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## Riboflavin sensitized photodegradation of Furaneol in a $\beta$ -cyclodextrin complex

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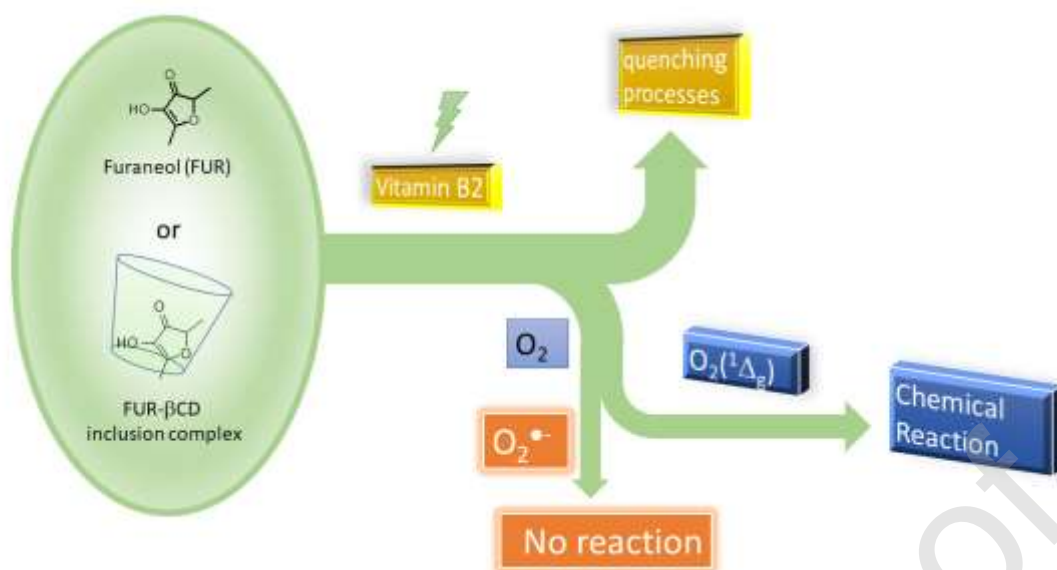
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Graphical Abstract



## Highlights

- Photochemical reaction of Riboflavin and Furaneol was studied in homogeneous and  $\beta$ -cyclodextrin microheterogeneous media under day-light conditions
- Experimental and theoretical results showed the formation of an inclusion complex of Furaneol in  $\beta$ -cyclodextrin
- The presence of  $\beta$ -cyclodextrin produces an increase in the rate of sensitized degradation of FUR by Rf.

## Abstract

A study on the photodegradation of the widely employed flavoring compound Furaneol (FUR), in the presence of vitamin B<sub>2</sub> (Riboflavin, Rf) was carried out, in aqueous and microheterogeneous media. The system as a whole allows the evaluation of FUR stability, since the vitamin is an endogenous natural photosensitizer. In a visible-light-irradiated solution of Rf plus FUR, a complex mechanism of competitive reactions occurs. FUR quenches the singlet ( $2.7 \times 10^9 \text{ M}^{-1}\text{s}^{-1}$ ) and triplet ( $6.0 \times 10^8 \text{ M}^{-1}\text{s}^{-1}$ ) Rf electronically excited states and reacts with singlet molecular oxygen ( $\text{O}_2(^1\Delta_g)$ ) generated from the triplet excited state of the sensitizer, with a reactive rate constant of  $1.97 \times 10^7 \text{ M}^{-1}\text{s}^{-1}$ . In the oxidation process, two oxygen molecules are incorporated. In the presence of  $\beta$ -cyclodextrin ( $\beta$ CD), experimental and theoretical results show the formation of an inclusion 1:1 complex of FUR with the oligosaccharide. The Rf-photosensitized oxidation rates of FUR were higher in the microheterogeneous medium, probably due to the incorporation of FUR in the non-inclusion complex between Rf and  $\beta$ CD which may produce  $\text{O}_2(^1\Delta_g)$  near the oxidizable substrate. The overall result of this study demonstrates that visible-light exposure of formulations containing Rf and FUR may cause significant oxidation of the flavoring agent.

Keywords: Furaneol; Riboflavin; Photodegradation;  $\beta$ -cyclodextrin

## 1. Introduction

Furaneol (FUR) is an organic flavoring compound, naturally present in a wide variety of ripe fruits and dairy products.[1–4] It has a low olfactory detection threshold, and is capable of imparting a delightful fruity aroma. FUR is produced by chemical synthesis and widely employed as an industrial additive in food, medicinal, and cosmetic formulations.[2,5] FUR is a 3(2*H*)-furanone, a chemical structure with anti-oxidative as well as pro-oxidative properties.[6] FUR presents an antioxidant activity against lipid peroxidation or superoxide radicals in lens tissue, which contributes to inhibiting the onset of spontaneous cataract.[6,7] On the other hand, significant pro-oxidant properties of FUR in foods have been reported.[6,8]

To increase the permanency of FUR fragrance in commercial preparations, manufacturers often incorporate complexing agents. Cyclodextrins (CDs) are among the most used compounds to retain volatile guests and reduce their volatility.[9,10] These naturally occurring cyclic oligosaccharides of 1,4-linked  $\alpha$ -D-glucose, formed by the bacterial degradation of starch, possess a relatively hydrophobic central cavity and a hydrophilic outer surface.[9] The described structural characteristics and the low manufacturing costs make CDs appropriate candidates to associate with industrial flavoring substances.[11,12] Although FUR is transparent to visible light, it could be degraded by ultraviolet light, or by photosensitized processes induced by visible light irradiation of photosensitizers present in commercial formulations. Among these photosensitizers is Riboflavin (Rf), a visible-light absorbing vitamin with well-known photosensitizing properties,[13–15] abundant in meat, beer, wine, dairy products, and topical commercial products.[16–18] For example, different studies have reported the Rf-photosensitization of antioxidants, flavorings, or

food-related substances.[19–22] Nevertheless, no previous study has reported the Rf-photosensitization of FUR in homogeneous media or CDs solutions. FUR, Rf, and  $\beta$ -cyclodextrin ( $\beta$ CD) coexist in a wide variety of formulations. Previous researchers have established the formation of a  $\beta$ CD inclusion complex with FUR [12] . With respect to the interaction of  $\beta$ CD with Rf, both the formation of an inclusion complex [23,24] and a non-inclusion complex (Rf- $\beta$ CD) [25] were postulated. Moreover, it has been demonstrated that CDs can modify the photodegradation rate of some molecules.[26] This scenario constitutes an interesting starting point to know in depth about the possible degradation of FUR, in the presence of Rf in homogeneous and  $\beta$ -cyclodextrin ( $\beta$ CD) microheterogeneous media under day-light conditions.

## 2. Materials and Methods

### 2.1 Chemicals and materials

Furaneol (4-Hydroxy-2,5-dimethyl-3-furanone, FUR), Riboflavin (Rf), Rose Bengal (RB), furfuryl alcohol (FFA), and furfuryl acetate (FAc), deuterium oxide 99.9% ( $D_2O$ ),  $\beta$ -cyclodextrin ( $\beta$ CD), and superoxide dismutase (SOD) from bovine erythrocytes, were purchased from Sigma-Aldrich (USA). All chemicals were used without further purification. Water was triple distilled. Methanol, HPLC grade, was obtained from Sintorgan. All experiments were carried out at room temperature, using freshly prepared solutions.

### 2.2 Spectroscopy

Absorption spectra were carried out in an Agilent 8453 diode array spectrophotometer.

Fluorescence spectra were measured with a Spex Fluoromax spectrofluorometer at  $25 \pm 1$  °C in air-equilibrated solutions. Fluorescence lifetimes were determined by a time-correlated single-photon counting technique (SPC) on an Edinburgh FL-900CD instrument, equipped with a blue LED (PicoQuant PLS 450).

### 2.3 Photolysis experiments

Steady-state aerobic photolysis of aqueous solutions of Rf or RB plus FUR was carried out in a customized photolysis device that uses two Light Emitting Diodes (LED) as the excitation source.[27] Blue ( $\lambda_{\text{max}} = 467$  nm) or green ( $\lambda_{\text{max}} = 510$  nm) LEDs for Rf- and RB-sensitization were employed, respectively.

### 2.4 Quenching of Rf electronically excited states

Fluorescence quenching was studied in methanol (due to the low solubility of FUR in water). The bimolecular rate constant for quenching of Rf singlet excited state ( $^1\text{Rf}^*$ ) by FUR ( $^1k_q$ ) was determined using classical Stern–Volmer plots of  $^1\tau_0 / ^1\tau$  (eq. 1)

$$^1\tau_0 / ^1\tau = 1 + ^1k_q \tau_0 [\text{FUR}] \quad \text{eq. 1}$$

where  ${}^1\tau$ , and  ${}^1\tau_0$  are the lifetimes for Rf fluorescence in the presence and absence of FUR, respectively. In all cases, the lifetime of Rf fluorescence decay was fitted by a monoexponential decay.

Steady-state Rf fluorescence quenching by FUR data was treated using the modified Stern-Volmer equation, eq. 2: [14,28]

$$I_0/I = (1+K_D[Q])(1+K_{as}[Q]) \quad \text{eq. 2}$$

where the constant  $K_D$  ( $K_D = {}^1k_q {}^1\tau_0$ ) considers the dynamic component of fluorescence quenching.

Transient absorption spectra in a flow system under Argon-saturated 0.04 mM Rf aqueous solutions were determined, using a flash photolysis equipment previously reported.[27,28] Usually, the signal transient at each wavelength was an average of five laser shots. Riboflavin triplet excited state ( ${}^3\text{Rf}^*$ ) signal was monitored at 670 nm.  ${}^3\text{Rf}^*$  decay was determined at low concentrations of Rf and low enough laser energy to avoid self-quenching and triplet-triplet annihilation. For the determination of the rate constant for the interaction of  ${}^3\text{Rf}^*$ -FUR ( ${}^3k_q$ ), the Stern-Volmer expression (eq. 3) was employed.

$$1/{}^3\tau = (1/{}^3\tau_0) + {}^3k_q[\text{FUR}] \quad \text{eq. 3}$$

where  ${}^3\tau$  and  ${}^3\tau_0$  are the measured lifetimes of  ${}^3\text{Rf}^*$  in the presence and absence of FUR, respectively.

## 2.5 Reaction of FUR with singlet oxygen



In order to determinate the contribution of singlet oxygen ( $O_2(^1\Delta_g)$ ) in FUR degradation, RB as a sensitizer was used. RB has a reported  $O_2(^1\Delta_g)$  generation quantum yield of 0.75 [29] and has been widely used for this type of determinations.[27,28]

The total quenching rate constant for  $O_2(^1\Delta_g)$  deactivation by FUR ( $k_t$ ) was measured by time-resolved phosphorescence detection (TRPD) equipment.[27] Air-saturated solutions were employed in all cases.  $D_2O$  instead of  $H_2O$  was used as a solvent, to enlarge the lifetime of  $O_2(^1\Delta_g)$  within the temporal range.[30] The  $O_2(^1\Delta_g)$  lifetime was evaluated in the presence ( $\tau$ ), and absence ( $\tau_0$ ) of FUR, and the data were plotted as a function of concentration, according to a simple Stern–Volmer treatment ( $1/\tau = 1/\tau_0 + k_t [FUR]$ ).

The reactive rate constant,  $k_r$ , for the reaction of  $O_2(^1\Delta_g)$  with FUR was determined by a comparative method already reported.[31]  $k_r$  was calculated from the expression  $\text{slope}/\text{slope}_R = k_r [FUR]/k_{rR} [R]$ , where  $\text{slope}$  and  $\text{slope}_R$  denote slopes of a pseudo-first-order plot of FUR consumption or a reference compound (R) under RB-sensitized irradiation. Assuming the reaction of  $O_2(^1\Delta_g)$  with FUR is the only way of  $O_2$  consumption, with a 1:1 stoichiometry, the ratio of the first order slope of  $O_2$ -uptake by FUR and R, yields  $k_r/k_{rR}$ . FFA as the reference compound was used with a reported  $k_r$  value of  $1.2 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$ . [30]

Experiments of  $O_2$ -uptake was monitored with a polarographic 97-08 Orion electrode and carried out in customized photolysis equipment, as previously reported.[27] Briefly, the irradiation source was a 150 W quartz-halogen lamp, and a cut-off filter of 400 nm was used to guarantee RB was the only absorbing specie.

Rates of FUR photo-consumption from absorbance decrease at 287 nm were obtained, upon RB-sensitized photolysis using two green LED as excitation source in the system described previously. In these experiments, FAc was used as reference. FAc has a reported  $k_r$  value of  $5.5 \times 10^7 \text{ M}^{-1}\text{s}^{-1}$ . [32]

### 2.6 Interaction $\beta$ CD-FUR

The association constant ( $K_{in}$ ) between  $\beta$ CD and FUR was determined by UV-Visible absorption spectroscopy. FUR maximum absorbance changes were monitored as a function of the concentration of  $\beta$ -CD increment. The FUR concentration ( $[\text{FUR}]$ ) remained constant through the experience. Employing this experimental data and using the Benessi-Hildebrand method (eq. 4), the association constant value was calculated:

$$\frac{1}{A} = \frac{1}{\varepsilon[\text{FUR}]_0 K_{in}[\beta\text{CD}]} + \frac{1}{\varepsilon[\text{FUR}]_0} \quad \text{eq. 4}$$

where:  $A$  is FUR absorbance after each  $\beta$ CD addition,  $[\text{FUR}]_0$  is FUR concentration, kept constant,  $K_{in}$  is the association constant,  $[\beta\text{CD}]$  is the  $\beta$ CD concentration and  $\varepsilon$  is the complex molar extinction coefficient. [33,34]

### 2.7 Molecular Modeling

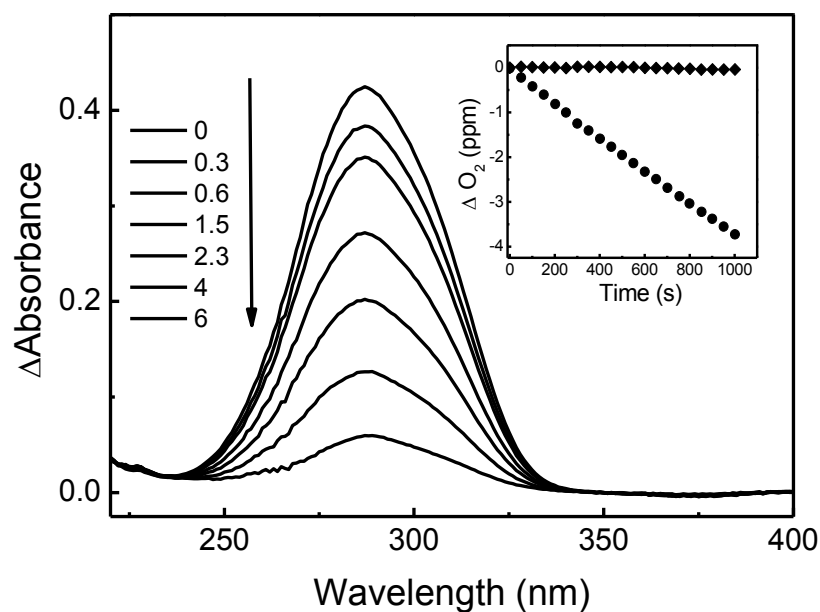
The inclusion of FUR in the  $\beta$ CD cavity was simulated with the semiempirical PM6 model, [35] following a known procedure. [36] The initial geometry of  $\beta$ CD was built from crystallographic data. [37] Two possible orientations were considered for the inclusion complex formation: the “Head-Up” orientation in

which FUR points toward the primary OH of  $\beta$ CD and the “Head-Down” orientations, with FUR facing the secondary OH groups of  $\beta$ CD. A total of 13 structures for each orientation were calculated, passing the drug completely through the  $\beta$ CD cavity. The PM6 optimized geometries of minimum energy in each orientation were further optimized using the DFT B3LYP/6-311G(2d,2p) level of theory. The solvent effect was simulated using the universal solvation model SMD.[38] The nature and strength of relevant intermolecular interactions between FUR and  $\beta$ CD were analyzed with the Quantum Theory Atoms in Molecules (QTAIM) methodology,[39] using a wavefunction generated at B3LYP/6-311G(2d,2p) level of theory. All the calculations were performed with GAUSSIAN 09 [40] and Multiwfn [41] software packages.

### 3. Results

#### 3.1 Riboflavin sensitized photodegradation of FUR

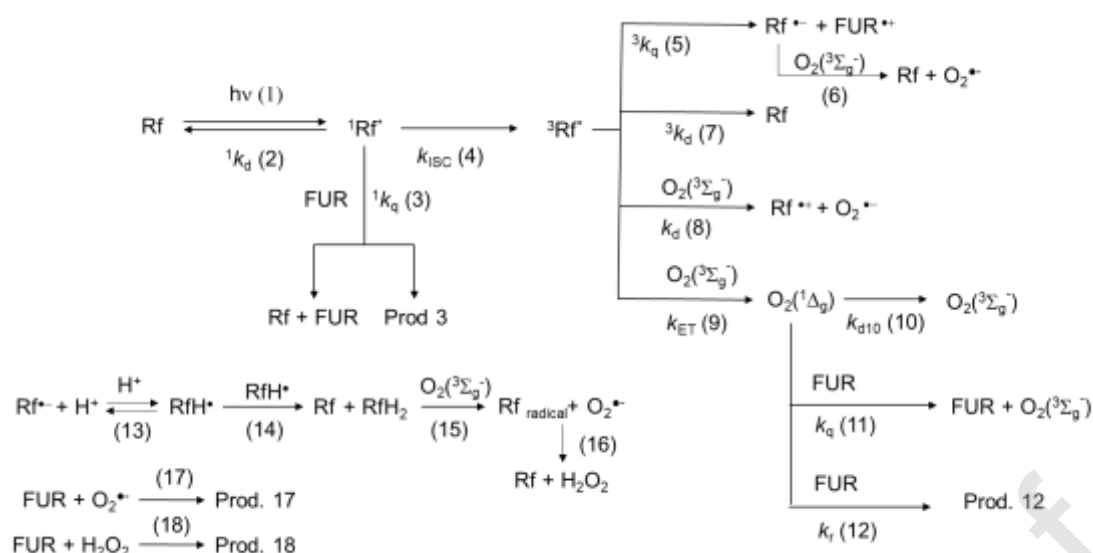
Blue light irradiation of a FUR (0.1 mM) + Rf (0.03 mM) aqueous solution produced meaningful spectral changes in the electronic absorption spectrum of FUR (Figure 1, main). The experiment was carried out in such a way that only the sensitizer absorbs blue LED radiation,  $\lambda_{\text{max}} = 467$  nm (Figure S1). In the same run,  $\text{O}_2$  consumption was observed. Since  $\text{O}_2$ -consumption can be due to reaction of ROS generated by interaction of  $^3\text{Rf}^*$  with FUR or with Rf itself, a control experiment was carried out by irradiation of Rf solution alone (Figure 1, inset). No changes in oxygen concentration were observed, suggesting that reaction of ROS with FUR is the main route for  $\text{O}_2$ -consumption.



**Figure 1:** Difference absorption spectra of aqueous solutions  $[FUR] = 0.1 \text{ mM} + [Rf] = 0.03 \text{ mM}$  vs.  $[Rf] = 0.03 \text{ mM}$  after different photoirradiation times.

Numbers in the spectrum correspond to photolysis time in minutes. Inset: Oxygen uptake as a function of photoirradiation time with blue-light: (◆) Rf (0.03 mM) and (●) FUR (0.1 mM) + Rf (0.03 mM).

These results strongly suggest the participation of Rf electronically excited states ( $Rf^*$ ) and/or reactive oxygen species (ROS) photogenerated by the vitamin in the degradation of FUR. It is known that Rf + light is capable to degrade compounds belong to different chemical family-like phenols [42], bisphenols [43], catecholamines [27], benzimidazoles [44]. In all the mentioned cases Rf presents a reaction scheme that may contain the reactions described in Scheme 1.



**Scheme 1:** Reaction mechanism pathway for visible light irradiation of furaneol (FUR) in the presence of riboflavin (Rf) in aqueous solution.

Results were interpreted and discussed based on Scheme 1. Briefly, vitamin B2 absorbs in the visible spectrum and initially yields  $^1\text{Rf}^*$  and produces  $^3\text{Rf}^*$  through efficient intersystem crossing (process (4)) with a quantum yield of 0.67.[13] The  $^3\text{Rf}^*$  has a strong oxidation potential ( $E \approx +1.7$  V),[13] which may produce substrate degradation *via* electron transfer (process (5)). Moreover,  $^3\text{Rf}^*$  can react with  $\text{O}_2$  in the ground state (process (8)), giving rise to the formation of ROS such as superoxide radical anion ( $\text{O}_2^{\bullet-}$ , process (8)) and singlet oxygen (process (9)) with known quantum yields of 0.009 and 0.49 respectively.[16,28]

As can be inferred from photolysis experiments, Rf electronically excited states and different ROS may be involved in FUR degradation. Thus, the following set of experiments were carried out in order to elucidate the photodegradation mechanism.

### 3.2 Reaction of Rf\* with FUR

FUR quenches the fluorescence emission of the singlet-excited state of Rf, reducing fluorescence intensity but maintaining the shape of the emission band. Simultaneously, Rf fluorescence lifetime decay in the absence ( ${}^1\tau_0$ ) and presence ( ${}^1\tau$ ) of FUR was determined by SPC technique. Figure 2 (inset A) shows the respective Stern-Volmer plots obtained from steady-state and time-resolved measurements. The steady-state Rf fluorescence quenching exhibits a positive curvature, whereas the plot for time-resolved Rf fluorescence quenching data is linear. This kind of Stern-Volmer plots appears when a fluorescent compound is simultaneously quenched by dark association of its ground state ( $K_{as}$ , process (19)) and by collisional deactivation of its excited singlet state (process (3), Scheme 1).



According to previous works,[14,28] this kind of system can be treated using the modified Stern-Volmer, equation 2 (see section 2.4). The dynamic component of fluorescence quenching by time-resolved experiments was independently determined. From inset A of Figure 2, a  $K_D$  value of  $15.5 \pm 0.3 \text{ M}^{-1}$  was found for FUR. Using the obtained  ${}^1\tau_0$  value of 5.73 ns, which is in concordance with the published fluorescence lifetime in methanol,[28,45]  ${}^1k_q = (2.7 \pm 0.1) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$  was calculated for FUR (Table 1). Using the formerly mentioned values, and a non-linear least-square fitting of eq. 2, an association constant of  $K_{as} = 1.8 \pm 0.2 \text{ M}^{-1}$  was obtained.

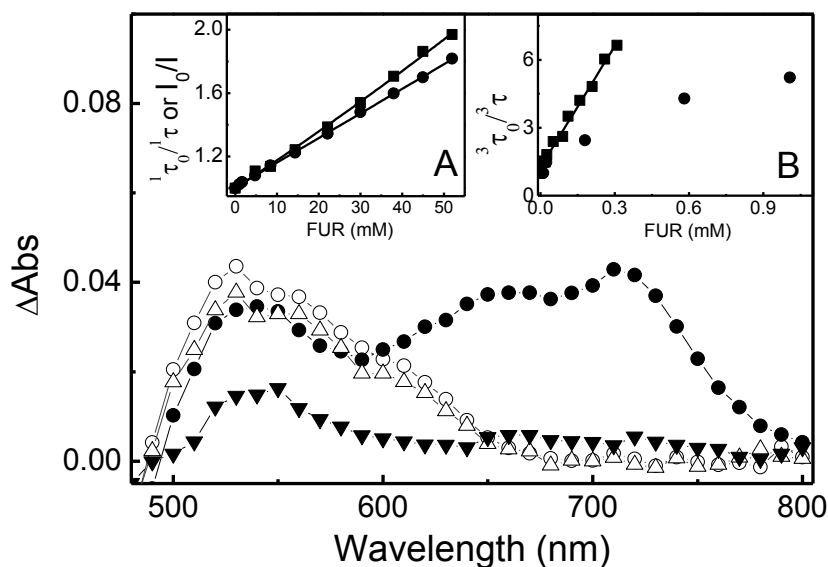
The  $^3\text{Rf}^*$  lifetime was reduced by FUR presence, demonstrating the occurrence of an interaction between them (Figure 2, inset B). Analysis of the triplet quenching using the expression  $1/{}^3\tau = (1/{}^3\tau_0) + {}^3k_q [\text{FUR}]$  was carried out, and a value for the bimolecular rate constant of  ${}^3k_q$  ( $6.0 \pm 0.2$ )  $\times 10^8 \text{ M}^{-1} \text{ s}^{-1}$  was obtained (Table 1), as shown in the inset B of Fig. 2.

**Table 1:** Quenching rate constant for singlet ( ${}^1k_q$ ) and triplet excited state ( ${}^3k_q$ ) of Rf by FUR in homogenous and 0.01 M  $\beta\text{CD}$ . Reactive ( $k_r$ ) and overall ( $k_t$ ) quenching of  $\text{O}_2({}^1\Delta_g)$ , RB was used as photosensitizer for  $\text{O}_2({}^1\Delta_g)$  measurements.

|  | ${}^1k_q$ ( $\text{M}^{-1}\text{s}^{-1}$ )<br>$\times 10^{-9} \text{ M}^{-1}\text{s}^{-1}$ | ${}^3k_q$ ( $\text{M}^{-1}\text{s}^{-1}$ )<br>$\times 10^{-8}$ | $k_t$ ( $\text{M}^{-1}\text{s}^{-1}$ )<br>$\times 10^{-7}$ (b) | $k_r$ ( $\text{M}^{-1}\text{s}^{-1}$ ) $\times$<br>$10^{-7}$ |
|--|--|--|--|--|
| homogeneous<br>media                           | $2.7 \pm 0.1$ (a)  | $6.0 \pm 0.2$  | $2.17 \pm 0.03$  | $1.97 \pm 0.04$<br>$4.1 \pm 0.1$ (c)                         |
| 0.01 M $\beta\text{CD}$<br>aqueous<br>solution | $1.89 \pm 0.05$  | ND   | $3.7 \pm 0.4$  | $3.92 \pm 0.05$  |

(a) in methanol; (b) in  $\text{D}_2\text{O}$ ; (c) measured by  $\text{O}_2$ -consumption

Transient absorption spectra were measured to evaluate the interaction between FUR and  $^3\text{Rf}^*$ . Figure 2 displays the transient spectrum for 0.03 mM Rf solution at 4 and 20  $\mu\text{s}$  after the laser pulse. Also, Figure 2 shows the transient spectra of the same solution plus FUR 1 mM, under this condition 96% of  $^3\text{Rf}^*$  is quenched by FUR.



**Figure 2:** Transient absorption spectrum of Rf (0.03 mM) taken 4 (●) and 20 (▼) microseconds after the laser pulse, respectively. Transient absorption spectra of Rf (0.03 mM) + FUR (1 mM), 4  $\mu$ s after the laser pulse (○), and 20  $\mu$ s after the laser pulse (◇). Inset A: Stern-Volmer plots for steady-state (■) and time-resolved (●) Rf fluorescence quenching by FUR. Inset B: Stern-Volmer plot for  $^3\text{Rf}^*$  quenching by FUR: (■) in aqueous solution and (●) in micro-heterogeneous media of  $\beta\text{CD}$  (10 mM).

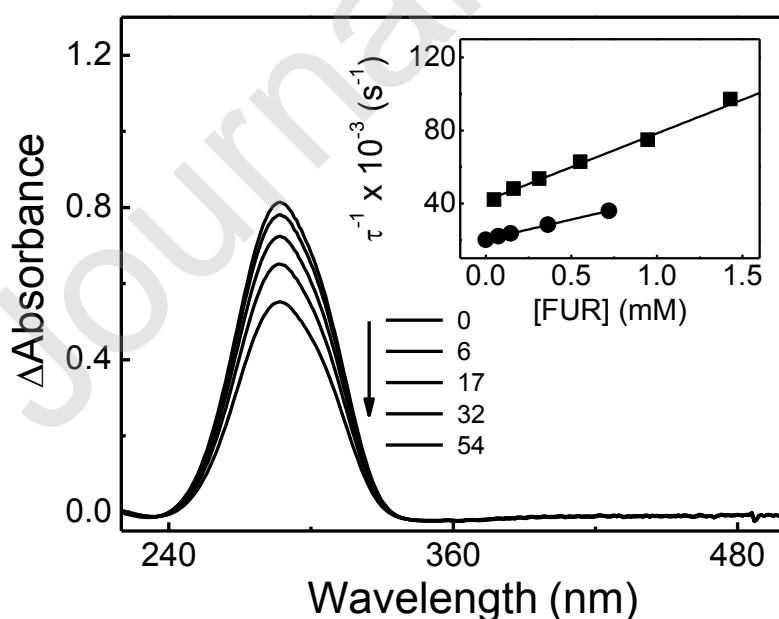
### 3.3 Reaction of FUR with photogenerated ROS

Visible light irradiation of RB ( $\text{Abs}_{549} = 0.39$ ) + FUR (0.1 mM) vs. RB ( $\text{Abs}_{549} = 0.39$ ) in aqueous solution causes changes in FUR absorption intensity (Figure 3, main). This experiment demonstrates the possible existence of an interaction FUR- $\text{O}_2(^1\Delta_g)$ , which may be physical ( $k_q$ , reaction (11)) and/or chemical ( $k_r$ , reaction (12)), see Scheme 1. Rate constants  $k_q$  and  $k_r$  were determined using RB as the sensitizer. As mentioned in section 2.5, RB is an exclusive  $\text{O}_2(^1\Delta_g)$

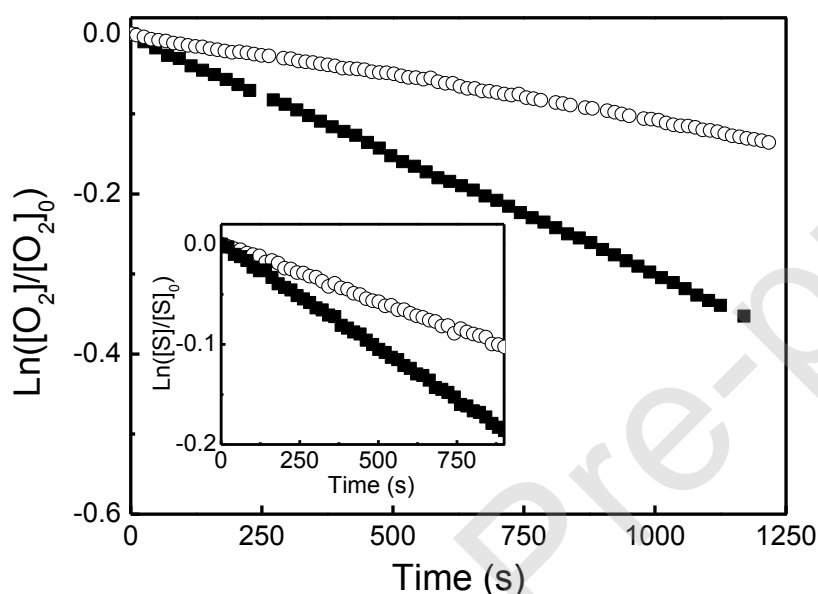


generator, with a quantum yield  $\Phi_{\Delta}$  of 0.75.[29] The election of this photosensitizer makes it possible to discard the reaction of FUR with other ROS generated from  $^3\text{Rf}^*$ .

For overall quenching rate constant value determination  $k_t$  ( $k_t = k_q + k_r$ ),  $\text{O}_2(^1\Delta_g)$  phosphorescence was quenched by FUR in sub-mM concentration range (Figure 3, inset). Through a Stern–Volmer treatment, a  $k_t$  value of  $(2.17 \pm 0.03) \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  was determined in  $\text{D}_2\text{O}$  (Table 1). The deuterated solvent was used in order to increase  $\text{O}_2(^1\Delta_g)$  phosphorescence lifetime. This result confirms the interaction between  $\text{O}_2(^1\Delta_g)$  and FUR. The reactive rate constant was measured by two different experiments: (a) by monitoring the decrease in oxygen concentration by  $\text{O}_2$ -uptake measurements (Figure 4, main), and (b) by FUR degradation measured by UV–Vis absorption spectroscopy (Figure 4, inset). Rate constant values  $k_r$  of  $(4.1 \pm 0.1) \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  and  $(1.97 \pm 0.04) \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  were obtained through  $\text{O}_2$  and FUR consumption in aqueous solution, respectively (see Table 1).



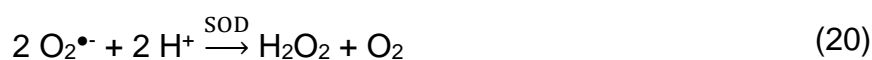
**Figure 3:** Difference absorption spectra of aqueous RB ( $Abs_{549} = 0.39$ ) + FUR (0.1 mM) vs RB ( $Abs_{549} = 0.39$ ) upon irradiation at 510 nm under air-saturated conditions. Numbers in the spectrum represent the irradiation time in minutes. Inset: Stern–Volmer plot for quenching of  $O_2(^1\Delta_g)$  phosphorescence by FUR in (●)  $D_2O$  and (■)  $\beta CD$  (10 mM) in  $D_2O$ .



**Figure 4:** First order plots for  $O_2$ -uptake in aerated solution upon visible-light irradiation by (○) FUR (0.5 mM) and (■) Furfuryl Alcohol (0.5 mM). Sensitizer: RB ( $Abs_{549} = 0.28$ ). Inset: First order plots of FUR consumption (measured by UV–vis spectroscopy) of aqueous solutions of RB ( $Abs_{549} = 0.39$ ) containing (○) FUR (0.1 mM) or (■) FAc (0.07 mM) upon visible-light irradiation.

To assess the participation of  $O_2^{\cdot -}$  in FUR photosensitized degradation mechanism, Rf sensitized photolysis assays were performed in the presence of 0.075 nM superoxide dismutase enzyme (SOD). SOD is a specific  $O_2^{\cdot -}$

quencher (reaction (20)) and it has been frequently employed to confirm/discard  $O_2^{\bullet-}$  participation in oxidative reactions.[14,43]



No changes in the FUR degradation rate in the presence and the absence of SOD were observed (data not shown). This outcome disproves the  $O_2^{\bullet-}$  relevance in the aforementioned mechanism.

### 3.4 Effect of $\beta$ CD in FUR aqueous solution

The gradual addition of  $\beta$ CD to a FUR aqueous solution caused changes in the FUR UV-visible absorption spectrum. As shown in Figure S2, when  $\beta$ -CD was added to an aqueous solution of FUR, an increase at 286 nm absorption maximum was observed. Moreover, a slight bathochromic shift of the entire absorption band was detected. These results suggest the formation of the already reported [11] inclusion complex between the oligosaccharide and the flavoring agent. A stability constant value  $K_{in} = 6.8 \pm 0.6 M^{-1}$  (reaction (21)) for the species FUR- $\beta$ CD was determined employing the Benesi Hildebrand model for a 1:1 complex. This stoichiometry is coherent with the observed linearity of the fitted experimental data (please see Fig. S2, inset).



### 3.5 Molecular Modeling of the FUR- $\beta$ CD inclusion complex

The FUR- $\beta$ CD inclusion complex molecular structure employing semiempirical and DFT calculations was investigated. The stabilization energy ( $\Delta E$ ) of FUR-

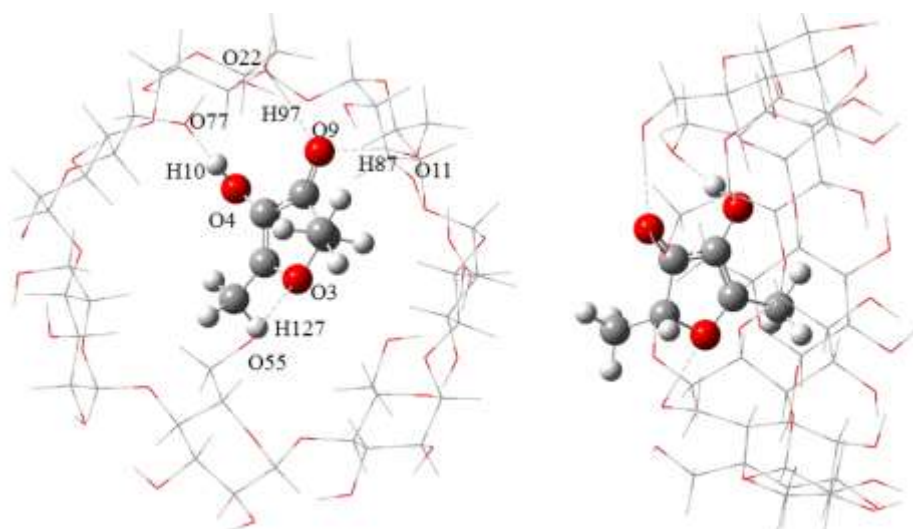
$\beta$ CD formation in both orientations was calculated at the PM6 level of theory with equation 5.

$$\Delta E = E_{complex} - (E_{furaneol} + E_{\beta CD}) \quad \text{eq. 5}$$

The  $\Delta E$  values are reported in Table 2. The complex denoted as Head-Down is more stable than the Head-Up by 10 kJ mol<sup>-1</sup>. This energy difference slightly decreases when it is calculated with the B3LYP/6-311G(2d,2p) level of theory in the gas phase and becomes significantly lower ( $\approx 3$  kJ mol<sup>-1</sup>) when the solvent (water) is considered in the calculations. The SMD/B3LYP/6-311G(2d,2p) optimized geometry of the FUR- $\beta$ CD complex in Head-Down orientation is illustrated in Figure 5.

**Table 2.** Stabilization energies (in kJ mol<sup>-1</sup>) of furaneol inclusion complexes with  $\beta$ CD calculated at different levels of theory.

| FUR- $\beta$ CD | $\Delta E_{PM6}$ | $\Delta E_{B3LYP}(\text{gas phase})$ | $\Delta E_{B3LYP}(\text{SMD})$ |
|-----------------|------------------|--------------------------------------|--------------------------------|
| Head Up         | -77.87           | -84.12                               | -24.72                         |
| Head Down       | -87.20           | -92.36                               | -27.43                         |

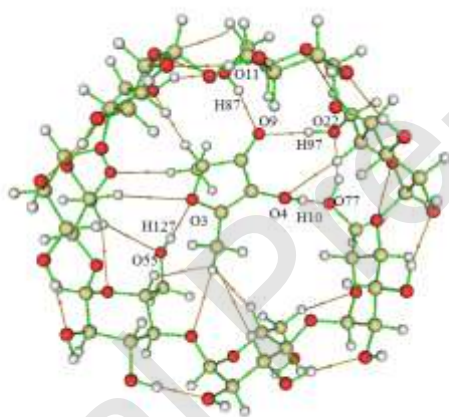


**Figure 5:** Molecular structure of the most stable FUR- $\beta$ CD inclusion complex calculated at the SMD/B3LYP/6-311G(2d,2p) level of theory

The inclusion of FUR inside the  $\beta$ CD cavity facilitates the formation of several intermolecular H-bonds between guest and host. Two H-bonds are formed between the carbonyl of FUR and two primary hydroxyl groups of  $\beta$ CD (bond lengths of 1.881 Å and 1.909 Å and bond angles of 177° and 162°, respectively). Other H-bonds are observed between the hydroxyl group and heterocyclic oxygen atom of the guest drug with two primary hydroxyls of  $\beta$ CD. These H-bonds are depicted in Figure 6 and their bond lengths and angles are reported in Table S1.

The strength of intermolecular H-bonds of this inclusion complex can be analyzed with QTAIM theory. In this method, any interaction between two atoms is characterized by bond critical points (BCP). In addition, topological parameters of these BCP, such as electron density  $\rho(r)$  and its Laplacian  $\nabla^2\rho$ , can be used to elucidate the interaction nature. The  $\rho(r)$  values of

intermolecular H-bonds typically are in the range of 0.002–0.04 au while  $\nabla^2\rho$  values are within the 0.024–0.139 au range.[46] The BCPs of FUR- $\beta$ CD complex are shown in Figure 6 and the topological parameters  $\rho(r)$  and  $\nabla^2\rho$  associated with the intermolecular H-bonds are reported in Table S1. These parameters indicate that H-bond between the hydroxyl group of FUR and OH groups of  $\beta$ CD is particularly strong. The strength of other H-bonds is also considerably high, suggesting that these interactions increase inclusion complex stability.[47]



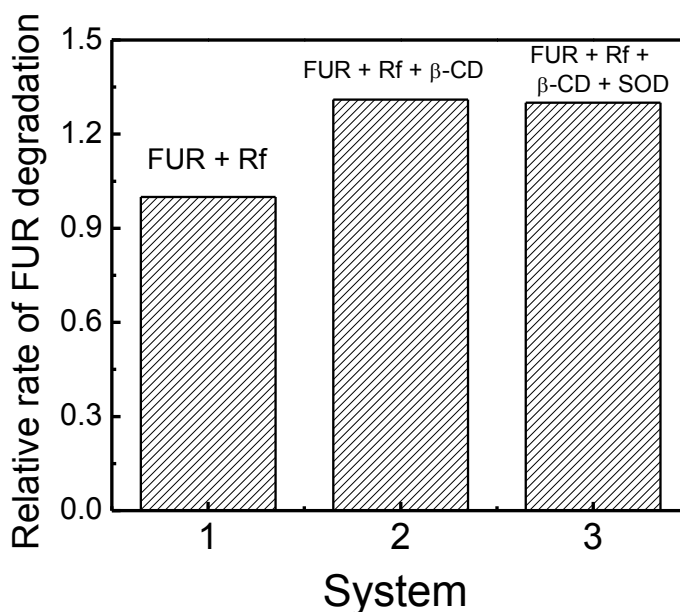
**Figure 6:** Molecular graph of the furaneol: $\beta$ CD inclusion complex generated with a wavefunction calculated at B3LYP/6-311G(2d,2p) level of theory. Color references: Red = oxygen, green = carbon and white = hydrogen YP/6-311G(2d,2p) level of theory.

### 3.7 Sensitized photodegradation of FUR in $\beta$ CD

In order to evaluate the effect of inclusion complex in photosensitized degradation of FUR, experiments of FUR consumption in presence of  $\beta$ CD using Rf and RB as photosensitizers were performed. These determinations

were made in identical conditions, as used in aqueous media (in  $\beta$ CD absence). Briefly:  $\beta$ CD (10 mM) was added to aqueous solutions of FUR (0.5 mM). The FUR concentration used was 20-times lower than the concentration of  $\beta$ CD. Under this condition, and according to the determined  $K_{in}$ , ca. 30% of FUR molecules remain free in solution during the photolysis experiments

Figure 7 presents the relative rates of FUR photodegradation by different systems containing Rf as dye sensitizer. Therefore, it can be assured that  $\beta$ CD produces a clear increase in FUR degradation rate. It could be attributed to FUR reaction with Rf-excited states or with ROS photogenerated by Rf and/or  $^*Rf-\beta$ CD (Figure S3). The addition of SOD does not change the FUR degradation rate (Figure 7), the same result as in the absence of  $\beta$ CD. Moreover, it was observed that this cyclic oligosaccharide is capable of increasing the rate of Rf degradation itself, which inevitably occurs during its irradiation and may be evidenced by a drop in absorption band centered at 449 nm (see Figure S3, inset).



**Figure 7:** Bars diagram for the relative rates of FUR photo-degradation by different systems containing Rf as dye sensitizer: (1) system I: 0.044 mM Rf + 0.5 mM FUR; (2) system I + 10 mM  $\beta$ CD; (3) system I + 10 mM  $\beta$ CD + 10 nM SOD.

Regarding the interaction between FUR and  $^1\text{Rf}^*$  in  $\beta$ CD microheterogeneous media, a value of  $(1.89 \pm 0.05) \times 10^9 \text{ M}^{-1}\text{s}^{-1}$  was determined by means of measurement of time-resolved fluorescence quenching of  $^1\text{Rf}^*$  by FUR in 0.01 M of  $\beta$ CD (Table 1, Figure S4).

With the purpose of studying the  $\beta$ CD effect in the  $^3\text{Rf}^*$  quenching by FUR, the laser flash photolysis technique was again employed. As shown in the inset B of Figure 2,  $^3\tau_0/3\tau$  relationship at different [FUR] shows a curvature in the Stern-Volmer graph (see Table 1).

To assess the role of  $\text{O}_2(^1\Delta_g)$ ,  $k_r$  and  $k_t$  measurements were done in  $\beta$ CD (10 mM). These values were determined from substrate consumption and



$O_2(^1\Delta_g)$  phosphorescence respectively, using RB as a sensitizer. In this media, values of  $k_r = (3.92 \pm 0.05) \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  (Figure S5) and  $k_t = (3.7 \pm 0.4) \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  (Figure 3, inset) were obtained respectively (Table 1).

## 4. Discussion

### 4.1 Homogeneous medium

Regarding the dark interaction Rf-FUR, steady-state fluorescence studies confirm that FUR is associated with Rf in the ground state. This dark complex possesses an association constant ( $K_{as} = 1.8 \pm 0.2 \text{ M}^{-1}$ , process (19)). In addition to this, a  $^1k_q = 2.7 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$  value for the dynamic component of  $^1\text{Rf}^*$  quenching by FUR was obtained by fluorescence lifetime experiments. These results are in agreement with other studies, which showed that Rf forms dark complexes with a variety of low molecular weight organic molecules.[14,28]

According to fluorescence results, a FUR concentration similar to those that used in Rf sensitized photolysis, causes a decrease in  $^1\text{Rf}^*$  lifetime lower than 2%. Hence, under this condition, all effects originated from the interaction of FUR with Rf-excited states could be exclusively assigned to interaction with  $^3\text{Rf}^*$ . Rf-transient spectrum immediately after the laser pulse is similar to that found in previous research.[48] While Rf-transient spectrum obtained in presence of 1 mM FUR is analogous to that informed for  $\text{RfH}^*$  species.[28,48] This result is consistent with an electron transfer process from FUR to  $^3\text{Rf}^*$  producing FUR radical cation ( $\text{FUR}^{+\bullet}$ ) and Rf radical anion ( $\text{Rf}^{\bullet-}$ ), respectively. At pH 7, the neutral Rf radical ( $\text{RfH}^*$ ,  $\text{pK}_a = 8.3$ ) would be formed after protonation of the species  $\text{Rf}^{\bullet-}$  (reaction (13)). [14,28]

As proposed in Scheme 1,  $^3\text{Rf}^*$  can be quenched by ground-state molecular oxygen dissolved in the solution generating  $\text{O}_2^{\cdot-}$  (process (8)). Also, the  $\text{O}_2^{\cdot-}$  production may be increased by an electron transfer reaction (process (5)), generating  $\text{Rf}^{\cdot-}$  followed by reaction with  $\text{O}_2$  (process (6)). An other way includes  $\text{Rf}^{\cdot-}$  which can be quickly protonated (process (13)) and later produces  $\text{O}_2^{\cdot-}$  (reactions (14) and (15)).[16,28] However,  $\text{O}_2$ -uptake experiments in presence of SOD allow to discard  $\text{O}_2^{\cdot-}$  reaction with FUR.

Besides  $\text{O}_2^{\cdot-}$  generation, the reaction of  $^3\text{Rf}^*$  with  $\text{O}_2$  can generate  $\text{O}_2(^1\Delta_g)$  (process (9)), and this ROS may react with FUR *via* physical (process (11)) and/or chemical (process (12)) quenching process. As a substituted furanone, FUR is a good  $\text{O}_2(^1\Delta_g)$  quencher.[49] FUR quenches  $\text{O}_2(^1\Delta_g)$  with rate constants of  $1.97 \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  and  $2.17 \times 10^7 \text{ M}^{-1}\text{s}^{-1}$  for  $k_r$  and  $k_t$ , respectively. It is interesting to note that the  $k_r$  value determined by oxygen consumption ( $4.1 \times 10^7 \text{ M}^{-1}\text{s}^{-1}$ ) is twice as large as obtained by substrate consumption.[50] Assuming that reaction of  $\text{O}_2(^1\Delta_g)$  with the quencher is the only way for oxygen uptake, this result could indicate that two oxygen molecules react with one FUR molecule in the  $\text{O}_2(^1\Delta_g)$  reaction represented by step (12), Scheme 1. This finding is consistent with the research published by Chen *et al.*, [51] reporting to 2-oxopropyl 2-acetoxypropionate as one of the final degradation products of FUR by  $\text{O}_2(^1\Delta_g)$ .

In addition,  $k_r/k_t$  ratio evaluation represents an approach to the fraction of overall quenching that results in a chemical reaction. In this case, the high value for the quotient  $k_r/k_t \sim 0.91$  exhibited by FUR, implies that the interaction of  $\text{O}_2(^1\Delta_g)$  is mainly reactive in nature.

#### 4.2 Microheterogeneous medium

After  $\beta$ CD addition to the Rf + FUR system, an additional series of new interactions must be taken into account. FUR forms a 1:1 inclusion complex with  $\beta$ CD (reaction (21)) (see Figure S2). The FUR- $\beta$ CD inclusion complex was confirmed and its molecular structure was studied by computational methods. The  $\Delta E$  values for Head-Down and Head-Up complexes indicate that the formation of both orientations is favorable in aqueous solutions, and both orientations have similar stabilities in this environment ( $\log K = 4.81$  for Head Down and  $\log K = 4.33$  for Head Up).

Concerning to the Rf- $\beta$ CD interactions, this system has been studied by Bispo de Jesus *et. al.*[25] These authors reported a non-inclusion complex with an association constant of 39.7 (process (22)):



Rf- $\beta$ CD formation is attributed to hydrogen bonding between the flavonoid and the external rim of  $\beta$ CD.[25] This association does not significantly affect Rf singlet photophysical properties, the fluorescence lifetime and emission spectra of Rf in water and  $\beta$ CD are almost the same. Whereas the T-T absorption of Rf in  $\beta$ CD is similar in both media (water and  $\beta$ CD), with additional bleaching around 380 nm which is assigned to the absorption due to the formation of H-bonded complex of Rf with the external hydroxyl groups of  $\beta$ CD in ground state.[52]

Concerning  $\beta$ CD effect in Rf-photosensitized degradation of FUR, the presence of  $\beta$ CD increases by 30% the Rf photosensitized degradation rate of FUR (see Figure 7). This rise in FUR rate consumption can be attributed to new reactive species present in  $\beta$ CD medium (Rf- $\beta$ CD excited states and/or FUR- $\beta$ CD inclusion complex) and/or changes in the quenching rate process in aqueous media.

As regards the interactions between FUR with Rf-excited states in  $\beta$ CD, a value of  $1.89 \times 10^9 \text{ M}^{-1}\text{s}^{-1}$  for the quenching constant of  $^1\text{Rf}$  (free or bounded) by FUR was determined. This value is slightly smaller to measured in homogeneous medium  $2.7 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$ . In respect of  $^3\text{Rf-}\beta\text{CD}^*$  quenching by FUR (process (28) and (29)), the Stern-Volmer graph (see Figure 2, inset B) shows a negative curvature, and the quenching degree is lower in a  $\beta$ CD medium when is compared to a homogeneous medium. Similar results were reported by Gatica *et. al.*[26] where the effect of  $\beta$ CD produced a decrease in Rf sensitized degradation by Niclosamide. This can be attributed to two main factors: a) a decrease in collision probability between Rf and FUR because of the encapsulation effect; b) the stabilization of radicals formed in the process. If these radicals are not properly stabilized a back electron transfer can occur, and regenerate  $^3\text{Rf}^*$  and FUR. Both effects could simultaneously cooperate in a way as a synergistic effect decreasing the quenching rate constant  $^3k_q$  accounting for the effective electron transfer process.

In the matter of FUR or FUR- $\beta$ CD reaction with  $\text{O}_2(^1\Delta_g)$ , RB was used as a sensitizer in the same way that was done in a homogeneous medium. After  $\beta$ CD addition to RB + FUR solution, a 99 % and 70% increase in  $k_r$  and  $k_t$

values were respectively observed, compared to the same values in a homogenous medium. Furthermore,  $k_r/k_t$  values were close to unity in both media. These results indicate that FUR- $\beta$ CD formation produces a rise in the reaction rate (higher  $k_r$  and  $k_t$  values) of FUR with  $O_2(^1\Delta_g)$ .

As in aqueous medium, the possible  $O_2^{\cdot-}$  reaction with FUR- $\beta$ CD was studied. As shown in Figure 7, SOD enzyme does not change FUR rate consumption allowing discard the reaction between  $O_2^{\cdot-}$  and FUR either free or included in  $\beta$ CD cavity.

Neckers and Paczkowski [53] have illustrated different systems where the covalent bonding of the photosensitizer to  $\beta$ CD ( $\beta$ CD-Dye) produces an increase in the photooxidative process. This is attributed to the inclusion of the substrate in  $\beta$ CD-Dye complex, which produces an increase in the local concentration of the substrate to be oxidized.[53,54] The comparison between the overall FUR photodegradation efficiency by Rf-sensitized in aqueous and  $\beta$ CD media respectively, indicates that the reaction of FUR with  $O_2(^1\Delta_g)$  is the main pathway to FUR degradation in both media. This enhancement may be attributed to the formation of a ternary complex, constituted by the reported non-inclusion complex between Rf and  $\beta$ CD [25], where FUR can be hosted in  $\beta$ CD cavity. This system would be producing  $O_2(^1\Delta_g)$  near the substrate to be oxidized.

## 5. Conclusions:

Rf-sensitized photoirradiation of FUR in aqueous solution produces a series of changes in FUR molecule and Rf itself. Kinetic and mechanistic data analysis indicates the involvement of  $^3\text{Rf}^*$  and  $\text{O}_2(^1\Delta_g)$  in photodegradation of FUR.

Regarding  $\text{O}_2(^1\Delta_g)$  reaction with FUR, results suggested that the quenching of the oxidative species is mainly reactive, and a kinetic analysis suggest that each FUR molecule incorporates two oxygen molecules in the process.

On the other hand, FUR forms an inclusion complex with  $\beta\text{CD}$  in aqueous solution. This causes an increase in the rate of sensitized degradation of FUR by Rf in  $\beta\text{CD}$  media, probably due to the inclusion of FUR in the complex Rf- $\beta\text{CD}$  which produces the reactive specie  $\text{O}_2(^1\Delta_g)$  in the proximity of the oxidizable substrate.

Results show that FUR under environmental light exposure in the presence of naturally occurring photosensitizers, such as Rf, can cause irreversible phototransformations in the flavoring agent. This fact represents an undesirable property for food, cosmetic, and topical formulation components.

### Author Contributions

- Carolina Gambetta and Agustina Reynoso conducted the experiments.
- Matías I. Sancho performed the theoretical calculation.
- José Natera, Paulina Montaña and Walter Massad planned the different experiment and collaborated with the preparation of the manuscript.
- Walter A. Massad organized the final discussion, wrote the manuscript and supervised the project.

All authors discussed on the results and commented on the manuscript. All authors have given approval to the final version of the manuscript

### Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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