(F-F_{\min})/(F_{\max}-F)$  vs concentration of P2D1, P2D2, and P2D3 in water

increasing the length of PDMAEMA blocks, suggesting that the micelle core is more hydrophobic for copolymers obtained from PCL of 10 kDa and short PDMAEMA blocks. The values herein reported are close to values early reported for copolymers composed of PCL and PNIPAM [3].

#### Diameter of the micelles

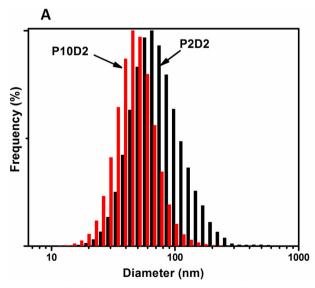
The diameter and morphology of PMs are parameters that determine their application; for instance, small micelles are suitable for drug delivery applications. These parameters were investigated by DLS and TEM, respectively. The average diameters of the micelles measured at pH 5.0 are listed in Table 2, and Fig. 6a compares the diameter distribution of

micelles corresponding to copolymers P2D2 and P10D2. The micelles obtained from each copolymer showed a monomodal distribution centered below 100 nm. It is observed that the diameter of the micelles greatly depends on the PCL length, micelles obtained from the set of copolymers composed of PCL of 10 kDa, are smaller than the corresponding value for copolymer composed of PCL of 2 kDa (see Fig. 6a). On the other hand, as the length of PDMAEMA increases, the diameter of the micelles slightly increases.

The relationship between the diameter of the micelles and the composition of the block copolymers can be explained based on the mechanism for micelle formation. It is that first unimers rapidly associated via nucleation and growth until the micelles have reached a size where further growth increases the free energy of the system [40]. For the set of copolymers obtained from PCL of 10 kDa, the aggregation of the copolymer unimers occurs at lower concentration, as deduced from the lowest CMC, and also present less favorable interactions with water [41], leading to the formation of smaller micelles.

The colloidal stability of the micellar aggregates was determined by measuring its  $\zeta$  potential; the corresponding values are listed in Table 2. At all the composition, the  $\zeta$  potential value was larger than +21 mV, which indicates that the micellar dispersions are positively charged and also present colloidal stability. It is observed that the largest PDMAEMA blocks in the copolymer obtained from PCL of 10 kDa, also presents the largest  $\zeta$  potential.

Figure 6b shows a representative TEM image of micelles obtained from P2D2 copolymer. The image shows spherical micelles dispersed as individual nanoparticles, with diameter in the range between 30 and 100 nm. In the inset, it is observed that the particles are composed by a darker core and a pale shell, which corresponds to the expected morphology of PMs.



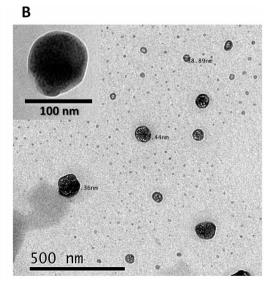


Fig. 6 a Particle size distribution of micelles obtained from of P2D2 and P10D2 copolymers. b Representative TEM images of micelles obtained by self-assembly of P2D2 in aqueous medium



Table 3 Average diameter and CMC values for representative samples at different pH values

рН	P2D2 DMAEMA <sub>19</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>19</sub> CMC (mg/L)	P10D2 DMAEMA <sub>39</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>39</sub> CMC (mg/L)
5.0	6.8	1.0
6.0	5.2	0.68
7.0	6.1	0.72
7.5	4.2	0.74

Micelles obtained from each copolymer exhibited similar morphology.

Diameters of the particles seen in TEM micrographs are comparable with the values also measure by DLS. However, discrepancy between values determined by both techniques could be attributed to the fact that in the DLS analysis the micelles are hydrated while TEM images are acquired for dried samples [3, 42].

# Effect of pH on micelle properties

 $pK_a$  values of the copolymers measured by titration (see Table 2) indicate that the segments of PDMAEMA presents a weak basic character, therefore, the properties of the micelles will be affected by the pH of the medium. It is also observed that  $pK_a$  slightly depends on the composition of the copolymers, decreases as polymerization degree of DMAEMA increases, which agrees with previous reports [43], and increases for copolymers containing segments of PCL of 10 kDa.

In order to determine the effect of pH on the CMC of PDMAEMA-*b*-PCL-*b*-PDMAEMA copolymers, the CMC values of aqueous dispersions at pH 5.0, 6.0, 7.0, and 7.5 of

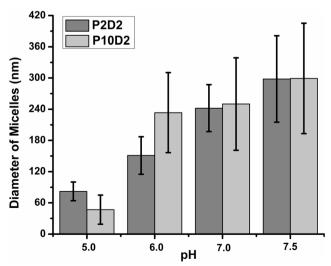


Fig. 7 Particle size of micelles obtained from the self-assembly of P2D2 and P10D2 as a function of pH

two representative samples P2D2 and P10D2 were measured by fluorometry (Table 3). It is observed that a pH augment decreases of the CMC; this tendency obeys the protonation degree of the PDMAEMA segment, which in turn is associated to electrostatic repulsion of positively charged DMAEMA units in the hydrophilic blocks [44]. According to Tangeysh et al., the protonation degree is a pH function, thus at pH 5.0 it is higher than 80 %. At pH 6.0, that value is close to 30 % and at pH 7.0 and greater is lower than 10 % [45]. Although the protonation degree notoriously decreases from pH 5.0 to 6.0, the values of CMC present a minor variation. It corroborates that the CMC strongly depends on the length of the hydrophobic segment.

The effect of pH on the diameter of micelles obtained from representative samples P2D2 and P10D2 at pH 5.0, 6.0, 7.0, and 7.5 was studied by DLS (Fig. 7). It is observed that as the pH raises, the size of the particles increases. It is presumably due to the aggregation of the particles, facilitated by the lower protonation degree of PDMAEMA and therefore lower interparticle repulsion [18, 20, 44].

# Amphotericin B encapsulation

The encapsulation of AmB in micelles obtained by the self-assembly of triblock copolymers PDMAEMA-b-PCL-b-PDMAEMA with different composition was carried out by partition of AmB in preformed micelles. The loading-efficiency (DLE) as well the AmB content (DLC) determined by Eqs. 1 and 2, respectively, are summarized in Table 4. According to these values, it is deduced that both DLE and DLC depend on the composition of the copolymers. The largest values for these parameters were obtained for micelles formed by copolymer P2D1 that contains the shortest PCL and PDMAEMA segments.

According to the results, a larger and less-dense hydrophobic core favors the encapsulation of AmB. Presumably, the

**Table 4** Characterization of the formulations. AmB loading-efficiency and content (n=3)

Sample	Loading- efficiency (%)	AmB content (%)
P2D1 DMAEMA <sub>11</sub> -b-CL <sub>17</sub> -b- DMAEMA <sub>11</sub>	81.8±5.7	4.1±0.3
P2D2 MAEMA <sub>19</sub> -b-CL <sub>17</sub> -b-DMAEMA <sub>19</sub>	$68.4 \pm 4.9$	$3.4 \pm 0.2$
P2D3 DMAEMA <sub>28</sub> -b-CL <sub>17</sub> -b- DMAEMA <sub>28</sub>	57.4±4.7	2.9±0.2
P10D1 (DMAEMA) <sub>28</sub> -b-CL <sub>88</sub> - b-(DMAEMA) <sub>28</sub>	48.6±2.3	2.4±0.1
P10D2 DMAEMA <sub>39</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>39</sub>	46.4±1.5	$2.3 \pm 0.1$
P10D3 DMAEMA <sub>61</sub> -b-CL <sub>88</sub> -b-DMAEMA <sub>61</sub>	45.2±2.3	2.3±0.1



encapsulation of this substance is limited by the micelle dynamics, which is less favorable for the copolymer containing the largest hydrophobic segment. On the other hand, a shorter hydrophilic shell could reduce the energy barrier require to transfer the AmB from the aqueous medium to the hydrophobic core of the micelles. These results agree with the work previously published by Shim et al. [46].

### **Conclusions**

Amphiphilic triblock copolymers PDMAEMA-b-PCL-b-PDMAEMA with different composition were successfully synthesized via ATRP. The colloidal properties of micelles obtained via self-assembly of the block copolymers showed to be greatly affected by the length of the hydrophobic segment. The longest hydrophobic block caused the lowest CMC value, smallest particle size, and the most hydrophobic micelle core. The length of the hydrophilic block had a minor effect on the evaluated properties. The encapsulation of AmB showed to depend on micelle dynamics and the length of the hydrophilic segment. Whereas, the hydrophobicity of the micelle core does not seem to be beneficial.

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